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Original Article

# The Structural Characteristics and Phase Transformation in Al<sub>2</sub>O<sub>3</sub> Glass. A Molecular Dynamics Simulation

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**Abstract:** In this work, we have performed a simulation to study the structural characteristics and phase transformation in Al<sub>2</sub>O<sub>3</sub> glass under compression. The structural characteristics of Al<sub>2</sub>O<sub>3</sub> glass were examined via AlO<sub>x</sub> units, OAl<sub>y</sub> linkages, the average bond distance distributions, order parameters, and visualization of simulation data. The result showed that the network structure of Al<sub>2</sub>O<sub>3</sub> glass is built mainly by AlO<sub>x</sub> (x = 3, 4, 5, 6, 7) units that are linked to each other via common O atoms. We found that the distribution of AlO<sub>x</sub> units in network structure is not uniform but tends to form clusters contained AlO<sub>x</sub> units. In addition, during a moderately long time, the glass has a two-phase that consists of separate low-density (LD) and high-density (HD) phases. The size of these phases significantly depends on the compression.

Keywords: Simulation, structure, cluster, phase, low-density, high-density.

# **1. Introduction**

Alumina(Al<sub>2</sub>O<sub>3</sub>) is a very important ceramic material used in many applications. It is known that Al<sub>2</sub>O<sub>3</sub> glass is a network-forming, whose structure consists of a three-dimensional network of oxygen-

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shared AlO<sub>4</sub> tetrahedrons. At ambient pressure, the Al-O bond length is close to  $1.72 \pm 0.02$  Å. The average tetrahedral angle shows a maximum at  $141^{\circ} \pm 5^{\circ}$ . In addition, the strong directional bonds and high degree of intermediate range order are found to persist in the glass phase [1-9]. Many experimental studies of Al<sub>2</sub>O<sub>3</sub> glass [2-6] confirmed that Al-O coordination number increases from four-fold to six-fold between 10 and 25 GPa, resulting in a network of SiO<sub>6</sub> octahedrons.

Simulation techniques also provide details about the microstructural properties, as well as the glassglass phase transformation at atomic levels. The molecular dynamics (MD) simulation with applied effective potentials [7-10] reproduces well the structural factors obtained experimentally, but the bond angle distribution is rather broad to be compatible with experimental data. Although *ab*-initio simulation confirmed that the presence of strong directional bonds and gives better agreement with experimental data [11, 12], its application is limited due to very small models realized. The previous simulations provided evidence of the glass-glass phase transformation; many aspects of this phenomenon remain unclear. Therefore, in this work, we focus on studying the structural characteristics and indicating the existence of two phases with high- and low-density of Al<sub>2</sub>O<sub>3</sub> glass. The properties of Al<sub>2</sub>O<sub>3</sub> can be inferred from simulated models by the bond length, bond-angle, coordination number, and cluster function [13, 14].

#### 2. Computational Procedure

MD simulation has been done in the cubic box with periodic boundary condition for 2,000 atoms (800 Al and 1,200 O atoms). We use the Born-Mayer type pair potential to construct the  $Al_2O_3$  glass models. The form of the potential is

$$U_{ij}(r_{ij}) = Z_i Z_j \frac{q_i q_j}{r_{ij}} + B_{ij} \exp\left(-\frac{r_{ij}}{R_{ij}}\right)$$
(1)

The terms in eq. (1) represent Coulomb and repulsion energy, respectively. Here  $r_{ij}$  is the interatomic distance between atom i and j;  $q_i$  and  $q_j$  are the charges of i<sup>th</sup> and j<sup>th</sup> ions;  $B_{ij}$  and  $R_{ij}$  are parameters accounting the repulsion of the ions shells as listed in Table 1. The long-range Coulomb interactions are calculated with the standard Ewald simulation method. The equations of motion are integrated with Verlet algorithm, here we use a time step of 0.4 fs.

Pairs	B <sub>ij</sub> (eV)	$R_{ij}$ (Å <sup>-1</sup> )	$q_i, q_j (eC)$
Al-Al	0.0	0.0	$q_{\rm Al} = +3.0$
Al-O	1479.86	3.4483	$q_{\rm O} = -2.0$
0-0	1500	3.4483	-

Table 1. The potential parameters for Al<sub>2</sub>O<sub>3</sub> glass, as seen in ref. [9-11]

This initial configuration is heated to 6,000 K at zero pressure and relaxed over  $5 \times 10^4$  time steps. Then, the model is cooled to 3,000; 1,000 and finally to 300 K, at zero pressure during  $3 \times 10^4$  time steps. Next, the system is allowed to reach equilibrium for over  $10^5$  time steps. With this well-equilibrated model, we prepared 6 models with pressure of 5, 10, 15, 20, 25, 30 GPa, temperature of 300 K. After that the models has been relaxed in NVE ensemble (the constant volume and energy) for  $5 \times 10^4$  time steps, to reach the equilibrium. The network structure is studied via AlO<sub>x</sub> and OAl<sub>y</sub> basic units. The cutoff distance  $r_{cutoff}$  used equals 2.4 Å which is chosen from first minimum of the pair radial distribution function  $g_{Al-O}(r)$ .

# 3. Results and Discussion

The radial distribution function (RDF)  $G_N(r)$  allows to determine the average number of atoms at any given atomic distance. Figure 1 displays the total RDFs  $G_N(r)$  of  $Al_2O_3$  glass at different pressures, temperature of 300 K and experimental data reported by Lamparter (1997) [4].



Figure 1. The total RDFs  $G_N(r)$  of  $Al_2O_3$  model at different pressures and temperature of 300 K and experimental data reported by Lamparter (1997).

The total RDF  $G_N(r)$  is calculated from pair RDFs  $G_{Al-O}(r)$ ,  $G_{Al-Al}(r)$ ,  $G_{O-O}(r)$ , it is defined by

$$G_{N}(\mathbf{r}) = \frac{\sum_{\alpha\beta} C_{\alpha} f_{\alpha} C_{\beta} f_{\beta} G_{\alpha\beta}(\mathbf{r})}{\left[\sum_{\alpha} C_{\alpha} f_{\alpha}\right]^{2}}$$
(2)

Here,  $C_{\alpha}$ ,  $C_{\beta}$  are the number fraction of species  $\alpha$ ,  $\beta$ ;  $f_{\alpha}$ ,  $f_{\beta}$  are the corresponding coherent neutron scattering length of Al and O atoms;  $G_{\alpha\beta}(r)$  is pair RDFs  $G_{Al-O}(r)$ ,  $G_{Al-Al}(r)$ ,  $G_{O-O}(r)$ .

We take  $f_{Al}=0.34493\times10^{-14}$ m,  $f_O=0.58053\times10^{-14}$ m as used in ref. [5, 13]. Figure 1 shows that the calculated  $G_N(r)$  (at ambient pressure) is in good agreement with the experimental data [4]. It is known that the total RDFs exhibit the short-range order (SRO). As seen, with increasing pressure, the first peak shifts to the right from  $1.74 \pm 0.01$  to  $1.72 \pm 0.01$  Å, whereas the second peak shifts to the left from  $2.74 \pm 0.01$  to  $2.58 \pm 0.01$  Å. The shift of these peaks has also been confirmed by other works [1-5]. Note that the first peak of  $G_N(r)$  is contributed from the pair  $G_{Al-O}(r)$  exhibiting the Al-O bond distance, while the second peak is contributed from the pair  $G_{Al-Al}(r)$ ,  $G_{O-O}(r)$  exhibiting the Al-Al, O-O bond distance,

respectively. Figure 2 displays an arrangement of Al<sub>2</sub>O<sub>3</sub> model at ambient pressure, temperature of 300 K. Here, one can see the AlO<sub>3</sub>, AlO<sub>4</sub>, AlO<sub>5</sub>, AlO<sub>6</sub> units, as well as the OAl<sub>2</sub>, OAl<sub>3</sub> and OAl<sub>4</sub> linkages. As seen, AlO<sub>x</sub> units connect together via common O atoms to form AlO<sub>x</sub> and OAl<sub>y</sub> (x = 3-6, y = 2-4) clusters.



Figure 2. Snapshots of the atomic arrangement of Al<sub>2</sub>O<sub>3</sub> model at 0 GPa, and temperature of 300 K. Here Al and O atoms are in red and blue color, respectively.



Figure 3. Pressure dependence of fraction of basic units. Left panel shows  $C_{AlO4}$ ,  $C_{AlO5}$  and  $C_{AlO6}$  fractions. In the right panel  $C_{OAl2}$  and  $C_{OAl3+OAl4}$  fractions are shown.

In order to clarify the phase transition phenomena, characteristic of basic units and linkages are considered. Figure 3 shows the fraction of basic units and linkages. Here the fraction of basic units is given as  $C_{AlOx} = n_{AlOx}/n_{Al}$ ;  $C_{OAly} = n_{OAly}/n_O$ , where  $n_{AlOx}$ ,  $n_{OAly}$ ,  $n_{Al}$ ,  $n_O$  is the number of AlO<sub>x</sub>, OAl<sub>y</sub>, Al and O, respectively. As seen,  $C_{AlO4}$  monotonously decreases with increasing pressure, while

 $C_{AlO6}$  increases,  $C_{AlO5}$  reaches maximum about 22 GPa, and then decreases with increasing pressure. This demonstrates that there is a transformation from tetrahedral to octahedral structure. Moreover, fraction  $C_{OAl2}$  monotonously decreases with increasing pressure, while the fraction  $C_{OAl2}$  reaches maximum at 12 GPa, and then decreases. This indicates that in the high-pressure range, the AlO<sub>5</sub>, AlO<sub>6</sub> units linked each other via three common O atoms significantly increases, indicating the density of Al<sub>2</sub>O<sub>3</sub> glass in the high-pressure range is larger than the one in high-pressure range. We note that the curve for  $C_{AlO5}$  intersects with the one for  $C_{AlO4}$  and  $C_{AlO5}$  at 12 ± 0.5 and 22 ± 0.5 GPa, respectively. It means that the transformation from tetrahedral to octahedral structure mainly occurs in the pressure range of 12-22 GPa.

Next, in order to get some insights into the glass-glass transition in  $Al_2O_3$  glass, we have used the order parameter, it is defined by

$$\eta(P) = \frac{n_6 - n_4}{n_6 + n_4} \tag{3}$$

Here,  $n_4$  and  $n_6$  are the numbers of four-fold and six-fold coordinated Al atoms to oxygen. Note that if  $\eta(P) = -1$  there is no six-fold coordination in the system and analogously if  $\eta(P) = 1$  there is no four-fold coordination. The order parameter is plotted in Figure 4 as a function of pressure, and no abrupt change from a tetrahedral to an octahedral order has been found due to the existence of the five-fold coordinated unit AlO<sub>5</sub> in the system. This means that the first-order nature of the glass-glass transition in Al<sub>2</sub>O<sub>3</sub> glass is not found clearly. They gradually change in Al<sub>2</sub>O<sub>3</sub> glass.



Figure 4. The pressure dependence of the order parameter  $\eta$  of Al<sub>2</sub>O<sub>3</sub> model at different pressures.

Under compression, the average Al-O bond length is almost not changed, while that Al-Al, O-O bond length tends to decrease, i.e, from 3.14 Å (at ambient pressure) to 2.98 Å (at 30 GPa) for Al-Al pair, and from 2.80 to 2.58 Å for O-O pair. This demonstrates that the structure of  $Al_2O_3$  glass has a tendency to become more packing under compression. In other words, there is the transformation from LD-phase to HD-phase with increasing pressure. This result is also showed as confirmed from Figure 4 and 5.



Figure 5. Evolution of the average  $d_{Al-Al}$ ,  $d_{Al-O}$  and  $d_{O-O}$  bond distances as a function of pressure for glass  $Al_2O_3$  model.



Figure 6. Snapshot of the positions of atoms in LD-phase (AlO<sub>4</sub> units) and HD-phase (AlO<sub>5</sub>, AlO<sub>6</sub> units of Al<sub>2</sub>O<sub>3</sub> model at 5, 10, 20 and 30 GPa. Here, the yellow, black spheres represent the atoms in LD-phase and HD-phase, respectively.

The above analysis indicates that at the lower pressure 12 GPa, LD-phase is dominant, conversely, beyond 22 GPa, HD phase is dominant. In the range of 12-20 GPa,  $Al_2O_3$  glass is the mixing of two phases (intermediate phases). In order to clarify the polyamorphism and the phase transformation in the  $Al_2O_3$  glass, the network structure has been visualized at the atomic level. Figure 6 shows the distribution of  $AlO_x$  in models. As seen, the distribution of  $AlO_x$  units is not uniform but it tends to cluster forming regions of LD. Conversely, the  $AlO_5$  and  $AlO_6$  tend to cluster forming regions of HD. The size of LD and HD regions strongly depends on pressure. Below 12 GPa, the structure of the  $Al_2O_3$  glass is mainly formed from LD phases. As the pressure increases, the size of LD regions decreases while the size of HD regions is increased. In the range of 10-22 Gpa, the structure of the  $Al_2O_3$  glass is mainly formed from two-phases, characteristic of  $AlO_4$ ,  $AlO_5$  units formed intermediate phases. Beyond 22 GPa, the structure of the  $Al_2O_3$  glass is mainly formed from two-phases, characteristic of  $AlO_4$ ,  $AlO_5$  units formed intermediate phases. Beyond 22 GPa, the structure of the  $Al_2O_3$  glass is mainly formed from two-phases, characteristic of  $AlO_4$ ,  $AlO_5$  units formed intermediate phases. Beyond 22 GPa, the structure of the  $Al_2O_3$  glass is mainly formed from HD-phases, characteristic of  $AlO_5$ ,  $AlO_6$  units. Thus, we conclude that at a certain pressure, the structure of  $Al_2O_3$  glass both two phases.

### 4. Conclusion

We successfully performed a simulation of Al<sub>2</sub>O<sub>3</sub> glass with pressure ranging from 0 to 30 GPa, at a temperature of 300 K. The structural characteristics of the constructed models are in good agreement with both the experimental and other simulation data. The result shows that the network structure of Al<sub>2</sub>O<sub>3</sub> glass is built mainly by AlO<sub>x</sub> (x = 3-7) units that are linked to each other via common O atoms. The distribution of AlO<sub>x</sub> units in network structure is not uniform but tends to form clusters which comprise AlO<sub>x</sub> units. The cluster of AlO<sub>4</sub> forms a LD-phase, conversely, the cluster of AlO<sub>5</sub> and AlO<sub>6</sub> form HD-phase. During a moderately long time, the Al<sub>2</sub>O<sub>3</sub> glass has a two-phase that consists of separate LD- and HD- phases. The size of these phases significantly depends on the compression pressure.

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