# OPTICAL BISTABILITY EFFECT IN ${ }^{*}$ DFB LASER WITH TWO SECTIONS 

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#### Abstract

In this paper the optical bistability in DFB laser with two sections has been demonstrated. Influence of some dynamical laser parameters involved in the problem (as current intensity, saturation coefficient and gain values) on this effect has been considered.


## 1. Introduction

As known, the large number of DFB (distributed feedback) lasers used inside a transmitter makes the design and maintenance of such a light wave system expensive and impractical. The availability of semiconductor lasers which can be tuned over a wide spectral range would solve this problem. One of these is multi-(two or more) section DFB laser, considered theoretically and experimentally during 1980s [1]-[7], [13]-[18] and were used in commercial lightwave systems by 1990.

On the other hand, optical bistability effect, discovered since the 1970s in different optical systems with the possibility of its applications as an optical switch (or 'optical transistor'), an optical differential amplifier, optical limiter, optical clipper, optical discriminator, or an optical memory element, has given rise to a large number of different theoretical and experimental treatments. Because of many special advantages of utilizing semiconductors as optical bistable elements, the most efforts of researchers in the field of optical bistability have been focused on developing various semiconductor materials and devices [19].

In this paper we propose ones of theses devices: a DFB semiconductor laser with two sections. In Section II, starting from dynamical equations describing this laser we have received the exhibition of optical bistability effect in the stationary state limit. The influence of some dynamical laser parameters on this effect are demonstrated in Section III. Section IV contains conclusions.

## 2. System of rate equations-

The operating characteristics of semiconductor lasers are described by a set of rate equations that govern the interaction of photons and electrons inside the active region. A rigorous derivation of the rate equations generally starts from Maxwell's equations together with a quantum-mechanical approach for the induced polarization. A DFB laser with two sections is shown schematically in figure 1 . Here, section $A$ with injection current $I_{1}$ is an amplifying section, section $B$ with injection current $I_{2}$ much smaller than $I_{1}$ takes a role as a saturable absorber section.


Fig.1. Schematic illustration of a DFB laser with two sections.
Then we have the following system of rate equations:

$$
\begin{align*}
\frac{d N_{1}}{d t} & =\frac{I_{1}}{e V_{1}}-\eta_{1} \frac{c_{0}}{n_{e f f}} g\left(\omega_{0}-\omega_{j}\right) n_{j}-\gamma_{1} N_{1}  \tag{1}\\
\frac{d N_{2}}{d t} & =\frac{I_{2}}{e V_{2}}-\eta_{2} \frac{c_{0}}{n_{e f f}} g\left(\omega_{0}-\omega_{j}\right) n_{j}-\gamma_{2} N_{2}  \tag{2}\\
\frac{d n_{j}}{d t} & =\left(\Gamma_{1} \eta_{1}+\Gamma_{2} \eta_{2}\right) \frac{c_{0}}{n_{e f f}} g\left(\omega_{0}-\omega_{j}\right)\left(n_{j}+1\right)-\gamma n_{j}+\beta \sqrt{P_{\omega} n_{j}} \tag{3}
\end{align*}
$$

Here $V_{1}, V_{2}, N_{1}, N_{2}$ are the volumes and carrier densities of sections $A, B$ correspondingly; $n_{j}$ is photon density; $e, c_{0}$ are electric charge of electron and velocity of light in vacuum; $n_{\text {eff }}$ is the effective refraction index of material, supposed to be the same for two sections; $\eta_{i}$ is the amplification coefficient, which depends on the carrier density in the form $\eta_{i}=\alpha_{i} N_{i}+\beta_{i}$, where $\alpha_{i}, \beta_{i}$ are material gain coefficients $(i=1,2) ; \gamma_{1}, \gamma_{2}$ are relaxation coefficients of carrier densities given in the form [8]

$$
\gamma_{1}=\frac{B_{0} N_{1}}{1-B_{1} N_{1}}, \quad \gamma_{2}=\xi \frac{B_{0} N_{2}}{1-\bar{B}_{2} N_{2}}
$$

with $B_{0}, B_{1}, B_{2}$ are material coefficients, $\xi$ is saturation coefficient indicating the different relaxations of carrier densities between two sections; $\Gamma_{1}, \Gamma_{2}$ are confinement facto:s or Peterman coefficients in sections $A$ and $B ; \gamma$ is coefficient which describes the photon loss
in section $A, B$ and mirrors; Function $g\left(\omega_{0}-\omega_{j}\right)$ describes the broadening of spectral laser line which is given in the form of Lorentzian:

$$
g\left(\omega_{0}-\omega_{j}\right)=\frac{1}{1+\left(\frac{2 \Delta_{j}}{\Gamma}\right)^{2}}
$$

with $\Gamma$ is the width of the gain line; $\Delta_{j}=\omega_{0}-\omega_{j}$ is detuning factor; $\omega_{0}, \omega_{j}$ are circular frequencies in the center of the gain line and of $j^{\text {th }}$ mode. The unity in factor $\left(n_{j}+\right.$ 1) indicates the presence of spontaneous emission in laser operation and the last term $\beta \sqrt{n_{j} P_{\omega}}$ deals with the interaction of signal and laser radiation. The interaction coefficient $\beta$ is usually taken to be unity ( $\beta=1 s^{-1}[7]-[8]$ ).

In the stationary , regime, we put all time derivatives in (1), (2), (3) to zero and obtain:

$$
\begin{align*}
& 0=\frac{I_{1}}{e V_{1}}-\eta_{1} \frac{c_{0}}{n_{e f f}} g\left(\omega_{0}-\omega_{j}\right) n_{j}-\gamma_{1} N_{1},  \tag{4}\\
& 0=\frac{I_{2}}{e V_{2}}-\eta_{2} \frac{c_{0}}{n_{e f f}} g\left(\omega_{0}-\omega_{j}\right) n_{j}-\gamma_{2} N_{2}  \tag{5}\\
& 0=\left(\Gamma_{1} \eta_{1}+\Gamma_{2} \eta_{2}\right) \frac{c_{0}}{n_{e f f}} g\left(\omega_{0}-\omega_{j}\right)\left(n_{j}+1\right)-\gamma n_{j}+\beta \sqrt{P_{\omega} n_{j}} . \tag{6}
\end{align*}
$$

We suppose also that $\beta_{i}=0$, which is usually valid for the most of semiconductor lasers used in practice (e.g. InGaAsP, see $[7],[8]$ ) and we also suppose to ignore the presence of spontaneous emission. It follows from (4), (5), (6) that

$$
\begin{equation*}
A n_{j}^{7 / 2}-E n_{j}^{5 / 2}+C n_{j}^{3 / 2}-D n_{j}^{1 / 2}+\beta \sqrt{P_{\omega}} G n_{j}-\beta \sqrt{P_{\omega}} Q n_{j}^{2}-\beta \sqrt{P_{\omega}}=0 \tag{7}
\end{equation*}
$$

where the coefficients $A, E, C, D, G, Q$ are given by:

$$
\begin{aligned}
A & =\frac{\Gamma_{1} \alpha_{1}^{3} \alpha_{2} \nu^{4} g^{4} e V_{1} B_{2}}{4 \xi B_{0}^{2} T_{11}}+\frac{\Gamma_{2} \alpha_{1} \alpha_{2}^{3} \nu^{4} g^{4} e V_{2} B_{1}}{4 \xi B_{0}^{2} T_{22}}, \\
E & =\frac{\Gamma_{1} \alpha_{1}^{3} \nu^{3} g^{3} e V_{1}}{4 B_{0} T_{11}}+\frac{\Gamma_{2} \alpha_{2}^{3} \nu^{3} g^{3} e V_{2}}{4 \xi B_{0} T_{22}}+\frac{\Gamma_{1} \alpha_{1}^{2} \alpha_{2} \nu^{3} g^{3} I_{1} B_{1} B_{2}}{2 \xi B_{0}^{2} T_{11}}+\frac{\Gamma_{2} \alpha_{1} \alpha_{2}^{2} \nu^{3} g^{3} I_{2} B_{1} B_{2}}{2 \xi B_{0}^{2} T_{22}} \\
& +\frac{\Gamma_{1} \alpha_{1}^{2} \alpha_{2} \nu^{3} g^{3} B_{2}+\Gamma_{2} \alpha_{1} \alpha_{2}^{2} \nu^{3} g^{3} B_{1}-2 \gamma \alpha_{1} \alpha_{2} \nu^{2} g^{2} B_{1} B_{2}}{2 \xi B_{0}^{2}}, \\
C & =\frac{\Gamma_{1} \alpha_{1}^{2} g^{2} \nu^{2} I_{1} B_{1}}{2 B_{0} T_{11}}+\frac{\Gamma_{2} \alpha_{2}^{2} \nu^{2} g^{2} I_{2} B_{2}}{2 \xi B_{0} T_{22}}+\frac{\Gamma_{1} \alpha_{1}^{2} \nu^{2} g^{2} \xi+\Gamma_{2} \alpha_{2}^{2} \nu^{2} g^{2}}{2 \xi B_{0}} \\
& +\frac{\Gamma_{1} \alpha_{1} \alpha_{2} \nu^{2} g^{2} B_{2}}{2 \xi B_{0}^{2} e V_{1}} T_{11}+\frac{\Gamma_{2} \alpha_{1} \alpha_{2} \nu^{2} g^{2} B_{1}}{2 \xi B_{0}^{2} e V_{2}} T_{22} \\
& -\frac{\Gamma_{1} \alpha_{1} \alpha_{2} \nu^{2} g^{2} I_{1} B_{1} B_{2}}{2 \xi B_{0}^{2} e V_{1}}-\frac{\Gamma_{2} \alpha_{1} \alpha_{2} \nu^{2} g^{2} I_{2} B_{1} B_{2}}{2 \xi B_{0}^{2} e V_{2}}-\frac{\gamma \alpha_{1} \nu g B_{1} \xi+\gamma \alpha_{2} \nu g B_{2}}{\xi B_{0}} \\
D & =\frac{\Gamma_{1} \alpha_{1} \nu g}{2 B_{0} e V_{1}} T_{11}+\frac{\Gamma_{2} \alpha_{2} \nu g}{2 \xi B_{0} e V_{2}} T_{22}-\frac{\Gamma_{1} \alpha_{1} \nu g I_{1} B_{1}}{2 B_{0} e V_{1}}-\frac{\Gamma_{2} \alpha_{2} \nu g I_{2} B_{2}}{2 \xi B_{0} e V_{2}}-\gamma,
\end{aligned}
$$

$$
\begin{aligned}
G & =\frac{\alpha_{1} \nu g B_{1}}{B_{0}}+\frac{\alpha_{2} \nu g B_{2}}{\xi B_{0}} ; Q=\frac{\alpha_{1} \alpha_{2} \nu^{2} g^{2} B_{1} B_{2}}{\xi B_{0}^{2}} ; \\
\nu & =\frac{c_{0}}{n_{e f f}} ; g=g\left(\omega_{0}-\omega_{j}\right), \\
T_{11} & =\sqrt{4 I_{1} B_{0} e V_{1}+I_{1}^{2} B_{1}^{2}} ; T_{22}=\sqrt{4 \xi I_{2} B_{0} e V_{2}+I_{2}^{2} B_{2}^{2}} .
\end{aligned}
$$

When the external optical signal disappears $\left(P_{\omega}=0\right)$, we obtain from (7)

$$
\begin{equation*}
A n_{j}^{3}-E n_{j}^{2}+C n_{j}-D=0 \tag{8}
\end{equation*}
$$

For the most of semiconductor lasers used in practice we have also [7] $V_{1}=V_{2}=V$, $B_{1}=B_{2}=B, \alpha_{1}=\alpha_{2}=\alpha$. We consider for simplicity the resonance case in which the generating mode frequency coincides with $\omega_{0}$, then we have

$$
g\left(\omega_{0}-\omega_{j}\right)=1
$$

and obtain finally:

$$
\begin{equation*}
n_{j}^{3}-\mathcal{H} n_{j}^{2}+\mathcal{L} n_{j}-\mathcal{M}=0 \tag{9}
\end{equation*}
$$

where:

$$
\begin{aligned}
\mathcal{H} & =\left[\frac{B_{0}}{B}\left(\xi \Gamma_{1} T_{22}+\Gamma_{2} T_{11}\right)+\frac{2 B}{e V}\left(\Gamma_{1} I_{1} T_{22}+\Gamma_{2} T_{2} T_{11}\right)+\frac{2 T_{11} T_{22}}{\alpha \nu e V}\left(\Gamma_{1} \alpha \nu+\Gamma_{2} \alpha \nu-2 \gamma B\right)\right] / \mathcal{T} \\
\mathcal{L} & =\left[\frac{2}{\alpha \nu e V} B_{0}\left(\xi \Gamma_{1} I_{1} T_{22}+\Gamma_{2} I_{2} T_{11}\right)+\frac{B_{0} T_{11} T_{22}}{B}\left(\xi \Gamma_{1}+\Gamma_{2}\right)+\frac{T_{11} T_{22}}{e V}\left(\Gamma_{1} T_{11}+\Gamma_{2} T_{22}\right)\right] / \mathcal{T} \\
& -\left[\frac{B T_{11} T_{22}}{e V}\left(I_{1} \Gamma_{1}+I_{2} \Gamma_{2}\right)+\frac{2 \gamma B_{0} T_{11} T_{22}}{\alpha \nu}\left(\xi I_{1}+1\right)\right] / \mathcal{T} \\
\mathcal{M} & =\frac{2 B_{0} T_{11} T_{22}}{\alpha^{2} \nu^{2} e V}\left[\frac{1}{B e V}\left(\xi \Gamma_{1} T_{11}+\Gamma_{2} T_{22}\right)-\frac{1}{e V}\left(\xi I_{1} \Gamma_{1}+I_{2} \Gamma_{2}\right)-\frac{\xi \gamma B_{0}}{\alpha \nu B}\right] / \mathcal{T} \\
\mathcal{T} & =\alpha \nu\left(\Gamma_{1} T_{22}+\Gamma_{2} T_{11}\right),
\end{aligned}
$$

The Eq. (9) represents the catastrophe manifold of the Riemann-Hugoniot (or 'cusp') catastrophe $A_{3}$ in the Mather-Thom classification [20]. This catastrophe is given by the following potential function $V(x ; a, b)$ :

$$
\begin{equation*}
V(x ; a, b)=\frac{1}{4} x^{4}+\frac{1}{2} a x^{2}+b x . \tag{10}
\end{equation*}
$$

The physical system described by this potential function has evolution generated by variations in the control parameters $a=\mathcal{L}-\mathcal{H}^{2} / 3, b=\mathcal{H} \mathcal{L} / 3-\mathcal{M}-2 \mathcal{H}^{3} / 27$. The
system, in accordance with the general principle of minimization of potential energy, will tend to dwell on the catastrophe surface $M_{3}$ given by

$$
M_{3}=\left\{(x, a, b): x^{3}+a x+b=0\right\} .
$$

The set of degenerate critical points $\Sigma_{3}$, defined by the condition of having multiple roots by the polynomial $w(x)=x^{3}+a x+b$, is expressed by

$$
\begin{equation*}
\Sigma_{3}=\left\{(x, a, b): x^{3}+a x+b=0,3 x^{2}+a=0\right\} \tag{11}
\end{equation*}
$$

The $x$ variable may be eliminated from the system of equations defining the set $\Sigma_{3}$. Then we obtain the bifurcation set $B_{3}$ given by:

$$
B_{3}=\left\{(a, b): 4 a^{3}+27 b^{2}=0\right\}
$$

This set determines the parameters range involved in the problem for which the bistablity effect occurs. The left side of (9) is an universal unfolding of the function $f\left(n_{j}\right)=n_{j}^{3}$ which is structurally stable: the small change of the control parameters (physical parameters involved in the problem) do not change the form of the hysteresis curves as we will see in the next Section.

## 3. Influence of some dynamical parameters on optical bistability effect

### 3.1. The appearance of optical bistability effect

We can now solve numerically the equations (7), (8). The values of the parameters involved in the problem are taken from the experimental data for a concrete semiconductor laser on InGaAsP given by Kinoshita [7] and Yong-Zhen Huang [8]: $c_{0}=3.10^{10} \mathrm{~cm} . \mathrm{s}^{-1}$; $e=1,6.10^{-19} C ; \quad V_{1}=84.10^{-12} \mathrm{~cm}^{3} ; \quad V_{2}=84.10^{-12} \mathrm{~cm}^{3} ; \quad B_{0}=10^{-10} \mathrm{~cm}^{3} . \mathrm{s}^{-1}$; $B_{1}=5.10^{-19} \mathrm{~cm}^{3} ; \quad B_{2}=5.10^{-19} \mathrm{~cm}^{3} ; n_{\text {eff }}=3.4 ; \alpha_{1}=4.10^{-16} \mathrm{~cm}^{2} ; \alpha_{2}=4.10^{-16} \mathrm{~cm}^{2}$; $\xi=0.1 ; \Gamma_{1}=0.5 ; \Gamma_{2}=0.2 ; \gamma=1,71.10^{12} s^{-1} ; \beta_{i}=0 ; \beta=1 \mathrm{~s}^{-1} ; P_{\omega}=10^{22} \mathrm{~cm}^{-3}$.


Fig.2. Hysteresis curve of optical bistability effect in DFB laser with two sections.

In the MATLAB language, we have received a hysteresis curve of optical bistability effect shown in Fig. 2. Here injection current $I_{1}$ is control parameter and distance X1X2 indicates the width of bistability (BSW).

### 3.2. The change of the injection current $I_{2}$

It follows from Fig. 3, that when $I_{2}$ increases, the bistability width (BSW) increases too. For clearness we take three values of $I_{2}: 2 \times 10^{-5} \mathrm{~A}, 2.5 \times 10^{-5} \mathrm{~A}, 2.8 \times 10^{-5} \mathrm{~A}$. The corresponding curves are presented in Fig. 3: The dotted line corresponds to the value of $I_{2}=2 \times 10^{-5} \mathrm{~A}$, the dashed and solid lines correspond to the values of $I_{2}=2.5 \times 10^{-5} \mathrm{~A}$ and $I_{2}=2.8 \times 10^{-5} \mathrm{~A}$. The results are given in the Table I.

## Table I

| $I_{2}(A)$ | $2 \times 10^{-5}$ | $2.5 \times 10^{-5}$ | $2.8 \times 10^{-5}$ |
| :--- | :--- | :--- | :--- |
| $B S W(A)$ | 0.1543 | 0.4423 | 1.3486 |



Fig. 3. Influence of injection current $I_{2}$ on hysteresis curves of optical bistability effect.
Other values of $I_{2}$ are $I_{2}=2 \times 10^{-5} A, I_{2}=2.5 \times 10^{-5} A, I_{2}=2.8 \times 10^{-5} \mathrm{~A}$.

### 3.3. Influence of the saturation coefficient $\xi$

Choosing three values of $\xi$ we also obtain the hysteresis curves and optical bistabilty effect is demonstrated in Fig. 4. When $\xi$ rises the BSW diminishes. The results are given in Table II.

Table II

| $\xi$ | 0.1 | 0.15 | 0.2 |
| :--- | :--- | :--- | :--- |
| $B S W(A)$ | 0.4354 | 0.2194 | 0.1343 |



Fig. 4. Influence of saturation coefficient $\xi$ on BSW of hysteresis curves

### 3.4. Influence of the gain value $\alpha$

In this case the curves of optical bistability are presented in Fig. 5. From this Fig., we see that when the gain value $\alpha$ increases the BSW increases too. The numerical results are given in Table III.

Table III

| $\alpha\left(\mathrm{cm}^{2}\right)$ | $3 \times 10^{-16}$ | $4 \times 10^{-16}$ | $5 \times 10^{-16}$ |
| :--- | :--- | :--- | :--- |
| $B S W(A)$ | 0.4354 | 0.6857 | 1.1211 |



Fig. 5. Influence of gain values $\alpha$ on hysteresis curves of optical bistability effect.

## 5. Conclusions

From above obtained results we derive the following conclusions:

1. Optical bistability effect appeared like in the case of lasers containing saturable absorber (LSA) [16]. Here, the decisive condition for having hysteresis curves of OB effect is the current $I_{2}$ in section $B$ must be much smaller than current $I_{1}$ in section $A$.
2. Laser parameters as gain, saturation coefficients, etc ... will be control parameters for hysteresis curves. The change of dynamical parameters involved in the problem clearly influences on characteristics of optical bistability effect as the bistability width or the optical bistability height. Determination of the values of these parameters, which give the large bistability width for DFB laser is very important from experimental and practical point of view.
In fact, the change values of laser parameters as gain, saturation coefficients, etc... can be realized by changing proportion of $x$ or $y$ in structure $I n_{1-x} G a_{x} A s_{y} P_{1-y}$ of material.

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