

# INDEX OF NILPOTENCY, MULTIPLICITIES AND BLOWING-UP BY SPECIALIZATIONS

Dam Van Nhi

*Pedagogical university Ha Noi, Vietnam*

**Abstract.** Let  $x = (x_1, \dots, x_n)$  be indeterminates and  $u$  an indeterminate, which is considered as a parameter. The specialization of an ideal  $I$  of  $R = k(u)[x]$  with respect to the substitution  $u \rightarrow \alpha$  was defined as the ideal  $I_\alpha$ , which is generated by the set  $\{f(\alpha, x) \mid f(u, x) \in I \cap k[u, x]\}$ . It was showed that the ideal  $I_\alpha$  inherits most of basic properties of  $I$  and it was used to prove many important results in algebra and in algebraic geometry. In this paper we want to prove the preservation of index of nilpotency, multiplicities, Forster-Swan number and to study some properties of blowing-up of factor ring by specializations.

## Introduction

The purpose of this paper is to prove the preservation of index of nilpotency, multiplicities, Forster-Swan number and to study some properties of blowing-up of factor ring by specializations. Here we want to fix some of notations that will be used throughout this paper. The groundfield  $k$  is always assumed to be infinite and perfect. A field extension of  $k$  will be denoted by  $K$ . Aggregates such as  $x_1, \dots, x_n$  or  $\alpha_1, \dots, \alpha_m$ , where  $\forall \alpha_i \in K$ , will often be written  $x$  or  $\alpha$ . Accordingly, the ring or field extensions  $k[x_1, \dots, x_n]$  or  $k(\alpha_1, \dots, \alpha_m)$  will be written by  $k[x]$  or  $k(\alpha)$ , with evident variants of these designations. Denote the ring  $k(u)[x]$  by  $R$ , and the ring  $k(\alpha)[x]$  by  $R_\alpha$ .

The theory of specialization of ideals was introduced by W. Krull [3]. Krull defined the specialization of an ideal  $I$  of  $R = k(u)[x]$  with respect to the substitution  $u \rightarrow \alpha$  as the ideal  $I_\alpha$ , which is generated by the set  $\{f(\alpha, x) \mid f(u, x) \in I \cap k[u, x]\}$ , where  $\alpha \in k^m$ . The ideal  $I_\alpha$  inherits most of basic properties of  $I$ .

The notion of specialization of an ideal also played an important role in the study of normal varieties by A. Seidenberg who proved that almost all hyperplane sections of a normal variety are normal again under certain conditions [10]. Following these works, N. V. Trung studied the preservation of properties from the quotient ring  $R/I$  to  $R_\alpha/I_\alpha$  [12]. Using specializations of finitely generated free modules and homomorphisms between them we defined in [4] the specialization of finitely generated module. We showed that basic properties and operations on modules are preserved by specializations. In [5],[6] we followed the same approach to introduce and to study specializations of finitely generated modules over a local ring and of graded modules. Present paper is a continuation of an earlier one [5]. The aim of this paper is to study the preservation of some invariants of modules and some properties of blowing-up of factor ring through a specialization.

The paper is divided in three sections. Section 1 is devoted to the discussion of index of nilpotency and multiplicities of modules. We shall see that these invariants are

unchanged through a specialization. In Section 2, we shall see that the preservation of rank and Forster-Swan number of modules, and of dimension of symmetric algebras by specializations are proved. While some properties of the blowing-up of factor ring by specializations will be studied in section 3.

In this paper, we shall say that a property holds for almost all  $\alpha$  if it holds for all  $\alpha$  except perhaps those lying on a proper algebraic subvariety of  $K^m$ . For unexplained notations we refer the reader to [2], [11]. For convenience we will *often skip the phrase "for almost all  $\alpha$ " when we are working with specializations.*

## 1. Index of nilpotency and multiplicities of modules by specialization

We start by recalling the definition of a specialization of a finitely generated  $S$ -module. Let  $P$  be an arbitrary prime ideal of  $R$ . The first obstacle in defining the specialization of  $R_P$  is that the specialization  $P_\alpha$  of  $P$  in  $R_\alpha$  need not to be a prime ideal. The natural candidate for the specialization of  $R_P$  is the local ring  $(R_\alpha)_\wp$ , where  $\wp$  is an arbitrary associated prime ideal of  $P_\alpha$ . Such a local ring was already considered with regard to specializations of points in [12]. For short we put  $S = R_P$  and  $S_\alpha = (R_\alpha)_\wp$ . The notion  $S_\alpha$  is not unique. However, all local rings  $S_\alpha$  have the same dimension as  $S$ . Here the maximal ideals  $PS$  of  $S$  and  $\wp S_\alpha$  of  $S_\alpha$  will be denoted by  $\mathfrak{m}$  and  $\mathfrak{n}$ , respectively.

Now we will recall a specialization of any element of the ring  $S$ . Note that an arbitrary element  $f \in R$  may be written in the form

$$f = \frac{p(u, x)}{q(u)}, \quad p(u, x) \in k[u, x], \quad q(u) \in k[u] \setminus \{0\}.$$

In [6], for any  $\alpha$  such that  $q(\alpha) \neq 0$  we define  $f_\alpha := p(\alpha, x)/q(\alpha)$ . For every element

$$a = \frac{f}{g} \in S, \quad f, g \in R, \quad g \notin P,$$

there is  $g_\alpha \notin P_\alpha$  and we define  $a_\alpha := f_\alpha/g_\alpha$ . Then  $a_\alpha$  is uniquely determined and belongs to  $S_\alpha$  for almost all  $\alpha$ , (see [5]).

Let  $M$  be a finitely generated  $S$ -module. Assume that the following exact sequence

$$S^q \xrightarrow{\phi} S^p \longrightarrow M \longrightarrow 0,$$

where the matrix of  $\phi$  is  $A = (a_{ij})$  with all  $a_{ij} \in S$ , is a finite free presentation of  $M$ . We now obtain a homomorphism  $\phi_\alpha : S_\alpha^q \longrightarrow S_\alpha^p$  given by the matrix  $((a_{ij})_\alpha)$ . As the definition of a specialization of module, we obtain a finite free presentation

$$S_\alpha^q \xrightarrow{\phi_\alpha} S_\alpha^p \longrightarrow M_\alpha \longrightarrow 0,$$

where  $M_\alpha = \text{Coker } \phi_\alpha$ , see [5]. The  $S_\alpha$ -module  $M_\alpha$  is called a specialization of  $M$ . In particular, we note that  $\mathfrak{m}_\alpha = \mathfrak{n}$ . Denote the dimension of  $M$  by  $d$ . The following result shows that the dimension of module is preserved by a specialization.

**Lemma 1.1.** [5, Theorem 2.6] Let  $M$  be a finitely generated  $S$ -module. Then, for almost all  $\alpha$ , we have

- (i)  $\text{Ann } M_\alpha = (\text{Ann } M)_\alpha$ ,
- (ii)  $\dim M_\alpha = \dim M$ .

With the following lemma we see that specializations commute with the Tor and the Ext functors.

**Lemma 1.2.** [5, proposition 3.3] Let  $L$  and  $M$  be finitely generated  $S$ -modules. For almost all  $\alpha$ ,

$$\begin{aligned}\text{Ext}_{S_\alpha}^i(L_\alpha, M_\alpha) &\cong \text{Ext}_S^i(L, M)_\alpha, \quad i \geq 0, \\ \text{Tor}_i^{S_\alpha}(L_\alpha, M_\alpha) &\cong \text{Tor}_i^S(L, M)_\alpha, \quad i \geq 0.\end{aligned}$$

Now we shall prove the preservation of the index of nilpotency of an ideal through a specialization. We first recall the definition of index of nilpotency. The *index of nilpotency* of an ideal  $I$  of a commutative ring, which is denoted by  $\text{nil}(I)$ , is the smallest integer  $s$  such that  $(\sqrt{I})^s \subset I$ . It is to provide a path to the estimation of the exponent in the Nullstellensatz. This is the classical notion of multiplicity of a primary ideal regarding the Loewy length of a module.

Let  $M$  be a finitely generated  $S$ -module. When  $M$  has a finite length, the *Loewy length* of  $M$  is the smallest integer  $s$  such that  $\mathfrak{m}^s M = 0$ . It is denoted by  $\ell\ell(M)$ . Let  $\mathfrak{q}$  be a prime ideal of  $S$ . Set

$$\Gamma_{\mathfrak{q}}(M_{\mathfrak{q}}) := \bigcup_{m \geq 0} (0_{M_{\mathfrak{q}}} : \mathfrak{q}^m).$$

The *Loewy multiplicity* of  $M$  at  $\mathfrak{q}$  is  $\text{Lmult}_M(\mathfrak{q}) = \ell\ell(\Gamma_{\mathfrak{q}}(M_{\mathfrak{q}}))$ . These notions were used in [13] in order to estimate the index of nilpotency for  $\mathfrak{m}$ -primary ideals. When  $I$  is a  $P$ -primary ideal,  $\text{nil}(I) = \text{nil}(I_P) = \ell\ell(R_P/I_P)$  and it will be called the *nilpotency degree* of  $S/IS$ .

Suppose that  $I \subseteq P$  is a prime ideal of  $R$  such that  $\mathfrak{q} = IS$ . By [5, Corollary 1.6], we set  $\mathfrak{q}_\alpha = I_\alpha S_\alpha$ . It is known that  $I_\alpha$  is a radical ideal of  $R_\alpha$ . Thus,  $\mathfrak{q}_\alpha$  is a radical ideal. Suppose that  $Q$  is an arbitrary associated prime ideal of  $I_\alpha$  such that  $Q \subseteq \mathfrak{p}$ . Then we have the following theorem.

**Theorem 1.3.** Let  $M$  be a finitely generated  $S$ -module of finite length and  $\mathfrak{q}$  a prime ideal of  $S$ . Then, for almost all  $\alpha$ , we have

$$\begin{aligned}\ell\ell(M_\alpha) &= \ell\ell(M), \\ \text{Lmult}_{M_\alpha}(Q S_\alpha) &= \text{Lmult}_M(\mathfrak{q}).\end{aligned}$$

*Proof.* We first prove the equality  $\ell\ell(M_\alpha) = \ell\ell(M)$ . By [5, Proposition 2.8],  $M_\alpha$  is a  $S_\alpha$ -module of finite length. Put  $\ell\ell(M) = s$ . Then  $\mathfrak{m}^s M = 0$ , and  $\mathfrak{m}^{s-1} M \neq 0$ . By Lemma 1.1, we get  $\mathfrak{m}_\alpha^s M_\alpha = 0$ . Since  $\mathfrak{m}^{s-1} M \neq 0$ ,  $\mathfrak{a} = \text{Ann}(\mathfrak{m}^{s-1} M) \neq S$ . There is an ideal  $I$  of  $R$  such that  $I \subseteq P$  and  $\mathfrak{a} = IS$ . By [5, Corollary 1.6], we get

$$\mathfrak{a}_\alpha = I_\alpha S_\alpha \subseteq P_\alpha S_\alpha = \mathfrak{m}_\alpha.$$

Hence

$$\text{Ann}(\mathfrak{m}_\alpha^{s-1}M_\alpha) = (\text{Ann}(\mathfrak{m}^{s-1}M))_\alpha \subseteq \mathfrak{m}_\alpha = \mathfrak{n}.$$

Then  $\mathfrak{m}_\alpha^{s-1}M_\alpha \neq 0$ . Hence  $\ell\ell(M_\alpha) = s = \ell\ell(M)$  for almost all  $\alpha$ .

Next we will prove that  $\text{Lmult}_{M_\alpha}(QS_\alpha) = \text{Lmult}_M(\mathfrak{q})$ . Suppose that

$$S^h \xrightarrow{\phi} S^t \longrightarrow M \longrightarrow 0$$

is a finite free presentation of  $M$ . By localization at the prime ideal  $\mathfrak{q}$ , the exact sequence

$$S_{\mathfrak{q}}^h \xrightarrow{\phi_{\mathfrak{q}}} S_{\mathfrak{q}}^t \longrightarrow M_{\mathfrak{q}} \longrightarrow 0$$

is a finite free presentation of the  $S_{\mathfrak{q}}$ -module  $M_{\mathfrak{q}}$ . Since  $S_{\mathfrak{q}} = (R_P)_{IR_P} = R_I$ , we may consider  $M_{\mathfrak{q}}$  as a finitely generated  $R_I$ -module with a finite free presentation

$$R_I^h \longrightarrow R_I^t \longrightarrow M_{\mathfrak{q}} \longrightarrow 0.$$

For the specialization of  $R_I$  is the local ring  $(R_\alpha)_Q$ . By the definition of specialization of  $M_{\mathfrak{q}}$ , we have the finite free presentation of  $(M_{\mathfrak{q}})_\alpha$ :

$$(R_\alpha)_Q^h \longrightarrow (R_\alpha)_Q^t \longrightarrow (M_{\mathfrak{q}})_\alpha \longrightarrow 0.$$

Since the sequence  $S_\alpha^h \longrightarrow S_\alpha^t \longrightarrow M_\alpha \longrightarrow 0$  is exact, we get the exact sequence

$$(S_\alpha)_{QS_\alpha}^h \longrightarrow (S_\alpha)_{QS_\alpha}^t \longrightarrow (M_\alpha)_{QS_\alpha} \longrightarrow 0.$$

Since  $(S_\alpha)_{QS_\alpha} = ((R_\alpha)_\nu)_{Q(R_\alpha)_\nu} = (R_\alpha)_Q$ , we obtain the following commutative diagram

$$\begin{array}{ccccccc} (R_\alpha)_Q^h & \longrightarrow & (R_\alpha)_Q^t & \longrightarrow & (M_{\mathfrak{q}})_\alpha & \longrightarrow & 0 \\ \downarrow \text{id} & & \downarrow \text{id} & & & & \\ (R_\alpha)_Q^h & \longrightarrow & (R_\alpha)_Q^t & \longrightarrow & (M_\alpha)_{QS_\alpha} & \longrightarrow & 0 \end{array}$$

and then there is an induced isomorphism  $(M_{\mathfrak{q}})_\alpha \xrightarrow{\sim} (M_\alpha)_{QS_\alpha}$  such that the diagram

$$\begin{array}{ccccccc} (R_\alpha)_Q^h & \longrightarrow & (R_\alpha)_Q^t & \longrightarrow & (M_{\mathfrak{q}})_\alpha & \longrightarrow & 0 \\ \downarrow \text{id} & & \downarrow \text{id} & & \downarrow & & \\ (R_\alpha)_Q^h & \longrightarrow & (R_\alpha)_Q^t & \longrightarrow & (M_\alpha)_{QS_\alpha} & \longrightarrow & 0 \end{array}$$

is commutative. Hence, we can identify the module  $(M_{\mathfrak{q}})_\alpha$  with the module  $(M_\alpha)_{QS_\alpha}$ . Because  $M_{\mathfrak{q}}$  is a Noetherian module, there is an integer  $t$  such that

$$\Gamma_{\mathfrak{q}}(M_{\mathfrak{q}}) = 0_{M_{\mathfrak{q}}} : \mathfrak{q}^t \text{ and } 0_{M_{\mathfrak{q}}} : \mathfrak{q}^t = 0_{M_{\mathfrak{q}}} : \mathfrak{q}^m \text{ for all } m \geq t.$$

Since  $\Gamma_{\mathfrak{q}}(M_{\mathfrak{q}}) = \Gamma_{\mathfrak{q}S_{\mathfrak{q}}}(M_{\mathfrak{q}})$ , we have  $\Gamma_{\mathfrak{q}}(M_{\mathfrak{q}}) = \Gamma_{\mathfrak{q}}(M)_{\mathfrak{q}}$ . Since

$$\begin{aligned} (0_{M_{\mathfrak{q}}} : \mathfrak{q}^m)_\alpha &= ((0_M : \mathfrak{q}^m)_{\mathfrak{q}})_\alpha \\ &= ((0_M : \mathfrak{q}^m)_\alpha)_{QS_\alpha} \text{ by above proof} \\ &\cong (0_{M_\alpha} : \mathfrak{q}_\alpha^m)_{QS_\alpha} \text{ by [5, Lemma 2.5]}, \end{aligned}$$

we get  $\Gamma_{\mathfrak{q}}(M_{\mathfrak{q}})_{\alpha} = (0_{M_{\mathfrak{q}}} : \mathfrak{q}^t)_{\alpha} \cong (0_{M_{\alpha}} : \mathfrak{q}_{\alpha}^t)_{QS_{\alpha}}$ , and  $(0_{M_{\alpha}} : \mathfrak{q}_{\alpha}^t)_{QS_{\alpha}} = (0_{M_{\alpha}} : \mathfrak{q}_{\alpha}^m)_{QS_{\alpha}}$  for all  $m \geq t$ . Hence  $\ell\ell(\Gamma_{\mathfrak{q}}(M_{\mathfrak{q}})_{\alpha}) = \ell\ell(\Gamma_{\mathfrak{q}_{\alpha}}((M_{\mathfrak{q}})_{\alpha}))$ , and it follows from the fact that  $(M_{\mathfrak{q}})_{\alpha} = (M_{\alpha})_{QS_{\alpha}}$  that

$$\ell\ell(\Gamma_{\mathfrak{q}}(M_{\mathfrak{q}})) = \ell\ell(\Gamma_{\mathfrak{q}_{\alpha}}((M_{\mathfrak{q}})_{\alpha})) = \ell\ell(\Gamma_{\mathfrak{q}_{\alpha}}((M_{\alpha})_{QS_{\alpha}}))$$

and therefore,  $\text{Lmult}_{M_{\alpha}}(QS_{\alpha}) = \text{Lmult}_M(\mathfrak{q})$  for almost all  $\alpha$ .

Using this result, we will show that the index of nilpotency of a  $P$ -primary ideal is preserved by specialization.

**Corollary 1.4.** *Let  $I$  be a  $P$ -primary ideal of  $R$ . Then, for almost all  $\alpha$ , we have*

$$\text{nil}(IS) = \text{nil}(I_{\alpha}S_{\alpha}).$$

*Proof.* By Theorem 1.3, we get  $\ell\ell((R/I)_P) = \ell\ell(((R/I)_P)_{\alpha}) = \ell\ell((R_{\alpha}/I_{\alpha})_P)$ . Hence  $\text{nil}(I_P) = \text{nil}((I_{\alpha})_P)$  for almost all  $\alpha$ .

**Lemma 1.5.** *Let  $I$  be an ideal of  $R$ . Then, for almost all  $\alpha$ , we have*

$$(\sqrt{I})_{\alpha} = \sqrt{I_{\alpha}}.$$

*Proof.* Assume that  $I = I_1 \cap \dots \cap I_s$  is a minimal primary decomposition of  $I$ , where  $P_i = \sqrt{I_i}$ ,  $i = 1, \dots, s$ . By [3, Satz 3],  $I_{\alpha} = (I_1)_{\alpha} \cap \dots \cap (I_s)_{\alpha}$ . Suppose that

$$(I_i)_{\alpha} = H_{i1} \cap \dots \cap H_{it_i}, i = 1, \dots, s$$

is a minimal primary decomposition. Let

$$P_{il} = \sqrt{H_{il}}, i = 1, \dots, s, l = 1, \dots, t_i.$$

Since  $k$  is perfect,  $(P_i)_{\alpha} = P_{i1} \cap \dots \cap P_{it_i}$ ,  $i = 1, \dots, s$ . Hence

$$(\sqrt{I})_{\alpha} = \bigcap_{i=1}^s (P_{i1} \cap \dots \cap P_{it_i}).$$

By [3, Satz 8 and Satz 9], the minimal primary decomposition of  $I_{\alpha}$  is

$$I_{\alpha} = \bigcap_{i=1}^s (H_{i1} \cap \dots \cap H_{it_i}).$$

Hence  $\sqrt{I_{\alpha}} = \bigcap_{i=1}^s (P_{i1} \cap \dots \cap P_{it_i}) = (\sqrt{I})_{\alpha}$ .

**Proposition 1.6.** *Let  $\mathfrak{a}$  be an ideal of  $S$ . Then, for almost all  $\alpha$ , we have*

$$\text{nil}(\mathfrak{a}) = \text{nil}(\mathfrak{a}_{\alpha}).$$

*Proof.* Assume that  $\mathfrak{a} = IS$ , where  $I \subseteq P$  is an ideal of  $R$ . There is  $\mathfrak{a}_\alpha = I_\alpha S_\alpha$ . Since  $\sqrt{\mathfrak{a}} = \sqrt{IS}$  and  $\sqrt{\mathfrak{a}_\alpha} = \sqrt{I_\alpha S_\alpha}$ , therefore

$$\begin{aligned} (\sqrt{\mathfrak{a}})_\alpha &= (\sqrt{IS})_\alpha = (\sqrt{I})_\alpha S_\alpha \text{ by [5, Corollary 1.6]} \\ &= \sqrt{I_\alpha} S_\alpha \text{ by Lemma 1.5} = \sqrt{\mathfrak{a}_\alpha}. \end{aligned}$$

Put  $\text{nil}(\mathfrak{a}) = s$ . Since  $(\sqrt{\mathfrak{a}})^s \subseteq \mathfrak{a}$ , by an easy computation it follows that

$$(\sqrt{\mathfrak{a}_\alpha})^s = (\sqrt{\mathfrak{a}})_\alpha^s \subseteq \mathfrak{a}_\alpha.$$

Hence  $\text{nil}(\mathfrak{a}_\alpha) \leq s$ . We need still show that  $\text{nil}(\mathfrak{a}_\alpha) \geq s$ . Indeed, by definition of the index of nilpotency, there is  $(\sqrt{\mathfrak{a}})^{s-1} \not\subseteq \mathfrak{a}$ . Then  $(\sqrt{\mathfrak{a}_\alpha})^{s-1} \not\subseteq \mathfrak{a}_\alpha$ . This proves  $\text{nil}(\mathfrak{a}_\alpha) \geq s$ , and therefore  $\text{nil}(\mathfrak{a}_\alpha) = s = \text{nil}(\mathfrak{a})$  for almost all  $\alpha$ .

We continue with the preservation of multiplicities of modules through a specialization. Before stating the theorem we first recall some notations and definitions.

Let  $M$  be a finitely generated  $S$ -module. A sequence of elements  $\underline{a} = a_1, \dots, a_p$  in  $m$  is a *multiplicity system* of  $M$  if  $\lambda(M/(\underline{a})M)$  is finite, where  $\lambda$  is the length of modules. Let  $\mathfrak{q} = (a_1, \dots, a_p)S$  an ideal of  $S$ . Now we will show that the multiplicity of  $M$  with respect to  $\mathfrak{q}$  is unchanged through a specialization. By [11, Lemma 1.4] it is sufficient to treat the case in which  $a_1, \dots, a_p$  is a system of parameters for  $M$  with  $p = \dim M = d$ . We know that the *multiplicity* of  $M$  with respect to  $\mathfrak{q}$  is defined as the number

$$e(\mathfrak{q}, M) = \lim_{h \rightarrow \infty} \frac{\lambda(M/\mathfrak{q}^h M) \cdot d!}{h^d}.$$

Note that  $e(\mathfrak{q}, S)$  will be denoted by  $e(\mathfrak{q})$ . The following theorem shows that the multiplicity of  $M$  with respect to  $\mathfrak{q}$  is unchanged by a specialization.

**Theorem 1.7.** [7, Theorem 1.6] *Let  $M$  be a finitely generated  $S$ -module of dimension  $d$  and let*

$$\mathfrak{q} = (a_1, \dots, a_d)S$$

*be a parameter ideal on  $M$ . Then, for almost  $\alpha$ , we have*

$$e(\mathfrak{q}_\alpha; M_\alpha) = e(\mathfrak{q}; M),$$

*where  $e(\mathfrak{q}_\alpha; M_\alpha)$  and  $e(\mathfrak{q}; M)$  are the multiplicities of  $M_\alpha$  and  $M$  with respect to  $\mathfrak{q}_\alpha$  and  $\mathfrak{q}$  respectively.*

Let  $H_m^i(M)$ ,  $i \in \mathbb{Z}$ , denote the  $i$ th local cohomology modules of  $M$ . In [1], the  $i$ th *pseudo-support*  $\text{Psupp}^i(M)$  and  $i$ th *pseudo-dimension*  $\text{psd}^i(M)$  of  $M$  are defined as follows

$$\begin{aligned} \text{Psupp}^i(M) &= \{\mathfrak{p} \in \text{Spec}(S) \mid H_{\mathfrak{p}S_{\mathfrak{p}}}^{i-\dim S/\mathfrak{p}}(M_{\mathfrak{p}}) \neq 0\}, \\ \text{psd}^i(M) &= \sup\{\dim S/\mathfrak{p} \mid \mathfrak{p} \in \text{Psupp}^i(M)\}. \end{aligned}$$

Let  $\mathfrak{q}$  be an  $\mathfrak{m}$ -primary ideal of  $S$ . The multiplicity of local cohomology modules is defined by

$$e'(\mathfrak{q}, H_{\mathfrak{m}}^i(M)) = \sum_{\substack{\mathfrak{p} \in \text{Psupp}^i(M) \\ \dim S/\mathfrak{p} = \text{psd}^i(M)}} \ell_{S_{\mathfrak{p}}}(H_{\mathfrak{p}S_{\mathfrak{p}}}^{i-\dim S/\mathfrak{p}}(M_{\mathfrak{p}}))e(\mathfrak{q}, S/\mathfrak{p}).$$

To prove the preservation of multiplicity of local cohomology modules by a specialization we will study the specializations of modules

$$K_M^i = \text{Hom}_S(H_{\mathfrak{m}}^i(M), E(S/\mathfrak{m})) \text{ for } i = 0, \dots, d,$$

where  $E(S/\mathfrak{m})$  denotes the injective hull of the residue field  $S/\mathfrak{m}$ . The modules  $K_M^i$  are again the finitely generated  $S$ -modules. Clearly  $K_M^i = 0$  for all  $i < 0$  and  $i > \dim M$ . We often write  $K_M$  instead of  $K_M^d$ . This module  $K_M$  is called the *canonical module* of  $M$ , see [2], [8].

**Lemma 1.8.** *Let  $M$  be a finitely generated  $S$ -module. Then, for almost  $\alpha$ , we have*

$$(K_M^i)_{\alpha} \cong K_{M_{\alpha}}^i, i = 0, \dots, d.$$

*Proof.* By Lemma 1.1,  $\dim M_{\alpha} = \dim M = d$ . Let  $r = \dim S = \dim S_{\alpha}$ . Since  $S$  and  $S_{\alpha}$  are regular rings, they are Gorenstein rings. Therefore  $K_M^i = \text{Ext}_S^{r-i}(M, S)$  and  $K_{M_{\alpha}}^i = \text{Ext}_{S_{\alpha}}^{r-i}(M_{\alpha}, S_{\alpha})$  by Matlis duality. Since  $\text{Ext}_S^{r-i}(M, S)_{\alpha} \cong \text{Ext}_{S_{\alpha}}^{r-i}(M_{\alpha}, S_{\alpha})$ ,  $i = 0, \dots, d$ , by Lemma 1.2, we have  $(K_M^i)_{\alpha} \cong K_{M_{\alpha}}^i$  for almost  $\alpha$ .

**Proposition 1.9.** *Let  $M$  be a finitely generated  $S$ -module and  $\mathfrak{q}$  an  $\mathfrak{m}$ -primary ideal of  $S$ . Then, for almost  $\alpha$ , we have*

- (i) *an ideal  $\mathfrak{b}$  of  $S$  with  $\text{Psupp}^i(M) = V(\mathfrak{b})$  such that  $\text{Psupp}^i(M_{\alpha}) = V(\mathfrak{b}_{\alpha})$ ,*
- (ii)  *$e'(\mathfrak{q}_{\alpha}, H_n^i(M_{\alpha})) = e'(\mathfrak{q}, H_n^i(M))$ ,*
- (iii)  *$\text{psd}^i(M_{\alpha}) = \text{psd}^i(M)$ .*

*Proof.* (i) By [1, Proposition 1.2 (iii)],  $\text{Psupp}^i(M) = \text{Supp}(K_M^i) = V(\mathfrak{b})$ , where  $\mathfrak{b} = \text{Ann } K_M^i$ . Since  $(K_M^i)_{\alpha} \cong K_{M_{\alpha}}^i$  by Lemma 1.8 and  $\mathfrak{b}_{\alpha} = (\text{Ann } K_M^i)_{\alpha} = \text{Ann } K_{M_{\alpha}}^i$  by Lemma 1.1,  $\text{Psupp}^i(M_{\alpha}) = \text{Supp}(K_{M_{\alpha}}^i) = V(\mathfrak{b}_{\alpha})$ .

(ii) By [1, Proposition 1.2 (ii)], we know that

$$e'(\mathfrak{q}, H_n^i(M)) = e(\mathfrak{q}, K_M^i) \text{ and } e'(\mathfrak{q}_{\alpha}, H_n^i(M_{\alpha})) = e(\mathfrak{q}_{\alpha}, K_{M_{\alpha}}^i).$$

Since  $(K_M^i)_{\alpha} \cong K_{M_{\alpha}}^i$  by Lemma 1.8 and  $e(\mathfrak{q}_{\alpha}, (K_M^i)_{\alpha}) = e(\mathfrak{q}, K_M^i)$  by Theorem 1.7, we have  $e(\mathfrak{q}_{\alpha}, K_{M_{\alpha}}^i) = e(\mathfrak{q}, K_M^i)$ . Hence  $e'(\mathfrak{q}_{\alpha}, H_n^i(M_{\alpha})) = e'(\mathfrak{q}, H_n^i(M))$ .

(iii) By [1, Theorem 2.4], we know that  $\text{psd}^i(M)$  is equal to the dimension of  $H_n^i(M)$ . Since  $\text{Ann } H_n^i(M_{\alpha}) = \text{Ann } H_n^i(M)_{\alpha}$  by [5, Lemma 3.5], upon simple computation, we get  $\dim H_n^i(M_{\alpha}) = \dim H_n^i(M)$  from [5, Lemm 1.1]. Hence  $\text{psd}^i(M_{\alpha}) = \text{psd}^i(M)$  for almost  $\alpha$ .

## 2. Preservation of Forster-Swan number by specializations.

In this section, the problem of concern is the preservation of Forster-Swan number and of dimension of symmetric algebra of a module by specializations. First we want to study the preservation of Forster-Swan number and of dimension of symmetric algebra of a module by specializations.

Let  $M$  be a finitely generated  $S$ -module. In this section we want to study the preservation of Forster-Swan number and dimension of symmetric algebra of  $M$  by specializations. Recall that the *Forster-Swan number* of  $M$  is defined to be

$$b(M) = \sup_{\mathfrak{q} \in \text{Spec}(S)} \{ \dim S/\mathfrak{q} + \mu(M_{\mathfrak{q}}) \},$$

where  $\mu(M_{\mathfrak{q}})$  is the minimal number of generators of  $M_{\mathfrak{q}}$ . Note that  $b(M)$  is always bounded below by  $b_0(M) = \dim S + \text{rank } M$ . The *tensor algebra*  $T_S(M)$  of  $M$  is defined the graded, non-commutative algebra

$$T_S(M) = S \oplus M \oplus (M \otimes_S M) \oplus \cdots,$$

where the product of  $y_1 \otimes \cdots \otimes y_s$  and  $z_1 \otimes \cdots \otimes z_h$  is  $y_1 \otimes \cdots \otimes y_s \otimes z_1 \otimes \cdots \otimes z_h$ . The *symmetric algebra*  $S(M)$  of  $M$  obtained from  $T_S(M)$  by factoring out the two-sided ideal generated by relations  $y \otimes z - z \otimes y$  for all  $y, z \in M$ .

Denote the total ring of fractions of  $S$  by  $H$ . Then  $M$  has *rank*  $r$ , which is denoted by  $\text{rank } M$ , if  $M \otimes H$  is a free  $H$ -module of rank  $r$ . If  $\psi : M \rightarrow N$  is a homomorphism of  $S$ -modules then the number  $\text{rank}(\psi)$  is defined to be the number  $\text{rank } \text{Im}(\psi)$ . We shall see that these numbers are unchanged through a specialization.

**Lemma 2.1.** *Let  $M$  be a finitely generated  $S$ -module with a finite free presentation  $S^q \xrightarrow{\phi} S^p \rightarrow M \rightarrow 0$ . Then  $\text{rank } M_{\alpha} = \text{rank } M$  and  $\text{rank}(\phi_{\alpha}) = \text{rank}(\phi)$  for almost all  $\alpha$ .*

*Proof.* Suppose that  $\mathbf{F}_{\bullet} : 0 \rightarrow S^{p_{\ell}} \xrightarrow{\phi_{\ell}} S^{p_{\ell-1}} \rightarrow \cdots \rightarrow S^{p_1} \xrightarrow{\phi} S^{p_0} \rightarrow M \rightarrow 0$  is a finite free resolution of  $M$ . Then, the following complex

$$(\mathbf{F}_{\bullet})_{\alpha} : 0 \rightarrow S_{\alpha}^{p_{\ell}} \xrightarrow{(\phi_{\ell})_{\alpha}} S_{\alpha}^{p_{\ell-1}} \rightarrow \cdots \rightarrow S_{\alpha}^{p_1} \xrightarrow{\phi_{\alpha}} S_{\alpha}^{p_0} \rightarrow M_{\alpha} \rightarrow 0$$

is a finite free resolution of  $M_{\alpha}$  by [5, Theorem 3.1]. By [2, Corollary 1.4.5], we get

$$\text{rank } M_{\alpha} = \sum_{j=0}^{\ell} (-1)^j p_j = \text{rank } M.$$

By [2, Proposition 1.4.3] we get  $\text{rank}(\phi_{\alpha}) = \text{rank}(\phi)$  for almost all  $\alpha$ .

Let  $I_t(\phi)$  be the ideal generated by  $t \times t$  minors of the matrix  $A$  of  $\phi$ . For an integer  $h$ , the module  $M$  satisfies the condition  $F_h$  if

$$\text{ht } I_t(\phi) \geq \text{rank}(\phi) - t + 1 + h, \quad 1 \leq t \leq \text{rank}(\phi),$$

see [14, Definition 1.3.1]. We have the following lemma.



**Lemma 2.2.** *Let  $M$  be a finitely generated  $S$ -module. If  $M$  satisfies the condition  $F_h$ , then  $M_\alpha$  also satisfies the condition  $F_h$  for almost all  $\alpha$ .*

*Proof.* Let  $a_1, \dots, a_s$  be the  $d \times d$ -minors of  $A$ ,  $d = \text{rank } \phi$ . We may write  $a_j = f_j/g_j$  with  $f_j, g_j \in R$ ,  $g_j \notin P$ . Then

$$I((\phi)_\alpha) = ((a_1)_\alpha, \dots, (a_s)_\alpha) = ((f_1)_\alpha, \dots, (f_s)_\alpha)S_\alpha.$$

Let  $I$  be the ideal of  $R$  generated by the elements  $f_1, \dots, f_s$ . Then  $I_\alpha = ((f_1)_\alpha, \dots, (f_s)_\alpha)$  by [4, Corollary 3.3]. Therefore,  $I((\phi_j)_\alpha) = I_\alpha S_\alpha$ . Since  $S$  and  $S_\alpha$  are regular rings and  $\dim S = \dim S_\alpha$ ,  $\text{ht } I_t(\phi_\alpha) = \text{ht } I_t(\phi)$  for all  $t$  by Lemma 1.1. Since  $\text{rank}(\phi_\alpha) = \text{rank}(\phi)$  by Lemma 2.1, we get

$$\text{ht } I_t(\phi_\alpha) \geq \text{rank}(\phi_\alpha) - t + 1 + h, \quad 1 \leq t \leq \text{rank}(\phi_\alpha).$$

Hence,  $M_\alpha$  satisfies the condition  $F_h$  for almost all  $\alpha$ .

We are now in a position to prove the following proposition about the preservation of the Forster-Swan number and the dimension of  $S(M)$  of  $M$  through a specialization.

Define the following integral valued function on  $[1, \text{rank}(\phi)]$ :

$$d(t) = \begin{cases} \text{rank}(\phi) - t + 1 - \text{ht } I_t(\phi) & \text{if } F_0 \text{ is violated at } t, \\ 0 & \text{otherwise.} \end{cases}$$

If we put  $d(M) = \sup_t \{d(t)\}$ , we have the dimension formula:  $b(M) = b_0(M) + d(M)$  by [14, Theorem 1.3.5].

**Theorem 2.3.** *Let  $M$  be a finitely generated  $S$ -module. Then, for almost all  $\alpha$ , we have*

- (i)  $b(M_\alpha) = b(M)$ ,
- (ii)  $\dim S(M_\alpha) = \dim S(M)$ .

*Proof.* (i) Since  $\dim S_\alpha = \dim S$  and  $\text{rank}(M_\alpha) = \text{rank}(M)$  by Lemma 2.1,  $b_0(M_\alpha) = b_0(M)$ . From the definition of  $d(t)$  it follows that  $d(M_\alpha) = d(M)$ . Since  $b(M_\alpha) = b_0(M_\alpha) + d(M_\alpha)$  and  $b(M) = b_0(M) + d(M)$ , we have  $b(M_\alpha) = b(M)$ .

(ii) By the theorem of Hunecke-Rossi,  $\dim S(M_\alpha) = b(M_\alpha)$  and  $\dim S(M) = b(M)$ , see [14, Theorem 1.2.1]. By (i),  $\dim S(M_\alpha) = \dim S(M)$  for almost all  $\alpha$ .

### 3. Blowing-up of factor ring by specialization

The present section will be devoted to study the preservation of Blowing-up of factor ring by specializations. We now assume that  $\mathfrak{J}$  is an ideal of  $S$ . We consider the local ring  $A = S/\mathfrak{J}$  and  $\mathfrak{b} = \mathfrak{m}/\mathfrak{J}$ .  $A$  and  $\mathfrak{b}$  may be considered as finitely generated  $S$ -modules, we have  $A_\alpha = S_\alpha/\mathfrak{J}_\alpha$ , and  $\mathfrak{b}_\alpha = \mathfrak{m}_\alpha/\mathfrak{J}_\alpha$ . Each ideal of  $A$  may be written in the form  $\mathfrak{q}A$ , where  $\mathfrak{q}$  is an ideal of  $S$ . If  $L'$  is an  $A$ -module, then we can regard  $L'$  as a  $S$ -module and there is a  $S$ -isomorphism  $\phi: H_{\mathfrak{q}A}^i(L') \xrightarrow{\cong} H_{\mathfrak{q}}^i(L')$ , so that, it doesn't matter whether we calculate these local cohomology modules over  $S$  or  $A$ .

Let  $\mathfrak{q}$  be a parameter ideal of  $A$ . Denote by  $\text{Proj } R_{\mathfrak{q}}(A)$  the set of homogeneous prime ideals of  $R_{\mathfrak{q}}(A)$  not containing the ideal

$$R_{\mathfrak{q}}(A)_+ = \bigoplus_{h>0} \mathfrak{q}^h.$$

$\text{Proj } R_{\mathfrak{q}}(A)$  is called the *blowing-up* of  $A$  with centre of  $\mathfrak{q}$ . We shall begin with recalling the following lemma.

**Lemma 3.1.** [5, Theorem 3.7] *If  $\mathfrak{q}$  is a standard parameter ideal of  $A$ , then  $\mathfrak{q}_{\alpha}$  is again a standard parameter ideal of  $A_{\alpha}$  for almost  $\alpha$ .*

**Lemma 3.2.** *We have  $H_{\mathfrak{b}}^0(A)_{\alpha} \cong H_{\mathfrak{b}_{\alpha}}^0(A_{\alpha})$  for almost all  $\alpha$ .*

*Proof.* There is an integer  $t$  such that  $H_{\mathfrak{b}}^0(A) \cong 0_A : \mathfrak{b}^t$  and  $0_A : \mathfrak{b}^t = 0_A : \mathfrak{b}^m$  for all  $m \geq t$ . By [5, Lemma 2.5],  $(0_A : \mathfrak{b}^m)_{\alpha} \cong 0_{A_{\alpha}} : \mathfrak{b}_{\alpha}^m$ . Therefore,  $H_{\mathfrak{b}}^0(A)_{\alpha} \cong (0_A : \mathfrak{b}^t)_{\alpha} = 0_{A_{\alpha}} : \mathfrak{b}_{\alpha}^t$  and  $0_{A_{\alpha}} : \mathfrak{b}_{\alpha}^t = 0_{A_{\alpha}} : \mathfrak{b}_{\alpha}^m$  for  $m \geq t$ . Hence  $H_{\mathfrak{b}}^0(A)_{\alpha} \cong H_{\mathfrak{b}_{\alpha}}^0(A_{\alpha})$  for almost all  $\alpha$ .

**Proposition 3.3.** *Let  $\mathfrak{q} = (a_1, \dots, a_d)A$  be a parameter ideal of  $A$ , where  $d = \dim A$ . If  $R_{(a_1^{t_1}, \dots, a_d^{t_d})}(A)$  is a Cohen-Macaulay scheme for all  $d$ -tupels  $(t_1, \dots, t_d)$  of positive integers, then, for almost  $\alpha$ ,  $R_{((a_1)_{\alpha}^{t_1}, \dots, (a_d)_{\alpha}^{t_d})}(A_{\alpha})$  is again a Cohen-Macaulay scheme for all  $d$ -tupels  $(t_1, \dots, t_d)$  of positive integers.*

*Proof.* By [9, Theorem 5.1], it is well-known that  $R_{(a_1^{t_1}, \dots, a_d^{t_d})}(A)$  is a Cohen-Macaulay scheme for all  $d$ -tupels  $(t_1, \dots, t_d)$  of positive integers if and only if the images of  $(a_1, \dots, a_d)$  form a standard system of parameters in  $A/H_{\mathfrak{b}}^0(A)$ . By Lemma 1.1,  $\dim A_{\alpha} = d$ . Since

$$\dim A_{\alpha}/((a_1)_{\alpha}, \dots, (a_d)_{\alpha})A_{\alpha} = \dim(A/(a_1, \dots, a_d)A)_{\alpha} = \dim A/(a_1, \dots, a_d)A = 0$$

by Lemma 1.1,  $(a_1)_{\alpha}, \dots, (a_d)_{\alpha}$  is a system of parameters on  $A_{\alpha}$ . Using the  $S$ -isomorphism  $\phi$  and by Lemma 3.2 and [5, Lemma 2.3], we have

$$A_{\alpha}/H_{\mathfrak{b}_{\alpha}}^0(A_{\alpha}) \cong (A/H_{\mathfrak{b}}^0(A))_{\alpha}.$$

Therefore, the images of  $((a_1)_{\alpha}, \dots, (a_d)_{\alpha})$  form a standard system of parameters in  $A_{\alpha}/H_{\mathfrak{b}_{\alpha}}^0(A_{\alpha})$  by Lemma 3.1. Hence  $R_{((a_1)_{\alpha}^{t_1}, \dots, (a_d)_{\alpha}^{t_d})}(A_{\alpha})$  is again a Cohen-Macaulay scheme for all  $d$ -tupels  $(t_1, \dots, t_d)$  of positive integers.

**Proposition 3.4.** *If  $R_{\mathfrak{a}}(A)$ , (resp.  $G_{\mathfrak{a}}(A)$ ), is a locally Cohen-Macaulay module for all parameter ideals  $\mathfrak{a}$  of  $A$ , then, for almost  $\alpha$ ,  $R_{\mathfrak{c}}(A_{\alpha})$ , (resp.  $G_{\mathfrak{c}}(A_{\alpha})$ ), is a locally Cohen-Macaulay module for all parameter ideals  $\mathfrak{c}$  of  $A_{\alpha}$ .*

*Proof.* By [11, Chapter IV Theorem 3.2], we only need to show that if  $A/H_{\mathfrak{b}}^0(A)$  is a Buchsbaum module, then  $A_{\alpha}/H_{\mathfrak{b}_{\alpha}}^0(A_{\alpha})$  is also a Buchsbaum module for almost all  $\alpha$ . The proof for this claim follows immediately by virtue of [5, Corollary 3.8] and Lemma 3.2.

From Proposition 3.4 we obtain a following corollary.

**Corollary 3.5.** *If  $\text{Proj } R_{\mathfrak{a}}(A)$  is a Cohen-Macaulay scheme for all parameter ideals  $\mathfrak{a}$  of  $A$ , then  $\text{Proj } R_{\mathfrak{c}}(A_{\alpha})$  is a Cohen-Macaulay scheme for all parameter ideals  $\mathfrak{c}$  of  $A_{\alpha}$ .*

*Proof.* By [9, Corollary 6.1],  $\text{Proj } R_{\mathfrak{a}}(A)$  is a Cohen-Macaulay scheme for all parameter ideals  $\mathfrak{a}$  of  $A$  if and only if  $A/H_{\mathfrak{b}}^0(A)$  is a Buchsbaum ring. We consider the ring  $A/H_{\mathfrak{b}}^0(A)$  as a finitely generated  $S$ -module. This module is a Buchsbaum  $S$ -module. Using the  $S$ -isomorphism  $\phi$  and by Lemma 3.2, we obtain

$$A_{\alpha}/H_{\mathfrak{b}_{\alpha}}^0(A_{\alpha}) \cong (A/H_{\mathfrak{b}}^0(A))_{\alpha}.$$

Since  $A/H_{\mathfrak{b}}^0(A)$  is a Buchsbaum  $S$ -module,  $(A/H_{\mathfrak{b}}^0(A))_{\alpha}$  is a Buchsbaum  $S_{\alpha}$ -module by [5, Corollary 3.8]. Thus,  $A_{\alpha}/H_{\mathfrak{b}_{\alpha}}^0(A_{\alpha})$  is a Buchsbaum  $S_{\alpha}$ -module. Hence  $\text{Proj } R_{\mathfrak{c}}(A_{\alpha})$  is a Cohen-Macaulay scheme for all parameter ideals  $\mathfrak{c}$  of  $A_{\alpha}$  by [9, Corollary 6.1].

**Proposition 3.6.** *If there is a parameter ideal  $\mathfrak{a}$  such that  $\text{Proj } G_{\mathfrak{a}}(A)$  is a Cohen-Macaulay scheme, then there is a parameter ideal  $\mathfrak{c}$  such that  $\text{Proj } G_{\mathfrak{c}}(A_{\alpha})$  is a Cohen-Macaulay scheme.*

*Proof.* Put  $d = \dim A$ . Then  $\dim A_{\alpha} = \dim A = d$ . By [9, Proposition 4.2], it is well-known that there is a parameter ideal  $\mathfrak{a}$  such that  $\text{Proj } G_{\mathfrak{a}}(A)$  is a Cohen-Macaulay scheme if and only if  $H_{\mathfrak{b}}^i(A)$  is of finite length for all  $i < \dim A$ . We consider  $H_{\mathfrak{b}}^i(A)$  as finitely generated  $S$ -modules. Using the  $S$ -isomorphism  $\phi$  and Lemma 3.2, and by [5, Theorem 3.6], we obtain

$$\lambda(H_{\mathfrak{b}_{\alpha}}^i(A_{\alpha})) = \lambda(H_{\mathfrak{b}}^i(A)) < \infty \text{ for all } i < d.$$

By [9, Proposition 4.2], there is a parameter ideal  $\mathfrak{c}$  such that  $\text{Proj } G_{\mathfrak{c}}(A_{\alpha})$  is a Cohen-Macaulay scheme.

**Proposition 3.7.** *If  $\text{Proj } R_{\mathfrak{a}}(A)$  is a Gorenstein scheme for every parameter ideal  $\mathfrak{a}$  of  $A$ , then  $\text{Proj } R_{\mathfrak{c}}(A_{\alpha})$  is a Gorenstein scheme for every parameter ideal  $\mathfrak{c}$  of  $A_{\alpha}$ .*

*Proof.* By [9, Theorem 5.4],  $\text{Proj } R_{\mathfrak{a}}(A)$  is a Gorenstein scheme for every parameter ideal  $\mathfrak{a}$  of  $A$  if and only if  $A/H_{\mathfrak{b}}^0(A)$  is a Gorenstein ring. We consider the ring  $A/H_{\mathfrak{b}}^0(A)$  as a finitely generated  $S$ -module. This module is Gorenstein. By using the  $S$ -isomorphism  $\phi$  and by Lemma 3.2, we obtain

$$A_{\alpha}/H_{\mathfrak{b}_{\alpha}}^0(A_{\alpha}) \cong (A/H_{\mathfrak{b}}^0(A))_{\alpha}.$$

Since  $A/H_{\mathfrak{b}}^0(A)$  is Gorenstein,  $(A/H_{\mathfrak{b}}^0(A))_{\alpha}$  is Gorenstein by [5, Proposition 4.2]. Thus,  $A_{\alpha}/H_{\mathfrak{b}_{\alpha}}^0(A_{\alpha})$  is Gorenstein. Hence  $\text{Proj } R_{\mathfrak{c}}(A_{\alpha})$  is a Gorenstein scheme for every parameter ideal  $\mathfrak{c}$  of  $A_{\alpha}$  by [9, Theorem 5.4].

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