

VNU Journal of Science: Mathematics - Physics



Journal homepage: https://js.vnu.edu.vn/MaP

Data Processing Methods for Deep Level Transients Measurement

Pham Quoc Trieu, Hoang Nam Nhat*

Faculty of Physics, VNU University of Science, 334 Nguyen Trai, Thanh Xuan, Hanoi, Vietnam

Received 17 December 2018 Revised 20 December 2018; Accepted 22 December 2018

Abstract: The year 2019 marks 45 years in the development of Deep Level Transient Spectroscopy (DLTS) - the signal processing method for determination of overlapping deep levels in semiconductors. From its introduction in 1974 by David Lang (D.V. Lang, J. Appl. Phys. 45, 1974, p.3023) to this date the DLTS method has undergone many changes and modifications: some were purely theoretical speculations, some were to include new experimental arrangements and techniques. This paper provides a short review on DLTS data processing techniques, focusing on the main three approaches widely used today. We also summarize the contribution of our group in the Faculty of Physics, VNU University of Science.

Keywords: DLTS, Boxcar, Arhenius plot, Fourier, Laplace, Deep levels, Traps.

1. Introduction

Deep traps play important role in physics of materials but the characterization of those deep traps faced many difficulties until an introduction of Deep Levels Transient Spectroscopy (DLTS) by D. Lang in 1974 [1]. The method was new by its time, but appeared rather too late as the semiconductor industry seems to out-run its maximum output and role in the history. Later in 2001, the first DLTS equipment from Bio-RAD was installed in Center for Materials Science, Faculty of Physics, VNU-Hanoi University of Science. This method allows the detection of overlapping deep centers by simple recording of capacitance transients according to time t in varying temperature T. Its success at the early time was unambiguous but later it shows a limiting accuracy and low overall resolution, together with high sensitivity to random noise. Since then, many improvements have been proposed but the method still struggles against low resolution until today. However, from the view point of data processing technique, this method is extremely interesting and appears useful in many other aspects of applied sciences, as it offers a practical solution to a very old problem of how to separate the

Email: namnhat@gmail.com

^{*}Corresponding author. Tel.: 84-913097735.

https//doi.org/ 10.25073/2588-1124/vnumap.4308

overlapping exponential functions, recall that the capacitance dependence on time as $C(t) = \Delta C e^{-e_n t}$, where *t* is time, ΔC a scaling constant and e_n so called an emission factor.

By utilizing a double boxcar circuit to record the transient C(t), the author of [1] can obtain a signal of form $S(T)=C(t_1)-C(t_2)$. This function may be flat with no peak structure, but it can show maxima at certain temperatures if the deep traps occur. If one scans S(T) over several T then one can construct a plot of $ln(e/T^2)$ vs. 1000/T to determine the trap parameters (Fig. 1).



Fig. 1. The different settings of gate windows $S(T)=C(t_1)-C(t_2)$ at fixed *T* (right part) and the maxima of S(T) at two fixed rate windows according to varying *T* (left part) [15].

Up to date, among the data processing techniques that have been reported [2-19], there are two that attracted application: *the Fourier and the Laplace technique*. Both do not have the rate windows, they measure rather one value of C(T) at one time (the sampling includes either 512 or 1024 measured points), and require only one temperature scan. Although the resolution and scan time were sufficiently improved, the accuracy and separability are still low, while strongly affected by noise (either thermal or random white noise). Also, as they do not involve any rate windows then the exact emission factors (obtained at the maximal gains) can not be calculated in advance. The correspondences between the peaks and the deep centers appear in the two methods somehow subtle and arbitrary. It is worth to mention that in the Lang's original approach the setting of rate window means the selection of emission factor for which the rate window reacts mostly. We briefly discuss the Lang's signal form in the section below.

2. Lang's signal form

A discussion of Lang approach in the language of the signal forms appeared in [15]. A common task of all spectroscopic methods is to find the analytic functions which transform the measured data (in our case, the transients $C_T(t)$ recorded at specific T) into the specific functions $f_n(T)$, whose peak structures (according to T in our case) can be analyzed. The $f_n(T)$ functions are usually referred to as the spectroscopic functions and the corresponding methods the spectroscopic ones. For C(t) the functions need to satisfy a condition of linearity, that is, the Arhenius plots $[ln(e/T^2) \text{ vs. } 1000/T]$ obtained from the measured C(t) are linear. Here we call the $f_n(T)$ functions the signal forms. There is not known any spectroscopic signal forms other than the Lang's, Fourier and Laplace forms until the intervention of [15]. In general, the capacitance transient can be given as:

$$C(t) = C_0 + \sum \Delta C_i e^{-e_i t} \tag{1}$$

where C_0 is $C(t=\infty)$, $\Delta C = \sum \Delta C_i = C(t=0) - C_0$ and *i* denotes the number of present deep traps.

Now, let us denote normalized values of capacitance as $C_n(t) = (C(t) - C_0)/\Delta C$, and put $t_1 = t - d$, $t_2 = t + d$, for *d* is a half width of a gate window, then the Lang's signal can be given in the form:

$$S(T) = C_n(t-d) - C_n(t+d) = \sum (\Delta C_i / \Delta C) [e^{-e_i(t-d)} - e^{-e_i(t+d)}]$$
(2)

If the traps are far from each other in the energy scale, then we can differentiate S(T) according to some emission factor e_i , while leaving the other e_j zeroed, to determine the signal maximal gain. Taking from [1] the maximum gain can be given using the variables *t* and *d* as follows:

$$e_{\max} = ln[(t+d)/(t-d)]/2d$$
 (3)

It is evident from eq. (3) that by providing t and d the emission factor e_{max} is determined.

3. Fourier DLTS

The pre-historical idea how to obtain an optimal set of emission constants { $\tau_i = 1/e_i$, c_0 and c_i } from eq.(1) is to involve a least square technique. But this was not successful due to occurrence of false extremes. A correction of Lang's original technique comes from Weiss and Kassing in 1988, and a method is called the Fourier DLTS [4]. The Fourier DLTS is an integral method since it does not measure directly C(t) but a correlation integral R(t) with some periodical filter f(t) with period T_w :

$$R(t,T) = \frac{1}{T_w} \int_0^{T_w} f(t) C(t,T) dt$$
(4)

This integral passes through maximum at certain T when $\tau_m(T)$ is equal to values preset by filter f(t). This signal sufficiently improves the accuracy, resolution and stability but among the disadvantages belongs the fact that it loses many important information containing in C(t, T), so the radical improvement is limited. We know from the definition of the Fourier series that, a differentiable continuous real and periodical function c(t) having period T_w (i.e. $c(t) = c(t+nT_w)$ with all n=0,1,2...) can be decomposed into a Fourier series:

$$c(t) = \frac{a_0}{2} + \sum_{n=1}^{\infty} \left[a_n \cos\left(\frac{2\pi nt}{T_w}\right) + b_n \sin\left(\frac{2\pi nt}{T_w}\right) \right]$$
(5)

 a_n , b_n are the Fourier coefficients:

$$a_{n} = \frac{2}{T_{w}} \int_{0}^{T_{w}} c(t) \cos(\frac{2\pi}{T_{w}} nt) dt$$
(6)

$$b_{n} = \frac{2}{T_{w}} \int_{0}^{T_{w}} c(t) \sin(\frac{2\pi}{T_{w}} nt) dt$$
⁽⁷⁾

In case the c(t) is a complex function, the complex coefficients c_n are defined as:

$$c_{n} = \frac{1}{T_{w}} \int_{0}^{T_{w}} c(t) e^{-i\frac{2\pi}{T_{w}}nt} dt$$
(8)

And there is a relation between c_n and a_n , b_n :

P.Q. Trieu, H.N. Nhat / VNU Journal of Science: Mathematics – Physics, Vol. 34, No. 4 (2018) 44-54

$$c_n = \frac{1}{2} \left(a_n - ib_n \right) \tag{9}$$

For the discrete Fourier analysis, c(t) has only N particular values $c(t_k)$, k=0,1,...,N in a period T_w , and the integral (8) becomes:

$$F_{n} = \sum_{k=0}^{N} c(t_{k}) e^{-i2\pi n \frac{k}{N}}$$
(10)

And there is an empirical dependence between the coefficients F_n and their complex counterparts c_n :

$$F_n = Nc_n^D \tag{11}$$

where *D* is an empirical real constant. The equation (11) is of great importance for the Weiss and Kassing method because it allows to calculate c_n on the basis of *N* measurements $c(t_k)$.

Now look at the integral output
$$R(t) = \frac{1}{T_w} \int_0^{T_w} f(t)C(t)dt$$
 of the signal $C(t)$ and we see that:

- the integral output R(t) plays a role of the Fourier coefficients c_n ;

- the filter f(t) has the form $e^{-i\frac{2\pi}{T_w}nt}$ with period T_w .

With this intuition, the involving of Fourier series in DLTS becomes clear. The basic procedure is as follows:

a) at some specific *T*, measure *N* values of $C(t_k)$ for various times $t_k = k\Delta \tau$, k = 0, 1, ..., N-1. The period of C(t) will be $T_w = N\Delta t$. Now find $R(t_k)$ for each $C(t_k)$.

b) suppose that c(t) follows the exponential decay and c(t) is a real function; let the filter f(t) be $-i\frac{2\pi}{nt}$

 $e^{-i\frac{1}{T_w}T_w}$ we can calculate the Fourier coefficient a_n , b_n and c_n .

c) now suppose $C(t_k) = c(t_k)$ and we calculate F_n and c_n according to (11) and find the experimental values of a_n and b_n

d) by comparison of theoretical and experimental values a_n and b_n we can find τ at a given T and a trap concentration N_T .

e) now by repeating the steps (a), (c) and (d) one can find all possible $\tau(T)$. At the final, one can build the Arhenius plots and determine the activation energy E_T .

The Fourier method requires only one temperature scan, the time τ can be determined directly from the experimental coefficients a_n and b_n measured at each T. In the Lang's approach, one must first fix the rate-windows then scan T and in the Fourier DLTS, one first fix T then scan all rate-windows (512 or 1024 measurements) to find τ . Suppose we have one trap center emitted according to the exponential law:

$$C(t) = B + Ae^{-\frac{t+t_0}{\tau}}$$
(12)

The Fourier coefficients are determined as:

$$a_{0} = \frac{2A}{T_{w}} e^{-\frac{t_{0}}{\tau}} \left[1 - e^{-\frac{T_{w}}{\tau}} \right] \tau + 2B$$
(13)

P.Q. Trieu, H.N. Nhat / VNU Journal of Science: Mathematics – Physics, Vol. 34, No. 4 (2018) 44-54

$$a_{n} = \frac{2A}{T_{w}} e^{-\frac{t_{0}}{\tau}} \left[1 - e^{-\frac{T_{w}}{\tau}} \right] \frac{1/\tau}{1/\tau^{2} + n^{2} \left(2\pi / T_{w} \right)^{2}}$$
(14)

$$b_n = \frac{2A}{T_w} e^{-\frac{\tau_0}{\tau}} \left[1 - e^{-\frac{T_w}{\tau}} \right] \frac{n2\pi/T_w}{1/\tau^2 + n^2 \left(2\pi/T_w\right)^2}$$
(15)

So we have:

$$A = \frac{T_w}{2} b_n e^{\frac{t_0}{\tau}} \left[1 - e^{-\frac{T_w}{\tau}} \right]^{-1} \frac{1/\tau^2 + n^2 (2\pi/T_w)^2}{n2\pi/T_w}$$
(16)

By dividing a_n and b_n for each other, we have several ways to calculate τ :

$$\tau(a_n, a_k) = \frac{T_w}{2\pi} \sqrt{\frac{a_n - a_k}{k^2 a_k - n^2 a_n}}$$
(17)

$$\tau(b_{n}, b_{k}) = \frac{T_{w}}{2\pi} \sqrt{\frac{kb_{n} - nb_{k}}{k^{2}nb_{k} - n^{2}kb_{n}}}$$
(18)

$$\tau(a_n, b_n) = \frac{T_w}{2\pi n} \left[\frac{b_n}{a_n} \right]$$
(19)

In the Fourier DLTS the four values $\tau(a_1, a_2)$, $\tau(b_1, b_2)$, $\tau(a_1, b_1)$ and $\tau(a_2, b_2)$ are mostly used and among them the $\tau(a_1, b_1)$ is usually most correct. With n=1 we have a simple relation between a_1 , a_2 , b_1 and b_2 . This relation can be used to check whether or not the measured coefficients $(a_1, a_2, b_1, b_2)_{\text{MEAS}}$ do follow the exponential law of emission:

$$\frac{b_1}{a_1}\frac{a_2}{b_2} = \frac{1}{2} \tag{20}$$

If (20) does not hold so the emission is probably caused by overlapping centers.

4. The algebraic structure of reference levels

It seems unobserved from the first time of DLTS that the right part of equation (3) is almost equal to 1/t numerically. One can easily prove that ln[(t+d)/(t-d)]/2d really converges to 1/t when the half width of the rate windows $d \rightarrow 0$ by using the Euler number definition $\lim_{n \rightarrow \infty} (1+1/n)^n = e$. By giving

that $ln[(t+d)/(t-d)]/2d \sim 1/t$, the e_{\max} corresponds to $C_n(t)=e^{-1}$ (Fig. 2). For this reason $C_n=e^{-1}$ is called a *reference level* of S(T). Now consider a moving of gate from t to t'=at, the emission factor changes as $e_i(t') = e_i(at) = 1/at = (1/a)e_i(t)$. Thus, the transient associated with gate t' will have at time t an emission factor equal to that of the transient associated with gate t at time t/a:

$$e^{-e_i(t')t} = e^{-e_i(t)t/a} = C_n(t/a) = [C_n(t)]^{1/a}$$



Fig. 2. A reference level: a rate window [t-d, t+d] shows a maximum according to *T* when the $C_n(t)$ decreases through a point $C_n(t) \sim 1/e = 0.368$ [15].

So a *modified Lang's signal*, to be called a signal of *order a*, can be given as:

$$S(T)^{[a]} = C_n (t-d)^{1/a} - C_n (t+d)^{1/a}$$
(21)

which produces a maximal output along a reference level $C_n = e^{-a}$. The $S(T)^{[a]}$ forms a class of signals, which is referred to as a *Lang's signal class*. Evidently, $e_{max}{S(T)^{[a]}} = a \ln[(t+d)/(t-d)]/2d = ae_{max}{S(T)^{[1]}} = a/t$. This signal class associates each point $X [y=e^{-a}, x=t]$ with one horizontal line $(y=e^{-a})$ and one vertical line (x=t). It is obvious that:

$$e_{i}(a,t) = ae_{i}(1,t) = e_{i}(1,t/a)$$

$$e_{i}(a,t)^{n} = a^{n}e_{i}(1,t)^{n} = a^{n}e_{i}(1,t^{n}) = e_{i}(a^{n},t^{n}) = e_{i}(1,(t/a)^{n})$$
(22)

This tells us about the equivalence of all techniques involving the double boxcars. We can check ref. [15] to reveal the following relations:

$$\lambda[e_{i}(a,t)+e_{i}(b,t)] = \lambda e_{i}(a,t)+\lambda e_{i}(b,t) = \lambda a e_{i}(1,t)+\lambda b e_{i}(1,t) = \lambda(a+b)e_{i}(1,t) = e_{i}(\lambda(a+b),t)$$

$$[e_{i}(a,t^{n})\times e_{i}(b,t^{m})]^{\lambda} = e_{i}(a,t^{n})^{\lambda}\times e_{i}(b,t^{m})^{\lambda} =$$

$$= a^{\lambda}e_{i}(1,t)^{n\lambda}\times b^{\lambda}e_{i}(1,t)^{m\lambda} = (ab)^{\lambda}e_{i}(1,t)^{\lambda(n+m)} = e_{i}\{(ab)^{\lambda},t^{\lambda(n+m)}\}$$

$$(24)$$

5. The signal classes and forms

We summarize two classes of signal forms, a Gaussian and a Poisson class (to the later $S(T)^{[a]}$ reduces as a special case), which possess the algebraic structure as that of $S(T)^{[a]}$. These classes are summarized in Table 1, where the last column shows the maximal pseudo-random noise level (in % of maximal signal) that does not disturb e_{max} values more than 5%. As mentioned in ref.[15], among the unitary signal forms, the Poisson signals (derived from the Poisson distribution) are most accurate. The Gaussian forms are also good but are also more sensitive to noise. Both classes are of e^{-a} reference level with $e_{\text{max}}=a/t$. Fig. 3 compares some of them with a classic Lang's form (middle quality signals). It is worth to note that at each T when the C(t) is recorded, the time t where C(t) crosses the horizontal line $C=e^{-a}$ determines e(T)=a/t. So a repeated scanning of C(t) over the whole temperature range is not needed. The existence of unitary signal forms itself is a surprising fact.



Fig. 3. Comparison of some selected signal forms to a classical Lang's S(T) form for a sample with one trap E=0.44eV.

Table 1. The finit element sign	d classes: signal forms,	their e_{max} and reference	levels [15]
---------------------------------	--------------------------	--------------------------------------	-------------

Class		Signal forms	e _{max}	Reference level	Max noise
	1	$\beta C(t) - C(t)^{\alpha}$ $e^{\left[\beta C(t) - C(t)^{\alpha}\right]}$	for $\alpha=2$, $e_{max}=(1/t)\ln[2\Delta C/(\beta-2C_0)]$	e^{-a} , a=ln[2 Δ C/(β -2C ₀)]	1.5-2%
Gauss (unitary)	2	usually $\beta = 5-10$ $C(t)e^{\beta C(t)-C(t)^{\alpha}}$	for $\alpha=2$, $e_{max}=(1/t)\ln[2\Delta C/(1+\beta-2C_0)]$	$e^{-a},$ a= ln[2 Δ C/(1+ β -2C ₀)]	1.5-2%
	3	$ke^{-(C(t)-\mu)^2/2\sigma^2}$ usually μ ~1, $2\sigma^2 = 0.2$ k only scales the graph	$e_{max} = (1/t) \ln[\Delta C/(\mu - C_0)]$	e^{-a} , a=ln[$\Delta C/(\mu-C_0)$]	1.0-1.5%
son ary)	4	$-C(t)\ln[\alpha C(t)]$ for 0<\alpha<1, usually \alpha=0.2	$e_{max} = (1/t) \ln[\alpha \Delta C / (e^{-1} - \alpha C_0)]$	e^{-a} , a=ln[$\alpha\Delta C/(e^{-1}-\alpha C_0)$]	3-5%
Poise (unit	5	$C(t)^{\beta} \lambda^{-\alpha C(t)}$ for $\lambda > 1$, usually $\lambda = 2$	$e_{max} = (1/t) \ln[\Delta C \ln \lambda / (1 - C_0 \ln \lambda)]$	$e^{-a}, = \ln[\Delta C \ln \lambda / (1 - C_0 \ln \lambda)]$	3-5%
	6	$\frac{C_n(t_1)^{1/a} - C_n(t_2)^{1/a}}{\text{need normalized } C_n(t) \text{ but not}}$ for a=1	$e_{max} = a \ln(t_1/t_2)/(t_1-t_2) \sim a/t$	e ^{-a}	1-1.5%
Lang (binary)	7	$C(t_1)^n / C(t_2)^n$ usually n=1 or 2	for t ₂ =2t ₁ : $e_{\text{max}} = (1/t)\ln(1 + \sqrt{1 + \Delta C/C_0})$	$e^{-a},$ $a = \ln(1 + \sqrt{1 + \Delta C / C_0})$	0.5%
	8	$\alpha\Delta C - (C(t_1)+1/C(t_2))$ can not be used with the normalized $C_n(t)$	for t ₂ =t ₁ =t (unitar signal): $e_{\text{max}} = -(1/t)\ln(-1 + \sqrt{1 + 1/C_0^2})$	e^{-a} $a = -\ln(-1 + \sqrt{1 + 1/C_0^2})$	1-1.3%
	9	$C_n(t_2) \ln C_n(t_1) - C_n(t_1) \ln C_n(t_2)$ need normalized C _n (t)	estimation for $t_2=2t_1$: $e_{max}=1.21188215/t$	e ^{-a} , a=1.21188215	1-1.3%

6. Averaging functions

We now discuss the averaging functions, as the increase of accuracy and separability of all transient-processing methods depends a lot on filtering noises. At recent time, the resolutions of all applicable DLTS methods are quite limited. This is why they cannot be utilized in other important areas of experimental physics, such as in high energy physics, astronomy and related areas, although high demands are seen for accurate analysis of transients.

6.1. Time averaging functions: the correlation of signals at fixed T

The correlation integrals at a fixed variable are equivalent to averaging according to this variable [17]. There are several simple correlation functions that can be easily derived. Let λ be a period width, the cross-correlation of $C_n(t)$ and $1/C_n(t)$ becomes:

$$R(\tau) = \frac{1}{\lambda} \int_{-\lambda/2}^{\lambda/2} \frac{e^{-e_n t}}{e^{-e_n (t-\tau)}} dt = e^{-e_n \tau} \Rightarrow -\frac{1}{\tau} Ln(R(\tau)) = e_n \text{ (for all } T)$$
(25)

It is interesting that the autocorrelation of L(t) is pretty simple:

$$R(\tau) = \frac{1}{\lambda} \int_{-\lambda/2}^{\lambda/2} (-e_n t) (-e_n t + e_n \tau) dt = \frac{(e_n \lambda)^2}{12}$$
(26)

And the autocorrelation of -L(t)/t can also be obtained straightforward:

$$R(\tau) = \frac{1}{\lambda} \int_{-\lambda/2}^{\lambda/2} \left(\frac{-e_n t}{t} \right) \left(\frac{-e_n t + e_n \tau}{t - \tau} \right) dt = e_n^2$$
(27)

One may also check that a cross-correlation between $L(t)^{-1/t}$ and $1/L(t)^{-1/t}$ is always 1:

$$R(\tau) = \frac{1}{\lambda} \int_{-\lambda/2}^{\lambda/2} \left(\frac{-e_n t}{t} \right) \left(\frac{t - \tau}{-e_n t + e_n \tau} \right) dt = 1$$
(28)

These results are very interesting and useful as they show how the emission factors can be calculated as the averaging functions.

6.2. Temperature averaging functions: the correlation of signals at fixed time

To filter the thermal noise, let us write $C_n(T) = e^{-t\rho T^2 e^{-\omega/T}}$, $L(T)^{-1/t} = \rho T^2 e^{-\omega/T}$ and put $M(T) = Ln[-L(T)^{-1/t}] = Ln\rho + 2LnT - \omega/T$.

A cross-correlation between M(T) and 1/M(T) is:

$$R(\tau) = \frac{1}{\Delta T} \int_{T_1}^{T_2} \left(\rho T^2 e^{-\frac{\omega}{T}} \right) \left(\rho (T-\tau)^2 e^{-\frac{\omega}{(T-\tau)}} \right) dT = \frac{1}{\Delta T} \int_{T_1}^{T_2} \left(\frac{T}{T-\tau} \right)^2 \left(e^{-\left(\frac{\omega}{T}-\frac{\omega}{T-\tau}\right)} \right) dT$$
(29)

Now substitute $\chi = 1/T$, $\chi_1 = 1/T_1$, $\chi_2 = 1/T_2$, $\Delta \chi = \chi_2 - \chi_1$ and denote $A = (1/\Delta \chi) Ln(\chi_1/\chi_2)$, $B = 1/(\chi_1\chi_2)$ we have a result by solving eq. (29):

P.Q. Trieu, H.N. Nhat / VNU Journal of Science: Mathematics - Physics, Vol. 34, No. 4 (2018) 44-54

$$\omega = \frac{1}{\sigma} Ln \left[\frac{1 + 2\sigma A + \sigma^2 B}{R(\sigma)} \right]$$
(30)

The σ is a temperature shift in the unit of 1/T. The correlation function directly determines the activation energy of deep level.

6.3. Temperature shift operator $C_n(T \cdot p) = \mathcal{T}_p[C_n(T)]$

Let $C_n(T)$ be a normalized capacitance signal at certain gate t. Denote $L(T) = Ln[C_n(T)]$ and $M(T) = Ln[-Ln(C_n(T))]$. According to temperature, the shift operator T_p moves $C_n(T)$ onto $C_n(Tp)$, for p is a real positive constant: $C_n(Tp) = T_p[C_n(T)]$.

By dividing $C_n(Tp)$ by $C_n(T)$ we can arrive at [17]:

$$C_{n}(Tp) = \mathcal{T}_{p}[C_{n}(T)] = C_{n}(T)^{p^{2_{e}} - \frac{\omega}{T}(\frac{1}{p} - 1)]}$$
(31)

Similarly, we can obtain $L(Tp) = T_p[L(T)] = L(T)p^2 e^{\left[-\frac{\omega}{T}(\frac{1}{p}-1)\right]}$ and

 $\mathbb{M}(\mathbb{T}p) = \mathcal{T}_p[\mathbb{M}(\mathbb{T})] = \mathbb{M}(\mathbb{T}) + 2\mathbb{L}np - \frac{\omega}{T}(\frac{1}{p} - 1).$ Let denote $\omega = \mathbb{E}/k$ we have:

$$\omega = T\left(\frac{p}{1-p}\right) Ln\left[p^2 \frac{L(T)}{\mathcal{T}_p[L(T)]}\right]$$
(32)

So an arbitrary shift from L(T) to L(Tp) determines a energy constant significant within this temperature segment. Ref. [17] shows that not only ω but also ρ can be detected via the shift operators.

7. Vibrated boxcar technique

In this section we describe a measurement system which monitors the capacitance transient signals C(t) at the preset T by a variable multiplicator - a vibrating boxcar. The main idea bases on a single boxcar which instead of being fixed at certain position, vibrates with some preset frequency.

If we now imagine the decreasing of the capacitance signal over time t through a region [t-d], t+d when the gate - set up before at a central position t vibrates with amplitude d, than the result C from a multiplicator circus should lie in the range [C(t-d), C(t+d)] (see Fig. 4). Giving t, d and by measuring C, the emission factor e(T) can be deduced directly without a repeated scan of temperature.

Let t be a preset time around which the gate vibrates with an amplitude d and with certain frequency F. When a transient signal decreases according to t, it crosses a gate at some position lying in a range [C(t-d), C(t+d)]. However the exact occurrences depend on frequency F. If F is large



Fig. 4. The occurrence of the transients when the gate vibrates with various frequencies. When the frequency was set large enough compared to a proper relaxation time then the whole band of transient is recorded. Otherwise at lower vibrating frequency only some segments of transient falls within a gate vibration interval.

enough in comparison with a proper relaxation time of the transient, then the recorded values should fill a whole band [C(t-d), C(t+d)]. If F is comparable to a relaxation time then only several occurrences should be recorded and if F is too small then no point could be recorded. We now consider only a case where the whole band [C(t-d), C(t+d)] is fulfilled with the transients. In general the emission factor depends on temperature according to a relation:

$$e = e_0 T^2 \exp(-\frac{E}{kT}) \tag{33}$$

where e_0 is a pre-factor depending on level concentration and capture cross section, E is the activation energy and k is Boltzmann constant. In term of e the capacitance transient C(t) reads:

$$C(t) = C_0 + \Delta C \exp(-et) \tag{34}$$

A standard signal is defined as:

$$S(T) = C(t-d) - C(t+d) = \Delta C[\exp(-e(t-d)) - \exp(-e(t+d))]$$
(35)

And by scaling S(T) on ΔC and removing $\exp(-et)$ out of the brackets we have $\frac{S(T)}{\Delta C} = \exp(-et)[\exp(ed) - \frac{1}{\exp(ed)}]$

By denoting
$$s(T) = Ln[\frac{S(T)}{\Delta C}], \delta = Ln[\exp(ed) - \frac{1}{\exp(ed)}]$$
 we have finally:
 $s(T) = -et + \delta$
(36)

Providing that *d* is preset the δ is always a constant, the *s*(*T*) signal can be obtained by setting:

$$s(T) = C_{max} - C_{min} \tag{37}$$

Note that the relation (37) holds only if the vibration frequency F is large enough in comparison with a proper relaxation time of the transient. By varying time *t*, the line (36) can be constructed and its slope reveals an emission factor *e* at the preset temperature T. Repeat the process according to temperature T and construct an Arhenius plot for determination of activation energy E. This method

requires only one temperature scan and among advantages it does not require a temperature step to be set too fine as for other methods. In general, it needs only 5 temperatures to be maintained, so the measuring time is greatly shortened. The temperature step can be set as large as the whole temperature band divided by 5. The sensitivity of this method is strongly correlated with vibrating frequency. The method is resistible to the occurrence of random noise, since the statement (37) is already averaged so is not very much affected by the random noise.

9. Conclusion

Although many algorithms have been involved in the industrial manufacturing of DLTS equipments such as in the Fourier BIO-RAD DL5000 systems, the development is still going on to improve further the resolution of the method. The newest studies promise more sensibility and faster measurement process while providing more complex outputs and enhancement of ability of the method.

Acknowledgements

This research is funded by Vietnam National Foundation for Science and Technology Development (NAFOSTED) under grant number 103.02-2017.18. Apart of this work has been done in the Laboratory for Low Dimensional Materials and Application, Faculty of Engineering Physics and Nanotechnology, VNU-University of Engineering and Technology. We also thank the Center for Materials Science (CMS), VNU-Hanoi University of Science for providing access to the equipment.

References

- [1] D.V. Lang, J. Appl. Phys. 45, (1974) p.3023.
- [2] de Prony, Baron Gaspard Riche (1795). Essai éxperimental et analytique: sur les lois de la dilatabilité de fluides élastique et sur celles de la force expansive de la vapeur de l'alkool, à différentes températures. Journal de l'École Polytechnique, Vol.1, cahier 22, 24-76.
- [3] Osborne, M.R. and Smyth, G.K. (1991). A modified Prony algorithm for fitting functions defined by difference equations. SIAM Journal of Scientific and Statistical Computing, 12, 362-382.
- [4] S. Weiss & R. Kassing, Solid State Electronics, Vol. 31, 12 (1988) p. 1733
- [5] L. Dobaczewski, P. Kaczor, I.D. Hawkins, A.R.Peaker, Mat.Sci.and Tech. 11 (1994) p. 194-198.
- [6] H. N. Nhat & P. Q. Trieu, VNU-J. of Sci. T-XVIII, No. 4 (2002) 32-39.
- [7] C. Hurtes, M. Boulou, A. Mitonneau, D. Bois. Appl. Phys. Lett. 32 (1978) p.821-823.
- [8] J. Morimoto, M. Fudamoto, K. Tahira, T. Kida, S. Kato, T. Miyakawa, Jap. J. Appl. Phys. 26 (10) (1987) p.1634
- [9] M. Pawlowski, Rev. Sci. Instrum., 70 (1999) p. 3425-3428.
- [10] M. Okuyama, H. Takakura, Y. Hamakawa, Solid-State Elect., 26 (1983) p.689-694.
- [11] F.R.Shapiro, S.D.Senturia, D.Adler, J.Apply.Phys., 55 (1984) p.3453.
- [12] Z. Su, J.W.Farmer, J. Apply. Phys., 68(1990), p.4068.
- [13] I. Thurzo, D. Pogany, K. Gmucova, Solid-State Elect., 35 (1992) p.1737-1743.
- [14] K. Ikeda, H. Takaoka, Jap.J.Appl.Phys.,21(1982) p.462-466.
- [15] H. N. Nhat, P. Q. Trieu, VNU-J. of Sci. T-XVIII, No. IV (2002) 28-36.
- [16] M. Schwartz et al., Comm. Systems & Techniques, McGraw-Hill 1966, p.63
- [17] H. N. Nhat, P. Q. Trieu, VNU-J. of Sci. T-XX, No. I (2004) 14-18
- [18] S.W. Provencher, Comp. Phys. Commun. 27, (1982) p.213
- [19] W.A. Doolittle & A. Rohatgi, J. Appl. Phys. 75, (1994) p.9.