

## CHARACTERIZATION AND GENERALIZED CHARACTERIZATION OF SINGULAR INTEGRAL EQUATIONS

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**Abstract.** *In this paper we give an algebraic method for reducing some classes of singular integral equations with a regular part to either the characteristic equation or a generalized characteristic equation.*

### I. Introduction

Consider a singular integral equation of the form

$$(K\varphi)(t) := (K_0 + T)\varphi = f, \quad (*)$$

where either

$$(K_0\varphi)(t) := a(t)\varphi(t) + \frac{b(t)}{\pi i} \int_{\Gamma} \frac{\varphi(\tau)}{\tau - t} d\tau$$

or

$$(K_0\varphi)(t) := a(t)\varphi(t) + \frac{1}{\pi i} \int_{\Gamma} \frac{b(\tau)\varphi(\tau)}{\tau - t} d\tau$$

and

$$(T\varphi)(t) := \int_{\Gamma} T(t, \tau)\varphi(\tau) d\tau.$$

The Noether theory of the equation (\*) was considered by several authors under general assumptions about  $a(t)$ ,  $b(t)$  and  $T(t, \tau)$  (see, e.g. [1], [2], [4], [7]). It is known that the characteristic equation and its associated characteristic equation admit effective solutions. But in general, equations of the form (\*) do not admit effective solutions. However, there are some sufficient conditions which are given by Samko S. G., Ng. V. Mau,... (see, e.g. [4], [8]) so that the equations (\*) can be solved effectively. In order to get other sufficient conditions for the kernel  $T(t, \tau)$ , we consider a problem on characterization of singular integral equations, i.e. we find the operators  $T$  such that the equation (\*) can be reduced to either  $K_0\varphi = g$  or a generalized characteristic equation  $(K_0 + T_0)\varphi = g$ , where  $T_0$  is a compact operator with kernel  $T_0(t, \tau)$  satisfying sufficient conditions which are given by the authors mentioned above.

This paper deals with either characterization or generalized characterization of some class equations of the form (\*).

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§1.

Let  $\Gamma$  be a simple regular closed arc and let  $X$  be the space  $H^\mu(\Gamma)$  ( $0 < \mu < 1$ ). Let  $L(X)$  be a space of all linear operators with domains and ranges in  $X$ .

**Definition 1.1.** (see [3]) *An operator  $V \in L(X)$  is said to be generalizly almost invertible if there is an operator  $W \in L(X)$  (called a generalized almost inverse of  $V$ ) such that  $ImW \subset domV$ ,  $ImV \subset domW$  and*

$$VWV = V \text{ on } domV.$$

The set of all generalized almost invertible operators in  $L(X)$  will be denoted by  $W(X)$ . For a given  $V \in W(X)$  we denote by  $W_V$  the set of all generalized almost inverse of  $V$ .

Consider equations of the form

$$(K\varphi)(t) := (V\varphi)(t) + \lambda \int_{\Gamma} T_n(t, \tau)\varphi(\tau)d\tau = f(t), \tag{1}$$

where  $V \in W(X)$ ,  $T_n(t, \tau) = \sum_{k=1}^n a_k(t)b_k(\tau)$ ,  $f(t), a_k(t), b_k(t) \in X$  ( $k = 1, 2, \dots, n$ ),  $\{a_k(t)\}_{k=1, \dots, n}$  is a linearly independent system,

$$b_k(t) \neq 0 \quad (k = 1, 2, \dots, n), \quad 0 \neq \lambda \in \mathbb{C}. \tag{2}$$

Let  $W \in W_V$ . Assume that

$$\begin{aligned} a_k(t) &\in \text{dom } W, \quad k = 1, 2, \dots, n; \\ [(I - WV)\varphi](t) &= - \sum_{k=0}^{\kappa_0} u_k(\varphi)\varphi_k(t) \text{ on } \text{dom } V, \end{aligned} \tag{3}$$

where  $\varphi_0(t) \equiv 0$ ;  $\{\varphi_k(t)\}_{k=1, \dots, \kappa_0}$  is a linearly independent system and  $u_k \in X^*$  ( $k = 0, \dots, \kappa_0$ ) are the given linear functionals ( $X^*$  is a conjugate space to  $X$ ).

Let  $\mathcal{A} = [K_{jk}]_{j,k=1}^{n+\kappa_0}$  be an  $(n + \kappa_0) \times (n + \kappa_0)$  matrix that is defined by complex numbers  $K_{jk}$ , where

$$K_{jk} = \begin{cases} 1 + K'_{jk} & \text{if } j = k, \\ K'_{jk} & \text{if } j \neq k, \quad (j, k = 1, \dots, n + \kappa_0) \end{cases} \tag{4}$$

and

$$K'_{jk} = \begin{cases} \lambda \int_{\Gamma} b_j(t)(W a_k)(t)dt & \text{if } j, k = 1, \dots, n; \\ \int_{\Gamma} b_j(t)\varphi_{k-n}(t)dt & \text{if } j = 1, \dots, n; \quad k = n + 1, \dots, n + \kappa_0; \\ \lambda u_{j-n}(W a_k) & \text{if } j = n + 1, \dots, n + \kappa_0; \quad k = 1, \dots, n; \\ u_{j-n}(\varphi_{k-n}) & \text{if } j, k = n + 1, \dots, n + \kappa_0. \end{cases} \tag{5}$$

Let  $\mathcal{A}^k(\varphi)$  be an  $(n + \kappa_0) \times (n + \kappa_0)$  matrix, obtained from  $\mathcal{A}$  by replacing the  $k^{th}$  column by the  $\gamma(\varphi)$  - column, where

$$\gamma(\varphi) = [\gamma_1(\varphi), \gamma_2(\varphi), \dots, \gamma_{n+\kappa_0}(\varphi)]^T,$$

$$\gamma_j(\varphi) = \begin{cases} \int_{\Gamma} b_j(t)(W\varphi)(t)dt & \text{if } j = 1, \dots, n; \\ u_{j-n}(W\varphi) & \text{if } j = n+1, \dots, n+\kappa_0. \end{cases} \quad (6)$$

Let

$$\Delta = \det \mathcal{A} \text{ and } \Delta_k(\varphi) = \det \mathcal{A}^k(\varphi). \quad (7)$$

The set of all equations of the form

$$(V\varphi)(t) + \lambda \sum_{k=1}^s u_k(\varphi) d_k(t) = f(t)$$

will be denoted by  $H_V^s$ , where  $\{d_k(t)\}_{k=1, \dots, s}$  is a linearly independent system in  $X$ ,  $0 \neq u_k \in X^*$  ( $k = 1, \dots, s$ ) are linear functionals,  $f(t) \in X$  is a given function,  $0 \neq \lambda \in \mathbb{C}$ .

Denote

$$H_V^0 = \{(V\varphi)(t) = f(t)/f(t) \in X\},$$

$$\tilde{H}_V^s = \bigcup_{l=0}^s H_V^l.$$

Evidently the equation (1) belongs to  $H_V^n$ .

**Theorem 1.1.** *For the equation (1), suppose that the condition (3) is satisfied. If  $\Delta \neq 0$ , then the equation  $(\tilde{K}K\varphi)(t) = (\tilde{K}f)(t)$  belongs to  $H_V^0$ , where*

$$\tilde{K} = I - T_1,$$

$$(T_1\varphi)(t) = \lambda \sum_{k=1}^n \frac{\Delta_k(\varphi)}{\Delta} a_k(t).$$

*Proof.* It is easy to check that  $\tilde{K} \in L(X)$  and  $\text{dom } \tilde{K} = \text{dom } W \supset \text{Im } K$ .

We have

$$(\tilde{K}K\varphi)(t) = (I - T_1)(K\varphi)(t)$$

$$= (V\varphi)(t) + \lambda \sum_{k=1}^n \alpha_k a_k(t) - \lambda \sum_{k=1}^n \frac{\Delta_k(K\varphi)}{\Delta} a_k(t),$$

where

$$\alpha_k = \int_{\Gamma} b_k(t)\varphi(t)dt, \quad k = 1, \dots, n.$$

Form (3), we have

$$(WV\varphi)(t) = \varphi(t) + \sum_{k=0}^{\kappa_0} u_k(\varphi)\varphi_k(t) \text{ on } \text{dom } V,$$

i.e.,

$$[W(K\varphi - \lambda \sum_{j=1}^n \alpha_j a_j)](t) = \varphi(t) + \sum_{k=0}^{\kappa_0} u_k(\varphi)\varphi_k(t) \text{ on } \text{dom } V.$$

This implies

$$\begin{aligned} (WK\varphi)(t) &= \varphi(t) + \lambda \sum_{j=1}^n \alpha_j (Wa_j)(t) + \sum_{k=0}^{\kappa_0} u_k(\varphi) \varphi_k(t) \\ &= \varphi(t) + \sum_{k=1}^{n+\kappa_0} \beta_k \psi_k(t) \quad \text{on } V, \end{aligned} \quad (8)$$

where

$$\beta_k = \begin{cases} \alpha_k & \text{if } k = 1, \dots, n; \\ u_{k-n}(\varphi) & \text{if } k = n+1, \dots, n+\kappa_0; \end{cases}$$

$$\psi_k(t) = \begin{cases} \lambda(Wa_k)(t) & \text{if } k = 1, \dots, n; \\ \varphi_{k-n}(t) & \text{if } k = n+1, \dots, n+\kappa_0. \end{cases}$$

From (4), (5) and (6), we obtain

$$\begin{aligned} \gamma_j(K\varphi) &= \begin{cases} \int_{\Gamma} b_j(t) [\varphi(t) + \sum_{k=1}^{n+\kappa_0} \beta_k \psi_k(t)] dt & \text{if } j = 1, \dots, n; \\ u_{j-n} [\varphi(t) + \sum_{k=1}^{n+\kappa_0} \beta_k \psi_k(t)] & \text{if } j = n+1, \dots, n+\kappa_0 \end{cases} \\ &= \beta_j + \sum_{k=1}^{n+\kappa_0} \beta_k K'_{jk} = \sum_{k=1}^{n+\kappa_0} \beta_k K_{jk}, \quad j = 1, \dots, n+\kappa_0. \end{aligned}$$

Thus

$$\Delta_k(K\varphi) = \beta_k \Delta, \quad k = 1, \dots, n+\kappa_0.$$

Hence

$$\sum_{k=1}^n \frac{\Delta_k(K\varphi)}{\Delta} a_k(t) = \sum_{k=1}^n \beta_k a_k(t) = \sum_{k=1}^n \alpha_k a_k(t).$$

This implies

$$(\tilde{K}K\varphi)(t) = (V\varphi)(t) = (\tilde{K}f)(t).$$

This equation belongs to  $H_V^\circ$ . The theorem is proved.

**Corollary 1.1.** For the equation (1) suppose that the condition (3) is satisfied and  $u_k(\varphi) = e_k$  ( $k = 1, \dots, \kappa_0$ ),  $e_k \in \mathbb{C}$  are given complex numbers. If  $\Delta \neq 0$ , then the equation  $(\tilde{K}_1 K\varphi)(t) = (\tilde{K}_1 f)(t)$  belongs to  $H_V^\circ$ , where

$$\tilde{K}_1 = I - T_2,$$

$$(T_2\varphi)(t) = \lambda \sum_{k=1}^n \frac{\Delta_k(\varphi)}{\Delta} a_k(t) + \sum_{j=n+1}^{n+\kappa_0} \frac{\Delta_j(\varphi)}{\Delta} \varphi_{j-n}(t).$$

*Proof.* By similar arguments as seen in the proof of theorem 1.1, we have

$$\Delta_k(K\varphi) = \beta_k \Delta, \quad k = 1, \dots, n+\kappa_0;$$

where

$$\beta_k = \begin{cases} \alpha_k & \text{if } k = 1, \dots, n; \\ u_{k-n}(\varphi) & \text{if } k = n+1, \dots, n+\kappa_0. \end{cases}$$

Hence,

$$(\tilde{K}_1 K\varphi)(t) = (V\varphi)(t) - \sum_{j=n+1}^{n+\kappa_0} \beta_j \varphi_{j-n}(t) = (\tilde{K}_1 f)(t),$$

i.e.,

$$(V\varphi)(t) = (\tilde{K}_1 f)(t) + \sum_{j=1}^{\kappa_0} u_j(\varphi) \varphi_j(t).$$

From our assumption, we have

$$(V\varphi)(t) = (\tilde{K}_1 f)(t) + \sum_{j=1}^{\kappa_0} e_j \varphi_j(t).$$

This equation belongs to  $H_V^\circ$ . The corollary is proved.

Let  $[L_{jk}]_{j,k=1}^n$  be an  $n \times n$  matrix that is defined by complex numbers  $L_{jk}$ , where

$$L_{jk} = \begin{cases} 1 - L'_{jk} & \text{if } j = k, \\ -L'_{jk} & \text{if } j \neq k, \end{cases}$$

$$L'_{jk} = \lambda \frac{\Delta_j(a_k)}{\Delta}, \quad j, k = 1, \dots, n;$$

where  $\Delta$  and  $\Delta_j(\varphi)$  are defined by (7).

**Proposition 1.1.** *Suppose that all assumptions of theorem 1.1 are satisfied. If  $\det [L_{jk}]_{j,k=1}^n \neq 0$ , then  $\psi(t)$  is a solution of (1) if and only if  $\psi(t)$  is a solution of  $(\tilde{K}K\varphi)(t) = (\tilde{K}f)(t)$ .*

*Proof.* Suppose that  $\psi(t)$  is a solution of (1), i.e.  $(K\psi)(t) = f(t)$ . Then  $f(t) \in \text{Im}K \subset \text{dom}\tilde{K}$  and  $(\tilde{K}K\psi)(t) = (\tilde{K}f)(t)$ , so  $\psi(t)$  is a solution of  $(\tilde{K}K\varphi)(t) = (\tilde{K}f)(t)$ .

Conversely, if  $\psi(t)$  is a solution of  $(\tilde{K}K\varphi)(t) = (\tilde{K}f)(t)$ , then  $(\tilde{K}g)(t) = 0$ , where  $g(t) = (K\psi)(t) - f(t)$ .

i.e.,

$$g(t) - \lambda \sum_{k=1}^n \frac{\Delta_k(g)}{\Delta} a_k(t) = 0.$$

Put  $\frac{\Delta_k(g)}{\Delta} = \delta_k$  ( $k = 1, \dots, n$ ). Acting by linear functionals  $v_j$  to both sides of this equation, where  $v_j(\varphi) = \frac{\Delta_j(\varphi)}{\Delta}$  ( $j = 1, \dots, n$ ), we get

$$\delta_j - \sum_{k=1}^n \delta_k L'_{jk} = 0, \quad j = 1, \dots, n;$$

i.e.,

$$\sum_{k=1}^n \delta_k L_{jk} = 0, \quad j = 1, \dots, n.$$

Since  $\det [L_{jk}]_{j,k=1}^n \neq 0$ , we obtain  $\delta_j = 0$ ,  $j = 1, \dots, n$ . Hence,  $g(t) = 0$ , i.e.,  $(K\tilde{v})(t) = f(t)$ . The proposition is proved.

Consider now the case  $\Delta = 0$ .

Suppose that  $r$  is the rank of matrix  $\mathcal{A}$  and  $\bar{\mathcal{A}} = [K_{\nu_j \mu_k}]_{j,k=1}^r$  is a submatrix of  $\mathcal{A}$  such that

$$\Delta' = \det \bar{\mathcal{A}} \neq 0,$$

where

$$\begin{aligned} \nu_k < \nu_j, \quad \mu_k < \mu_j \quad &\text{if } k < j; \quad j, k \in \{1, 2, \dots, r\}, \\ \nu_1, \nu_2, \dots, \nu_l, \quad \mu_1, \mu_2, \dots, \mu_m &\in \{1, \dots, n\}, \\ \nu_{l+1}, \nu_{l+2}, \dots, \nu_r, \quad \mu_{m+1}, \dots, \mu_r &\in \{n+1, \dots, n+\kappa_0\}. \end{aligned}$$

Let  $\bar{\mathcal{A}}^{\mu_k}(\varphi)$  be an  $r \times r$  matrix, obtained from  $\bar{\mathcal{A}}$  replacing the  $k^{\text{th}}$  column by the  $[\gamma_{\nu_1}(\varphi), \dots, \gamma_{\nu_r}(\varphi)]^T$  column, where  $\gamma_{\nu_j}(\varphi)$  ( $j = 1, \dots, r$ ) are defined by (5) and let  $\Delta'_{\mu_k}(\varphi) = \det \bar{\mathcal{A}}^{\mu_k}(\varphi)$ .

**Theorem 1.2.** For the equation (1), suppose that the condition (3) is satisfied. If  $\Delta' \neq 0$ , then equation  $(\tilde{K}K\varphi)(t) = (\tilde{K}f)(t)$  belongs to  $\tilde{H}_V^{n+\kappa_0-r}$ , where

$$\begin{aligned} \tilde{K} &= I - T'_1, \\ (T'_1\varphi)(t) &= \lambda \sum_{k=1}^m \frac{\Delta'_{\mu_k}(\varphi)}{\Delta'} a_{\mu_k}(t). \end{aligned}$$

*Proof.* It is easy to see that  $\tilde{K} \in L(X)$  and  $\text{dom } \tilde{K} = \text{dom } W \supset \text{Im } K$ .

We have

$$\begin{aligned} (\tilde{K}K\varphi)(t) &= (I - T'_1)(K\varphi)(t) \\ &= (V\varphi)(t) + \lambda \sum_{k=1}^n \alpha_k a_k(t) - \lambda \sum_{k=1}^m \frac{\Delta'_{\mu_k}(K\varphi)}{\Delta'} a_{\mu_k}(t), \end{aligned}$$

where  $\alpha_k = \int_{\Gamma} b_k(t)\varphi(t)dt$ .

By similar arguments as seen in the proof of theorem 1.1, we have

$$\Delta'_{\mu_k}(K\varphi) = \beta_{\mu_k} \Delta' + \Delta''_{\mu_k} + \Delta'''_{\mu_k}, \quad k = 1, \dots, r;$$

where  $\Delta''_{\mu_k}, \Delta'''_{\mu_k}$  are determinants of the matrices which obtained from  $\bar{\mathcal{A}}$  replacing the  $k^{\text{th}}$  column by the  $[U_1, \dots, U_r]^T$  column and the  $[U'_1, \dots, U'_r]^T$  column, respectively, where

$$\begin{aligned} U_j &= \sum_{i \in \{\overline{1, n}\} \setminus \{\overline{\mu_1, \mu_m}\}} \beta_i K_{\nu_j i}, \quad j = 1, \dots, r, \\ U'_j &= \sum_{i \in \{\overline{n+1, n+\kappa_0}\} \setminus \{\overline{\mu_{m+1}, \mu_r}\}} \beta_i K_{\nu_j i}, \quad j = 1, \dots, r, \\ \beta_i &= \begin{cases} \alpha_i & \text{if } i = 1, \dots, n; \\ u_{i-n}(\varphi) & \text{if } i = n+1, \dots, n+\kappa_0. \end{cases} \end{aligned} \tag{9}$$



Hence, we obtain

$$\begin{aligned} (\tilde{K}K\varphi)(t) &= (V\varphi)(t) + \lambda \sum_{i \in \{\overline{1, n}\} \setminus \{\mu_1, \mu_m\}} \alpha_i a_i(t) - \lambda \sum_{k=1}^m \frac{\Delta''_{\mu_k}}{\Delta'} a_{\mu_k}(t) \\ &\quad - \lambda \sum_{k=1}^m \frac{\Delta'''_{\mu_k}}{\Delta'} a_{\mu_k}(t) = (\tilde{K}f)(t). \end{aligned}$$

This equation can be written in the form

$$(V\varphi)(t) + \lambda \sum_{i \in \{\overline{1, n}\} \setminus \{\mu_1, \mu_m\}} \alpha_i c_i(t) + \lambda \sum_{j \in \{\overline{n+1, n+\kappa_0}\} \setminus \{\mu_{m+1}, \mu_r\}} \beta_j d_j(t) = (\tilde{K}f)(t),$$

where  $c_i(t)$ ,  $d_j(t)$  are defined in terms of  $a_k(t)$  ( $k = 1, \dots, n$ ). Thus, the last equation belongs to  $\tilde{H}_V^{n+\kappa_0-r}$ . The theorem is proved.

**Corollary 1.2.** Suppose that the condition (3) is satisfied and  $u_k(\varphi) = e_k$  ( $k = 1, \dots, \kappa_0$ ),  $e_k \in \mathbb{C}$  are given complex numbers. If  $\Delta' \neq 0$  then the equation  $(\tilde{K}_1 K\varphi)(t) = (\tilde{K}_1 f)(t)$  belongs to  $\tilde{H}_V^{n-m}$ , where

$$\begin{aligned} \tilde{K}_1 &= I - T'_2, \\ (T'_2\varphi)(t) &= \lambda \sum_{k=1}^m \frac{\Delta'_{\mu_k}(\varphi)}{\Delta'} a_{\mu_k}(t) + \sum_{j=m+1}^r \frac{\Delta'_{\mu_j}(\varphi)}{\Delta'} \varphi_{\mu_j-n}(t). \end{aligned}$$

*Proof.* By similar arguments as seen in the proof of theorem 1.2, we obtain

$$\begin{aligned} (\tilde{K}_1 K\varphi)(t) &= (V\varphi)(t) + \lambda \sum_{i \in \{\overline{1, n}\} \setminus \{\mu_1, \mu_m\}} \alpha_i a_i(t) - \lambda \sum_{k=1}^m \frac{\Delta''_{\mu_k}}{\Delta'} a_{\mu_k}(t) \\ &\quad - \lambda \sum_{k=1}^m \frac{\Delta'''_{\mu_k}}{\Delta'} a_{\mu_k}(t) - \sum_{j=m+1}^r \beta_{\mu_j} \varphi_{\mu_j-n}(t) - \sum_{j=m+1}^r \frac{\Delta''_{\mu_j}}{\Delta'} \varphi_{\mu_j-n}(t) \\ &\quad - \sum_{j=m+1}^r \frac{\Delta'''_{\mu_j}}{\Delta'} \varphi_{\mu_j-n}(t) = (\tilde{K}_1 f)(t), \end{aligned}$$

where  $\Delta''_{\mu_j}$ ,  $\Delta'''_{\mu_j}$  are defined by (9).

This equation can be written in the form

$$(V\varphi)(t) + \lambda \sum_{i \in \{\overline{1, n}\} \setminus \{\mu_1, \mu_m\}} \alpha_i c_i(t) - \sum_{j=1}^{\kappa_0} u_j(\varphi) d_j(t) = (\tilde{K}_1 f)(t),$$

where  $c_i(t)$ ,  $d_j(t)$  are defined in terms of  $a_k(t)$  ( $k = 1, \dots, n$ ),  $\varphi_{\mu_l-n}(t)$  ( $l = m+1, \dots, r$ ).

From our assumption, we have

$$(V\varphi)(t) + \lambda \sum_{i \in \{\overline{1, n}\} \setminus \{\mu_1, \mu_m\}} \alpha_i c_i(t) = (\tilde{K}_1 f)(t) + \sum_{j=1}^{\kappa_0} e_j d_j(t).$$

This equation belongs to  $\tilde{H}_V^{n-m}$ . The corollary is proved.

§2. SOME EXAMPLES OF APPLICATION

Let  $\Gamma$  and  $X$  are defined as in §1. Denote by  $D^+$  the domain bounded by  $\Gamma$  and  $D^-$  - its complement including the point at infinity. Assume that  $0 \in D^+$ . Let

$$(S\varphi)(t) = \frac{1}{\pi i} \int_{\Gamma} \frac{\varphi(\tau)}{\tau - t} d\tau,$$

$$(S_M\varphi)(t) = \frac{1}{\pi i} \int_{\Gamma} \frac{M(t, \tau)}{\tau - t} \varphi(\tau) d\tau.$$

1. Characterization of singular integral equations

Consider singular integral equations of the form

$$(K\varphi)(t) := a(t)\varphi(t) + b(t)(S\varphi)(t) + \lambda \int_{\Gamma} T_n(t, \tau)\varphi(\tau) d\tau = f(t), \quad (10)$$

where  $f(t), a(t), b(t) \in X, a(t) \pm b(t) \neq 0$  for all  $t \in \Gamma; T_n(t, \tau)$  is defined by (2).

Denote

$$(K_0\varphi)(t) := a(t)\varphi(t) + b(t)(S\varphi)(t),$$

$$(R_0\varphi)(t) := \frac{1}{a^2(t) - b^2(t)} \left[ a(t)\varphi(t) - \frac{b(t)Z(t)}{\pi i} \int_{\Gamma} \frac{\varphi(\tau) d\tau}{Z(\tau)(\tau - t)} \right],$$

where

$$Z(t) = e^{\Gamma(t)} \sqrt{\frac{a^2(t) - b^2(t)}{t^{\kappa}}}, \quad \Gamma(t) = \frac{1}{2\pi i} \int_{\Gamma} \frac{\ln\left(\tau^{-\kappa} \frac{a(\tau) - b(\tau)}{a(\tau) + b(\tau)}\right)}{\tau - t} d\tau, \quad \kappa = \text{Ind } K_0.$$

Denote

$$\kappa_0 = \begin{cases} \kappa & \text{if } \kappa > 0, \\ 0 & \text{if } \kappa \leq 0, \end{cases}$$

$$F_0 = I - R_0K_0.$$

It is easy to verify the following lemma

**Lemma 2.1.** *The following equality is holds*

$$(F_0\varphi)(t) = - \sum_{k=0}^{\kappa_0} u_k(\varphi)\varphi_k(t) \quad \text{on } X,$$

where  $\varphi_0(t) = 0, \varphi_j(t) = [a^2(t) - b^2(t)]^{-1} b(t) Z(t) t^{j-1} \quad (j = 1, \dots, \kappa_0)$  and  $u_k(\varphi) \quad (k = 0, \dots, \kappa_0)$  are linear functionals which are defined by

$$u_k(\varphi) = \begin{cases} 0 & \text{if } k = 0, \\ \frac{1}{2\pi i} \int_{\Gamma} \frac{\tau^{\kappa_0 - k}}{e^{\Gamma^-(\tau)}} \left[ -\varphi(\tau) + \frac{1}{\pi i} \int_{\Gamma} \frac{\varphi(\tau_1)}{\tau_1 - \tau} d\tau_1 \right] d\tau & \text{if } k = 1, \dots, \kappa_0, \end{cases}$$

where  $\Gamma^-(t)$  is a boundary value of the function  $\Gamma(z)$  in  $D^-$ .



It is known that (see [1])

$$\text{Ker } K_0 = \text{lin}(\varphi_0(t), \varphi_1(t), \dots, \varphi_{\kappa_0}(t)). \quad (11)$$

From (11) and lemma 2.1, we obtain

$$K_0 \in W(X), \quad R_0 \in \mathcal{W}_{K_0}.$$

Let  $\Delta$  and  $\tilde{K}$  are constructed in the same way as  $\Delta$  and  $\tilde{K}$  in §1 (where replacing the operators  $W, V$  by  $R_0, K_0$ )

According to the theorem 1.1, we obtain

**Theorem 2.1..** *If  $\Delta \neq 0$ , then the equation  $(\tilde{K}K\varphi)(t) = (\tilde{K}f)(t)$  is the characteristic equation.*

## 2. Generalized characterization of singular integral equations

a) Consider singular integral equations of the form

$$(K\varphi)(t) := \varphi(t) + b(t)(S_k\varphi)(t) + \lambda \int_{|\tau|=1} T_n(t, \tau)\varphi(\tau)d\tau = f(t), \quad (12)$$

where  $b(t), f(t) \in X$ ;  $T_n(t, \tau)$  is defined by (2) and

$$(S_k\varphi)(t) = \frac{1}{\pi i} \int_{|\tau|=1} \frac{\tau^{m-1-k}t^k}{\tau^m - t^m} \varphi(\tau)d\tau, \quad 1 < m \in N, \quad 0 \leq k \leq m-1.$$

Denote

$$(K_1\varphi)(t) := \varphi(t) + b(t)(S_k\varphi)(t),$$

$$(K_2\varphi)(t) := \varphi(t) + \tilde{b}(t)(S\varphi)(t),$$

$$(W_1\varphi)(t) := \varphi(\varepsilon_1 t), \quad \varepsilon_1 = \exp(2\pi i/m), \quad \varepsilon_j = \varepsilon_1^j \quad (j = 1, \dots, m),$$

where  $\tilde{b}(t) = \frac{1}{m} \sum_{j=1}^m b(\varepsilon_j t)$ .

Assume that  $1 \pm \tilde{b}(t) \neq 0$  for all  $t$  such that  $|t| = 1$ .

Denote

$$(R_1\varphi)(t) := \varphi(t) - b(t)(S_k R_2 P_k \varphi)(t),$$

$$(R_2\varphi)(t) := \frac{1}{1 - \tilde{b}^2(t)} \left[ \varphi(t) - \frac{\tilde{b}(t)Z(t)}{\pi i} \int_{|\tau|=1} \frac{\varphi(\tau)d\tau}{Z(\tau)(\tau - t)} \right],$$

where

$$P_k = \frac{1}{m} \sum_{j=1}^m \varepsilon_k^{m-j} W_1^j, \quad Z(t) = e^{\Gamma(t)} \sqrt{\frac{1 - \tilde{b}^2(t)}{t^\kappa}},$$

$$\Gamma(t) = \frac{1}{2\pi i} \int_{|\tau|=1} \frac{\ln\left(\tau^{-\kappa} \frac{1 - \tilde{b}(\tau)}{1 + \tilde{b}(\tau)}\right)}{\tau - t} d\tau, \quad \kappa = \text{Ind } K_2.$$

Denote

$$\kappa_0 = \begin{cases} \kappa & \text{if } \kappa > 0, \\ 0 & \text{if } \kappa \leq 0, \end{cases}$$

$$F_1 = I - R_1 K_1.$$

**Lemma 2.2..** *The following equality holds*

$$(F_1 \varphi)(t) = - \sum_{j=0}^{\kappa_0} u_j(\varphi) \varphi_j(t) \quad \text{on } X,$$

where  $\varphi_0(t) = 0$ ,  $\varphi_j(t) = b(t)(S_k \psi_j)(t)$ ,  $\psi_j(t) = \frac{\tilde{b}(t)Z(t)}{1 - \tilde{b}^2(t)} t^{j-1}$ ,  $j = 1, \dots, \kappa_0$  and

$$u_j(\varphi) = \begin{cases} 0 & \text{if } j = 0, \\ \frac{1}{2\pi i} \int_{|\tau|=1} \frac{\tau^{\kappa_0-j}}{e^{\Gamma^-(\tau)}} \left[ -(P_k \varphi)(\tau) + \frac{1}{\pi i} \int_{|\tau_1|=1} \frac{(P_k \varphi)(\tau_1)}{\tau_1 - \tau} d\tau_1 \right] d\tau & \text{if } j = 1, \dots, \kappa_0; \end{cases}$$

where  $\Gamma^-(t)$  is a boundary value of the function  $\Gamma(z)$  outside the unit circle.

*Proof.* We have

$$\begin{aligned} (F_1 \varphi)(t) &= [(I - R_1 K_1) \varphi](t) \\ &= \varphi(t) - (K_1 \varphi)(t) + b(t)(S_k R_2 P_k K_1)(t) \\ &= \varphi(t) - (K_1 \varphi)(t) + b(t)(S_k R_2 K_2 P_k \varphi)(t) \\ &= \varphi(t) - (K_1 \varphi)(t) + b(t)[S_k(I - F_2)P_k \varphi](t) \\ &= \varphi(t) - (K_1 \varphi)(t) + b(t)(S_k P_k \varphi)(t) - b(t)(S_k F_2 P_k \varphi)(t) \\ &= -b(t)(S_k F_2 P_k \varphi)(t). \end{aligned}$$

On the other hand, according to the lemma 2.1, we obtain

$$(F_2 \varphi)(t) = - \sum_{j=0}^{\kappa_0} v_j(\varphi) \psi_j(t),$$

where

$$\psi_j(t) = \begin{cases} 0 & \text{if } j = 0, \\ \frac{\tilde{b}(t)Z(t)}{1 - \tilde{b}^2(t)} t^{j-1} & \text{if } j = 1, \dots, \kappa_0, \end{cases}$$

$$v_j(\varphi) = \begin{cases} 0 & \text{if } j = 0, \\ \frac{1}{2\pi i} \int_{|\tau|=1} \frac{\tau^{\kappa_0-j}}{e^{\Gamma^-(\tau)}} \left[ -\varphi(\tau) + \frac{1}{\pi i} \int_{|\tau_1|=1} \frac{\varphi(\tau_1)}{\tau_1 - \tau} d\tau_1 \right] d\tau & \text{if } j = 1, \dots, \kappa_0. \end{cases}$$

Hence

$$(F_1 \varphi)(t) = - \sum_{j=0}^{\kappa_0} u_j(\varphi) \varphi_j(t),$$

where

$$u_j(\varphi) = v_j(P_k \varphi), \quad \varphi_j(t) = b(t)(S_k \psi_j)(t).$$

The lemma is proved.

Without loss of generality, we assume that  $\varphi_j(t)$ ,  $j = 1, \dots, \kappa_0$  (if  $\kappa_0 > 0$ ) are linearly independent.

It is easy to verify

$$\text{Ker } K_1 = \text{lin}(\varphi_0(t), \varphi_1(t), \dots, \varphi_{\kappa_0}(t)). \quad (13)$$

From (13) and lemma 2.2, we obtain

$$K_1 \in W(X), \quad R_1 \in \mathcal{W}_{K_1}.$$

Let  $\Delta$  and  $\tilde{K}$  are constructed in the same way as  $\Delta$  and  $\tilde{K}$  in §1 (where replacing the operators  $W, V$  by the operators  $R_1, K_1$ ).

**Theorem 2.2..** *If  $\Delta \neq 0$ , then the equation  $(\tilde{K}K\varphi)(t) = (\tilde{K}f)(t)$  is a generalized characteristic equation.*

*Proof.* According to the Theorem 1.1, we have

$$(\tilde{K}K\varphi)(t) = (K_1\varphi)(t) = (\tilde{K}f)(t).$$

This equation has a solution in a closed form (see, e.g.[4]), i.e., it is a generalized characteristic equation.

b) Consider singular integral equations of the form

$$\begin{aligned} (K\varphi)(t) := a(t)\varphi(t) + b(t)(S\varphi)(t) + \frac{1}{\pi i} \int_{\Gamma} \frac{M(t, \tau) - M(t, t)}{\tau - t} \varphi(\tau) d\tau + \\ + \lambda \int_{\Gamma} T_n(t, \tau) \varphi(\tau) d\tau = f(t), \end{aligned} \quad (14)$$

where  $a(t)$ ,  $b(t)$ ,  $f(t) \in X$ ,  $a(t) \pm b(t) \neq 0$  for all  $t \in \Gamma$ ;  $M(t, \tau)$  is a function satisfying Hölder's condition in both variables  $(t, \tau) \in \Gamma \times \Gamma$ .

Let functions  $N_j(t, \tau)$  ( $j = 1, 2$ ) satisfy the following conditions:

$$\begin{aligned} N_1(t, t) = N_2(t, t) = 0, \quad t \in \Gamma, \\ M(t, \tau) - M(t, t) = N_1(t, \tau) - N_2(t, \tau). \end{aligned} \quad (15)$$

Denote

$$\begin{aligned} (K_3\varphi)(t) &:= a(t)\varphi(t) + b(t)(S\varphi)(t) + \frac{1}{\pi i} \int_{\Gamma} \frac{M(t, \tau) - M(t, t)}{\tau - t} \varphi(\tau) d\tau, \\ M_1(t, \tau) &= \frac{N_1(t, \tau)}{a(t) + b(t)}, \quad M_2(t, \tau) = \frac{N_2(t, \tau)}{a(t) - b(t)}, \\ M_{1,2}(t, \tau_1) &= \frac{1}{\pi i} \int_{\Gamma} \frac{M_1(t, \tau)M_2(\tau, \tau_1)}{\tau - \tau_1} d\tau - \frac{1}{\pi i} \int_{\Gamma} \frac{M_1(t, \tau)M_2(\tau, \tau_1)}{\tau - t} d\tau, \\ M_{2,1}(t, \tau_1) &= \frac{1}{\pi i} \int_{\Gamma} \frac{M_2(t, \tau)M_1(\tau, \tau_1)}{\tau - \tau_1} d\tau - \frac{1}{\pi i} \int_{\Gamma} \frac{M_2(t, \tau)M_1(\tau, \tau_1)}{\tau - t} d\tau, \\ (R_3\varphi)(t) &:= \frac{1}{2} \left[ (I + S_{M_2}) \frac{1}{a(t) + b(t)} + (I - S_{M_1} - S_{M_{2,1}}) \frac{1}{a(t) - b(t)} \right] \varphi(t) + \\ &+ \frac{1}{2\pi i} \left[ (I + S_{M_2}) \frac{Z(t)}{a(t) + b(t)} - (I - S_{M_1} - S_{M_{2,1}}) \frac{Z(t)}{a(t) - b(t)} \right] \int_{\Gamma} \frac{\varphi(\tau) d\tau}{Z(\tau)(\tau - t)}, \end{aligned}$$

where

$$Z(t) = e^{\Gamma(t)} \sqrt{\frac{a^2(t) - b^2(t)}{t^\kappa}}, \quad \Gamma(t) = \frac{1}{2\pi i} \int_{\Gamma} \frac{\ln\left(\tau^{-\kappa} \frac{a(\tau) - b(\tau)}{a(\tau) + b(\tau)}\right)}{\tau - t} d\tau, \quad \kappa = \text{Ind} \frac{a(t) - b(t)}{a(t) + b(t)}.$$

Denote

$$\kappa_0 = \begin{cases} \kappa & \text{if } \kappa > 0, \\ 0 & \text{if } \kappa \leq 0, \end{cases}$$

$$F_3 = I - R_3 K_3.$$

**Lemma 2.3.** *Let the functions  $N_j(t, \tau)$  ( $j = 1, 2$ ) satisfy the condition (15) and let  $M_1(t, \tau)$ ,  $M_2(t, \tau)$  can be extended to the  $D^+$ ,  $D^-$  in such a manner that they are analytic in both variables in  $D^+$ ,  $D^-$  and continuous in  $\bar{D}^+$ ,  $\bar{D}^-$ , respectively. If the function  $M_{1,2}(t, \tau)$  admits analytic prolongation in both variables in  $D^+$  and continue in  $\bar{D}^+$ , then the following equality holds*

$$(F_3\varphi)(t) = - \sum_{j=0}^{\kappa_0} u_j(\varphi) \varphi_j(t) \quad \text{on } X,$$

where

$$\varphi_j(t) = \begin{cases} 0 & \text{if } j = 0, \\ (I - S_{M_1} - S_{M_{2,1}}) \frac{Z(t)t^{j-1}}{a(t)-b(t)} - (I + S_{M_2}) \frac{Z(t)t^{j-1}}{a(t)+b(t)}, & \text{if } j = 1, \dots, \kappa_0; \end{cases}$$

$$u_j(\varphi) = \begin{cases} 0 & \text{if } j = 0, \\ \frac{1}{2\pi i} \int_{\Gamma} \frac{\tau^{\kappa_0-j}}{e^{\Gamma(\tau)}} [\varphi^-(\tau) + (S_{M_2}\varphi^+)(\tau)] d\tau, & \text{if } j = 1, \dots, \kappa_0; \end{cases}$$

where  $\Gamma^-(t)$  is a boundary value of the function  $\Gamma(z)$  in  $D^-$  and  $\varphi^+(t) = \frac{1}{2}[(I + S)\varphi](t)$ ,  $\varphi^-(t) = \frac{1}{2}[(-I + S)\varphi](t)$ .

*Proof.* We have

$$\begin{aligned} (F_3\varphi)(t) &= \varphi(t) - (R_3 K_3 \varphi)(t) \\ &= \varphi(t) - \frac{1}{2}(I + S_{M_2}) \frac{1}{a(t) + b(t)} \left[ (K_3\varphi)(t) + \frac{Z(t)}{\pi i} \int_{\Gamma} \frac{(K_3\varphi)(\tau)}{Z(\tau)(\tau - t)} d\tau \right] + \\ &\quad + \frac{1}{2}(I - S_{M_1} - S_{M_{2,1}}) \frac{1}{a(t) - b(t)} \left[ -(K_3\varphi)(t) + \frac{Z(t)}{\pi i} \int_{\Gamma} \frac{(K_3\varphi)(\tau)}{Z(\tau)(\tau - t)} d\tau \right] \\ &= \varphi(t) - \frac{1}{2}(I + S_{M_2}) X^+(t) \left[ \frac{(K_3\varphi)(t)}{Z(t)} + \frac{1}{\pi i} \int_{\Gamma} \frac{(K_3\varphi)(\tau)}{Z(\tau)(\tau - t)} d\tau \right] + \\ &\quad + \frac{1}{2}(I - S_{M_1} - S_{M_{2,1}}) X^-(t) \left[ -\frac{(K_3\varphi)(t)}{Z(t)} + \frac{1}{\pi i} \int_{\Gamma} \frac{(K_3\varphi)(\tau)}{Z(\tau)(\tau - t)} d\tau \right], \end{aligned}$$

where  $X^+(t) = e^{\Gamma^+(t)}$ ,  $X^-(t) = t^{-\kappa} e^{\Gamma^-(t)}$  ( $\Gamma^+(t)$ ,  $\Gamma^-(t)$  are boundary values of the function  $\Gamma(z)$  in  $D^+$ ,  $D^-$ , respectively).

On the other hand, it is easy to verify

$$\begin{aligned} & \frac{1}{2} \left[ \frac{(K_3\varphi)(t)}{Z(t)} + \frac{1}{\pi i} \int_{\Gamma} \frac{(K_3\varphi)(\tau)d\tau}{Z(\tau)(\tau-t)} \right] = \\ & \begin{cases} \frac{\varphi^+(t) - (S_{M_1}\varphi^-)(t)}{X^+(t)} & \text{if } \kappa \leq 0, \\ \frac{\varphi^+(t) - (S_{M_1}\varphi^-)(t)}{X^+(t)} - \sum_{j=1}^{\kappa} \frac{t^{j-1}}{2\pi i} \int_{\Gamma} \frac{\tau^{\kappa-j}}{e^{\Gamma^-(\tau)}} [\varphi^-(\tau) + (S_{M_2}\varphi^+)(\tau)] d\tau & \text{if } \kappa > 0, \end{cases} \\ & \frac{1}{2} \left[ -\frac{(K_3\varphi)(t)}{Z(t)} + \frac{1}{\pi i} \int_{\Gamma} \frac{(K_3\varphi)(\tau)d\tau}{Z(\tau)(\tau-t)} \right] = \\ & \begin{cases} \frac{\varphi^-(t) + (S_{M_2}\varphi^+)(t)}{X^-(t)} & \text{if } \kappa \leq 0, \\ \frac{\varphi^-(t) + (S_{M_2}\varphi^+)(t)}{X^-(t)} - \sum_{j=1}^{\kappa} \frac{t^{j-1}}{2\pi i} \int_{\Gamma} \frac{\tau^{\kappa-j}}{e^{\Gamma^-(\tau)}} [\varphi^-(\tau) + (S_{M_2}\varphi^+)(\tau)] d\tau & \text{if } \kappa > 0, \end{cases} \end{aligned}$$

where  $\varphi^+(t) = \frac{1}{2}[(I+S)\varphi](t)$ ,  $\varphi^-(t) = \frac{1}{2}[(-I+S)\varphi](t)$ .

Hence, from our assumptions, it is easy to check that

$$(F_3\varphi)(t) = \begin{cases} 0 & \text{if } \kappa \leq 0 \\ -\sum_{j=1}^{\kappa} u_j(\varphi)\varphi_j(t) & \text{if } \kappa > 0 \end{cases}$$

i.e.,

$$(F_3\varphi)(t) = -\sum_{j=0}^{\kappa_0} u_j(\varphi)\varphi_j(t).$$

The lemma is proved.

Without loss of generality, we assume that  $\varphi_j(t)$ ,  $j = 1, \dots, \kappa_0$  (if  $\kappa_0 > 0$ ) are linearly independent.

It is easy to verify.

$$\text{Ker } K_3 = \text{lin}(\varphi_0(t), \varphi_1(t), \dots, \varphi_{\kappa_0}(t)). \quad (16)$$

From (16) and lemma 2.3, we obtain

$$K_3 \in W(X), \quad R_3 \in W_{K_3}.$$

Let  $\tilde{\Delta}$  and  $\tilde{K}$  are constructed in the same way as  $\Delta$  and  $\tilde{K}$  in §1 (where replacing the operators  $W, V$  by  $R_3, K_3$ ).

**Theorem 2.3..** Suppose that all assumptions of lemma 2.3 for  $N_j(t, \tau)$ ,  $M_j(t, \tau)$  ( $j = 1, 2$ ) and  $M_{1,2}(t, \tau)$  are satisfied. If  $\tilde{\Delta} \neq 0$ , then the equation  $(\tilde{K}K\varphi)(t) = (\tilde{K}f)(t)$  is a generalized characteristic equation.

*Proof.* According to the theorem 1.1, we have

$$(\tilde{K}K\varphi)(t) = (K_3\varphi)(t) = (\tilde{K}f)(t).$$



This equation has a solution in a closed form (see, e.g. [4]), i.e., it is a generalized characteristic equation.

**Remark.** If we apply the proposition 1.1 to the equations (10), (12), (14), we shall get sufficient conditions under which these equations have a solution in a closed form.

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### ĐẶC TRUNG HOÁ VÀ ĐẶC TRUNG HOÁ SUY RỘNG CỦA PHƯƠNG TRÌNH TÍCH PHÂN KỶ DỊ

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