

Calculation of the Ettingshausen Coefficient in a Rectangular Quantum Wire with an Infinite Potential in the Presence of an Electromagnetic Wave (the Electron - Optical Phonon Interaction)

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Abstract: The Ettingshausen coefficient (EC) in a Rectangular quantum wire with an infinite potential (RQWIP) in the presence of an Electromagnetic wave (EMW) is calculated by using a quantum kinetic equation for electrons. Considering the case of the electron - optical phonon interaction, we have found the expressions of the kinetic tensors $\sigma_{ik}, \beta_{ik}, \gamma_{ik}, \zeta_{ik}$. From the kinetic tensors, we have also obtained the analytical expression of the EC in the RQWIP in the presence of EMW as function of the frequency and the intensity of the EMW, of the temperature of system, of the magnetic field and of the characteristic parameters of RQWIP. The theoretical results for the EC are numerically evaluated, plotted and discussed for a specific RQWIP GaAs/GaAsAL. We also compared received EC with those for normal bulk semiconductors and quantum wells to show the difference. The Ettingshausen effect in a RQWIP in the presence of an EMW is newly developed.

Keywords: Ettingshausen effect, Quantum kinetic equation, RQWIP, Electron - phonon interaction, kinetic tensor.

1. Introduction

Nowadays, the theoretical study of kinetic effects in low-dimensional systems is increasingly interested, especially on the electrical, magnetic and optical properties of the low-dimensional systems such as: the absorption of electromagnetic waves, the acoustomagnetoelectric effect, the Hall effect, ... These results show us that there are some significant differences from the bulk semiconductor that the previous researches studied [1-12]. Among those, the Ettingshausen effect has just been researched in bulk semiconductors [13] and only been studied on the theoretical basis in 2-D systems [14]. Furthermore, no research has been done on the Ettingshausen effect in 1-D systems such as quantum wires so far. In this paper, the calculation of Ettingshausen coefficient in the Rectangular quantum wire with an infinite

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potential in the presence of magnetic field, electric field under the influence of electromagnetic wave is done by using the quantum kinetic equation method that brings the high accuracy and the high efficiency. Comparing the results obtained in this case with in the case of the bulk semiconductors and quantum wires, we see some differences. To demonstrate this, we estimate numerical values for a GaAs/GaAsAl quantum wire.

2. Calculation of the Ettingshausen coefficient in a Rectangular quantum wire with an infinite potential in the presence of an electromagnetic wave

In a model, we consider a wire with rectangular cross section ($L_x \times L_y$) and the length L_z . The effective mass of electron is denoted as m . The RQWIP is subjected to a crossed dc electric field $\vec{E}_l = (0, 0, E_l)$ and magnetic field $\vec{B} = (B, 0, 0)$ in the presence of a strong EMW characterized by electric field $\vec{E}(t) = \vec{E}_0 \sin(\Omega t)$ (with E_0 and Ω are the amplitude and the frequency of LR, respectively). Under these condition, the wave function and energy spectrum of confined electron can be written as:

$$\psi_{\gamma, \vec{k}}(x, y, z) = \frac{1}{\sqrt{L_z}} e^{i\vec{k}z} \sqrt{\frac{2}{L_x}} \sin\left(\frac{n\pi x}{L_x}\right) \sqrt{\frac{2}{L_y}} \sin\left(\frac{l\pi y}{L_y}\right) \quad \text{when } \begin{cases} 0 \leq x \leq L_x \\ 0 \leq y \leq L_y \end{cases} \quad (1)$$

and $\psi_{\gamma, \vec{k}}(x, y, z) = 0$ if else.

$$\varepsilon_{\gamma}(k) = \frac{\hbar^2 k_z^2}{2m} + \frac{\pi^2 \hbar^2}{2m} \left(\frac{n^2}{L_x^2} + \frac{l^2}{L_y^2} \right) + \omega_c \left(N + \frac{1}{2} \right) - \frac{1}{2m} \left(\frac{eE_l}{\omega_c} \right)^2 \quad (2)$$

where k_z is the electron wave momentum; $\omega_c = \frac{eB}{m}$ is the cyclotron frequencies; γ and γ' are the quantum numbers (n, l) and (n', l') of electron; N, N' are the Landau level ($N=0, 1, 2, \dots$). These expressions differ from the equivalent expressions in bulk semiconductors [14] and quantum wells [13].

The Hamiltonian of the electron - optical phonon interaction system in the above RQWIP can be written as:

$$H = \sum_{\gamma, \vec{k}} \varepsilon_{\gamma}(\vec{k} - \frac{e}{c} \vec{A}(t)) a_{\gamma, \vec{k}}^{\dagger} a_{\gamma, \vec{k}} + \sum_{\vec{q}} \omega_{\vec{q}} b_{\vec{q}}^{\dagger} b_{\vec{q}} + \sum_{\gamma, \gamma', \vec{k}, \vec{q}} |C_{\vec{q}}|^2 |I_{\gamma, \gamma'}(\vec{q})|^2 a_{\gamma, \vec{k}+\vec{q}}^{\dagger} a_{\gamma', \vec{k}} (b_{\vec{q}} + b_{\vec{q}}^{\dagger}) + \sum_{\vec{q}} \varphi(\vec{q}) a_{\gamma, \vec{k}+\vec{q}}^{\dagger} a_{\gamma', \vec{k}} \quad (3)$$

Where $a_{\gamma, \vec{k}}^{\dagger}$ and $a_{\gamma, \vec{k}}$ ($b_{\vec{q}}^{\dagger}$ and $b_{\vec{q}}$) are the creation and the annihilation operators of electron (optical phonon); \vec{k} is the electron wave momentum; \vec{q} is the phonon wave vector; $\omega_{\vec{q}}$ are optical phonon frequency; $C_{\vec{q}}$ the electron - optical phonon interaction constant: $|C_{\vec{q}}|^2 = \frac{e^2 \omega_o}{2 \varepsilon_0 q^2 V} \left(\frac{1}{\chi_{\infty}} - \frac{1}{\chi_0} \right)$ (here V is the unit normalization volume, χ_{∞} is magnetic permeability of high frequency dielectric, χ_0 is magnetic permeability of static dielectric; $I_{\gamma, \gamma'}(\vec{q})$ is the electron form factor, which is determined by [8], different from that in cylindrical quantum wire; $\varphi(\vec{q})$ is the potential undirected:

$$\varphi(\vec{q}) = (2\pi i)^3 (e\vec{E} + \omega_c [\vec{q}, \vec{h}]) \frac{\partial}{\partial \vec{q}} \delta(\vec{q}) \tag{4}$$

(\vec{h} is unit vector in the direction of magnetic field).

Through some computation steps, the quantum kinetic equation takes the form:

$$\begin{aligned} & \frac{\sum_{\gamma, \vec{k}} \frac{e}{m} \vec{k} n_{\gamma, \vec{k}} \delta(\varepsilon - \varepsilon_{\gamma, \vec{k}})}{\tau} + \omega_c \left[\vec{h}, \sum_{\gamma, \vec{k}} \frac{e}{m} \vec{k} n_{\gamma, \vec{k}} \delta(\varepsilon - \varepsilon_{\gamma, \vec{k}}) \right] = \\ & = -\frac{e}{m} \sum_{\gamma, \vec{k}} \vec{k} \left(\vec{F} \frac{\partial n_{\gamma, \vec{k}}}{\partial \vec{k}} \right) \delta(\varepsilon - \varepsilon_{\gamma, \vec{k}}) + \frac{2\pi e}{m} \sum_{\gamma, \gamma', \vec{q}, \vec{k}} |C_{\vec{q}}|^2 |I_{\gamma, \gamma'}(\vec{q})|^2 N_{\vec{q}} \vec{k} \times \\ & \times \left\{ \left[\bar{n}_{\gamma', \vec{q} + \vec{k}} - \bar{n}_{\gamma, \vec{k}} \right] \left[\left(1 - \frac{\lambda^2}{2\Omega^2} \right) \delta(\varepsilon_{\gamma', \vec{k} + \vec{q}} - \varepsilon_{\gamma, \vec{k}} - \omega_o) + \frac{\lambda^2}{4\Omega^2} \delta(\varepsilon_{\gamma', \vec{k} + \vec{q}} - \varepsilon_{\gamma, \vec{k}} - \omega_o + \Omega) \right] + \right. \\ & + \frac{\lambda^2}{4\Omega^2} \delta(\varepsilon_{\gamma', \vec{k} + \vec{q}} - \varepsilon_{\gamma, \vec{k}} - \omega_o - \Omega) \left. \right] + \left[\bar{n}_{\gamma', \vec{k} - \vec{q}} - \bar{n}_{\gamma, \vec{k}} \right] \left[\left(1 - \frac{\lambda^2}{2\Omega^2} \right) \delta(\varepsilon_{\gamma', \vec{k} - \vec{q}} - \varepsilon_{\gamma, \vec{k}} + \omega_o) + \right. \\ & \left. + \frac{\lambda^2}{4\Omega^2} \delta(\varepsilon_{\gamma', \vec{k} - \vec{q}} - \varepsilon_{\gamma, \vec{k}} + \omega_o - \Omega) + \frac{\lambda^2}{4\Omega^2} \delta(\varepsilon_{\gamma', \vec{k} - \vec{q}} - \varepsilon_{\gamma, \vec{k}} + \omega_o + \Omega) \right] \left. \right\} \delta(\varepsilon - \varepsilon_{\gamma, \vec{k}}) \end{aligned} \tag{5}$$

Equation (5) we put:

$$\vec{R}(\varepsilon) = \sum_{\gamma, \vec{k}} \frac{e}{m} \vec{k} n_{\gamma, \vec{k}} \delta(\varepsilon - \varepsilon_{\gamma, \vec{k}}) \tag{6}$$

$$\vec{Q}(\varepsilon) = -\frac{e}{m} \sum_{\gamma, \vec{k}} \vec{k} \left(\vec{F} \frac{\partial n_{\gamma, \vec{k}}}{\partial \vec{k}} \right) \delta(\varepsilon - \varepsilon_{\gamma, \vec{k}}) ; \vec{F} = e\vec{E}_1 - \frac{\varepsilon - \varepsilon_F}{T} \nabla T; \tag{7}$$

$$\begin{aligned} \vec{S}(\varepsilon) &= \frac{2\pi e}{m} \sum_{\gamma, \gamma', \vec{q}, \vec{k}} |C(\vec{q})|^2 |I_{\gamma, \gamma'}(\vec{q})|^2 N_{\vec{q}} \vec{k} \times \\ & \times \left\{ \left[\bar{n}_{\gamma', \vec{q} + \vec{k}} - \bar{n}_{\gamma, \vec{k}} \right] \left[\left(1 - \frac{\lambda^2}{2\Omega^2} \right) \delta(\varepsilon_{\gamma', \vec{k} + \vec{q}} - \varepsilon_{\gamma, \vec{k}} - \omega_o) + \frac{\lambda^2}{4\Omega^2} \delta(\varepsilon_{\gamma', \vec{k} + \vec{q}} - \varepsilon_{\gamma, \vec{k}} - \omega_o + \Omega) \right] + \right. \\ & + \frac{\lambda^2}{4\Omega^2} \delta(\varepsilon_{\gamma', \vec{k} + \vec{q}} - \varepsilon_{\gamma, \vec{k}} - \omega_o - \Omega) \left. \right] + \left[\bar{n}_{\gamma', \vec{k} - \vec{q}} - \bar{n}_{\gamma, \vec{k}} \right] \left[\left(1 - \frac{\lambda^2}{2\Omega^2} \right) \delta(\varepsilon_{\gamma', \vec{k} - \vec{q}} - \varepsilon_{\gamma, \vec{k}} + \omega_o) + \right. \\ & \left. + \frac{\lambda^2}{4\Omega^2} \delta(\varepsilon_{\gamma', \vec{k} - \vec{q}} - \varepsilon_{\gamma, \vec{k}} + \omega_o - \Omega) + \frac{\lambda^2}{4\Omega^2} \delta(\varepsilon_{\gamma', \vec{k} - \vec{q}} - \varepsilon_{\gamma, \vec{k}} + \omega_o + \Omega) \right] \left. \right\} \delta(\varepsilon - \varepsilon_{\gamma, \vec{k}}). \end{aligned} \tag{8}$$

We obtain the following equations:

$$\begin{aligned} \vec{R}(\varepsilon) &= \frac{\tau(\varepsilon)}{I + \omega_c^2 \tau^2(\varepsilon)} \left\{ \left(\vec{Q}(\varepsilon) + \vec{S}(\varepsilon) \right) - \omega_c \tau(\varepsilon) \left(\left[\vec{h}, \vec{Q}(\varepsilon) \right] + \left[\vec{h}, \vec{S}(\varepsilon) \right] \right) + \right. \\ & \left. + \omega_c^2 \tau^2(\varepsilon) \left(\vec{Q}(\varepsilon) + \vec{S}(\varepsilon), \vec{h} \right) \vec{h} \right\}. \end{aligned} \tag{9}$$

After some approximate developing and computation steps, we obtain the expression of Ettinghausen coefficient as follows:

$$P = \frac{1}{H} \frac{\sigma_{xx}\gamma_{xy} - \sigma_{xy}\gamma_{xx}}{\sigma_{xx} \left[\beta^T \gamma_{xx} - \sigma_{xx} (\xi_{xx}^T - K_L) \right]} \quad (10)$$

Here:

$$\sigma_{xx} = \frac{ea\tau}{1 + \omega_c^2 \tau^2} + \frac{eb}{m} (1 - \omega_c^2 \tau^2) \frac{\tau^2}{(1 + \omega_c^2 \tau^2)^2}; \quad \sigma_{xy} = \frac{ea\tau}{1 + \omega_c^2 \tau^2} \cdot \omega_c \tau + \frac{eb}{m} \cdot \omega_c \tau \cdot \frac{\tau^2}{(1 + \omega_c^2 \tau^2)^2} \quad (11)$$

$$\beta_{xx} = \frac{e\Omega b}{mT} \cdot (1 - \omega_c^2 \tau^2) \cdot \frac{\tau^2}{(1 + \omega_c^2 \tau^2)^2} \quad (12)$$

$$\gamma_{xx} = \frac{\Omega b}{m} \cdot (1 - \omega_c^2 \tau^2) \cdot \frac{\tau^2}{(1 + \omega_c^2 \tau^2)^2}; \quad \gamma_{xy} = \frac{\Omega b}{m} \cdot \omega_c \tau \cdot \frac{\tau^2}{(1 + \omega_c^2 \tau^2)^2} \quad (13)$$

$$\xi_{xx}^T = \frac{\Omega^2 b}{mT} \cdot (1 - \omega_c^2 \tau^2) \cdot \frac{\tau^2}{(1 + \omega_c^2 \tau^2)^2} \quad (14)$$

$$a = \frac{e\beta L_x}{4m\sqrt{\pi}} \left(\frac{2m}{\beta \hbar^2} \right)^{1/2} \exp \left\{ \beta \left[\varepsilon_F + \frac{1}{2m} \left(\frac{eE_l}{\omega_c} \right)^2 - \frac{\pi^2 \hbar^2}{2m} \left(\frac{n^2}{L_x^2} + \frac{l^2}{L_y^2} \right) - \omega_c \left(N + \frac{1}{2} \right) \right] \right\} \quad (15)$$

$$b = \frac{2\pi e N_o}{m} \sum_{\gamma, \gamma'} (A_1 + A_2 + A_3 + A_4 + A_5 + A_6 + A_7 + A_8) \left(\frac{1}{\chi_\infty} - \frac{1}{\chi_o} \right) I I_{\gamma, \gamma'} e^B \quad (16)$$

$$I = \exp \left[\beta \left[\varepsilon_F - \frac{\pi^2 \hbar^2}{2m} \left(\frac{n^2}{L_x^2} + \frac{l^2}{L_y^2} \right) - \omega_c \left(N + \frac{1}{2} \right) + \frac{1}{2m} \left(\frac{eE}{\omega_c} \right)^2 \right] \right], \quad I_{\gamma, \gamma'} = \int_{-\infty}^{+\infty} |I_{\gamma, \gamma'}(\vec{q})|^2 d\vec{q} \quad (17)$$

$$A_1 = \frac{\beta L_x k_B T e^2}{8\sqrt{2}\pi^3} e^{-\beta \frac{B_{11}}{2}} \left(\sqrt{\frac{\pi}{2\beta m}} e^{-\beta \frac{B_{11}}{2}} + (2B_{11}m)^{1/2} K_{\frac{1}{2}} \left(\beta \frac{B_{11}}{2} \right)^2 \right)$$

$$A_2 = -\frac{\beta L_x k_B T e^4 E_o^2 B_{11} \sqrt{\pi}}{16m^2 (\beta / 8m)^{3/2} \Omega^4} e^{-\beta \frac{B_{11}}{2}} \left(\beta + \frac{1}{B_{11}} \right)$$

$$A_3 = \frac{\beta L_x k_B T e^4 E_o^2 B_{13} \sqrt{\pi}}{16m^2 (\beta / 8m)^{3/2} \Omega^4} e^{-\beta \frac{B_{13}}{2}} \left(\beta + \frac{1}{B_{13}} \right), \quad A_4 = \frac{\beta L_x k_B T e^4 E_o^2 B_{14} \sqrt{\pi}}{16m^2 (\beta / 8m)^{3/2} \Omega^4} e^{-\beta \frac{B_{14}}{2}} \left(\beta + \frac{1}{B_{14}} \right)$$

$$A_5 = \frac{\beta L_x k_B T e^2}{8\sqrt{2}\pi^3} e^{-\beta \frac{B_{15}}{4}} \left(\sqrt{\frac{\pi}{2\beta m}} e^{-\beta \frac{B_{15}}{2}} + (2B_{15}m)^{1/2} K_{\frac{1}{2}} \left(\beta \frac{B_{15}}{2} \right)^2 \right)$$

$$A_6 = -\frac{\beta L_x k_B T e^4 E_o^2 B_{15} \sqrt{\pi}}{16m^2 (\beta / 8m)^{3/2} \Omega^4} e^{-\beta \frac{B_{15}}{2}} \left(\beta + \frac{1}{B_{15}} \right)$$

$$A_7 = \frac{\beta L_x k_B T e^4 E_o^2 B_{17} \sqrt{\pi}}{16 m^2 (\beta / 8 m)^{3/2} \Omega^4} e^{-\beta \frac{B_{17}}{2}} \left(\beta + \frac{1}{B_{17}} \right), A_8 = \frac{\beta L_x k_B T e^4 E_o^2 B_{18} \sqrt{\pi}}{2 m^2 (\beta / 8 m)^{3/2} \Omega^4} e^{-\beta \frac{B_{18}}{2}} \left(\beta + \frac{1}{B_{18}} \right)$$

$$B_{11} = \frac{\pi^2 \hbar^2}{2m} \left(\frac{n'^2 - n^2}{L_x^2} + \frac{l'^2 - l^2}{L_y^2} \right) + \omega_c (N' - N) - \omega_o,$$

$$B_{13} = B_{11} + \Omega, B_{14} = B_{11} - \Omega, B_{15} = B_{11} + 2\omega_o, B_{17} = B_{15} + \Omega, B_{18} = B_{15} - \Omega$$

Here $\beta = 1 / (k_B T)$; $h_x = 0, h_y = 0, h_z = 1$; $K_L, \tau, T, k_B, \chi_0, \chi_\infty, \varepsilon_F$:is the lattice heat conductivity, the momentum laxation time, the temperature, the Boltzmann const, the static dielecttric const, the high frequency dielectric const, and the Fermi level, respectively. The expressions of the kinetic tensors $\sigma_{ik}, \beta_{ik}, \gamma_{ik}, \zeta_{ik}$ (11-14) and of the EC (10) as well as functions of the frequency and the intensity of the EMW, of the temperature of system, of the magnetic field and of the characteristic parameters of RQWIP are different from those in bulk semiconductors and quantum wells. It is newly developed in the quantum theory of Ettinghausen effect.

3. Numerical results

We will survey, plot and discuss the expressions for the case of a specific GaAs/GaAsAl quantum well. The parameters used in the calculations are as follows:

$$\chi_\infty = 10.9, \chi_0 = 12.9, \hbar\omega_o = 36.25 \text{ meV}, \rho = 5320 \text{ kg.m}^{-3}, \Omega = 3.10^{13} \text{ s}^{-1},$$

$$\varepsilon_F = 50 \text{ meV}, \tau = 10^{-12} \text{ s}, L_x = 8.10^{-9} \text{ m}, L_y = 7.10^{-9} \text{ m}, m = 0,067.m_0 (m_0 \text{ is the mass of a free electron})$$

In Fig. 1, we show the dependence of the EC on the laser frequency. From the figure, we see that the EC in RQWIP decreased is nonlinear with the frequency, however, the EC in the quantum wells increased with the frequency [14]. This also demonstrates its difference in bulk semiconductors [13].

In Fig. 2, we show the dependence of the EC on laser amplitude. We found that the EC in RQWIP decreased is nonlinear with laser amplitude. This is similar in the case of quantum wells, however, the EC in the quantum wire has decreased much faster than in quantum wells and in bulk semiconductors [13,14].

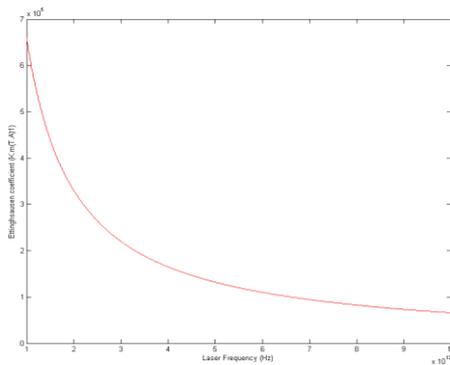


Fig 1. The dependence of EC on laser frequency.

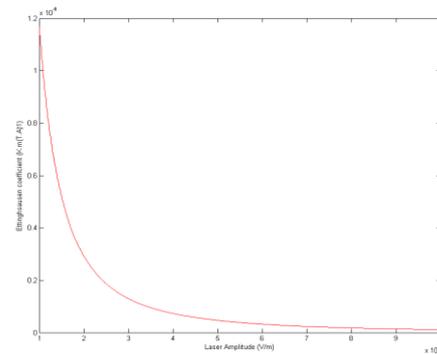


Fig 2. The dependence of EC on laser amplitude.

In Fig. 3, we illustrate that the EC increase with the temperature T , however, the EC in the quantum wells decreased is nonlinear with the frequency [14] and is different from bulk semiconductors [13].

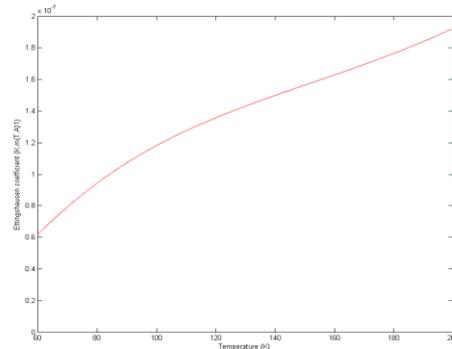


Fig 3. The dependence of EC on the temperature.

In Fig. 4, we show the dependence of the EC on L_x , L_y . It is the standard for us to evaluate the technology of making quantum wire, thereby choosing the best technology.

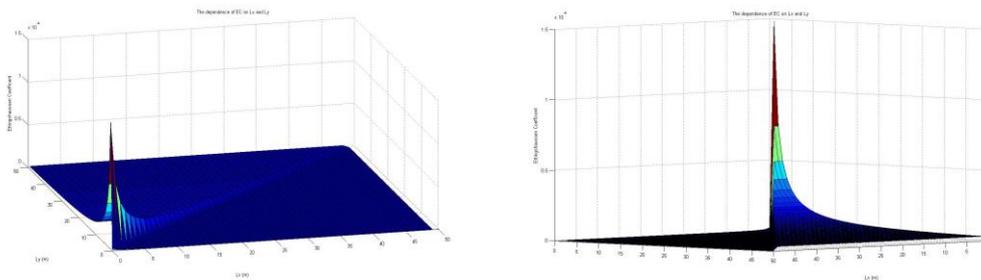


Fig 4. The dependence of EC on L_x and L_y .

The above results show the difference between EC in quantum wires and in bulk semiconductors, in quantum wells. The cause is determined by material characteristics, expressed in wave function and energy spectrum.

4. Conclusions

In this paper, we researched Etingshausen effect in a Rectangular quantum wire with an infinite potential in the presence of the magnetic. The electron - optical phonon interaction is taken into account at low temperatures, and the electron gas is nondegenerate. We obtain the analytical expression of Etingshausen coefficient in a rectangular quantum wire. We see that the Etingshausen coefficient in this case depend on some units such as: temperature, the amplitude of electromagnetic waves, the frequency of the radiation, phonon frequency and the parameters of a rectangular quantum wire. Estimating numerical

values and graph for a GaAs/GaAsAl quantum wire to see clearly the nonlinear dependence of the Ettingshausen coefficient on the electromagnetic wave frequency. The more the electromagnetic wave amplitude and the temperature increase, the more the Ettingshausen coefficient decreases. However, Ettingshausen coefficient reduced immediately if laser Amplitude increase. We also compared received EC with those for normal bulk semiconductors to show the difference. The Ettingshausen effect in a RQWIP in the presence of an EMW is newly developed.

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