

GENERAL INTERPOLATION PROBLEMS INDUCED BY GENERALIZED RIGHT INVERTIBLE OPERATORS

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Abstract. *The general interpolation problem induced by a right invertible operator D with $\dim \ker D = s$ ($0 < s < +\infty$) was introduced and investigated by Przeworska-Rolewicz D., and then developed by Ng. V. Mau and Ph. Q. Hung (see [1], [2], [4]). Later, Ng. V. Mau and Ng. M. Tuan have constructed the generalized right invertible operators (see [3]).*

In this paper, we deal with a general interpolation problem induced by a generalized right invertible operator V with $\dim \ker V = s$ ($0 < s < +\infty$).

1. Preliminaries and notations.

Let X be a linear space over the field \mathcal{K} of scalars, where $\mathcal{K} = \mathbb{R}$ or $\mathcal{K} = \mathbf{C}$. Denote by $L(X)$ the set of all linear operators with domains and ranges in X and by $R_1(X)$ the set of all generalized right invertible operators belong to $L(X)$. For every $V \in R_1(X)$, we denote by \mathcal{R}_V^1 the set of all right inverses of V and by \mathcal{F}_V the set of all right initial operators for V , i.e,

$$\mathcal{R}_V^1 := \{W \in L(X) : VWV = V, V^2W = V\},$$

$$\mathcal{F}_V := \{F \in L(X) : FX = \ker V, F^2 = F \text{ and } \exists W \in \mathcal{R}_V^1 \text{ such that } FW = 0\}.$$

In the sequel, we shall assume that $\dim \ker V = s$ ($0 < s < +\infty$) and $\{e_1, \dots, e_s\}$ a basis of $\ker V$. Then $\ker V = \bigoplus_{m=1}^s Z_m$, where $Z_m = \text{lin}\{e_m\}$, $m = 1, \dots, s$. Write

$$P_{N_m}(W) = \text{lin}\{W^k e_m, k = 0, \dots, N-1\}, m = 1, \dots, s. \tag{1.1}$$

Definition 1.1. *Let $V \in R_1(X)$ and $W \in \mathcal{R}_V^1$. Every element*

$$u = \sum_{k=0}^{N-1} W^k z_k, \tag{1.2}$$

where $z_{N-1} \neq 0, z_0, \dots, z_{N-1} \in \ker V$ is said to be V -polynomial u of degree $N-1$.

2. General interpolation problem (GIP)

Consider the following problem

Given n finite sets I_i of different non-negative integers with $\#I_i = r_i, r_1 + \dots + r_n = N$ ($i = 1, \dots, n$). Find a V -polynomial u of degree $N-1$ satisfying N conditions

$$F_i V^k u = u_{ik}, k \in I_i, i = 1, \dots, n, \tag{2.1}$$

where $u_{ik} \in \ker V$ are given and F_1, \dots, F_n are different right initial operators of V .

In the sequel, this problem will be called a general interpolation problem for generalized right invertible operator (Shorthy : GIP).

Suppose that the elements of sets I_i are ordered r_i -tuples $(k_{i1}, \dots, k_{ir_i})$, $0 < k_{i1} < \dots < k_{ir_i}$, $i = 1, \dots, n$. Then (2.1) is of the form

$$F_i V^{k_{ij}} u = u_{ik_{ij}}, \quad i = 1, \dots, n; \quad j = 1, \dots, r_i. \quad (2.1)$$

Write

$$u_{ik_{ij}} := u_{r_0 + \dots + r_{i-1} + j}, \quad r_0 = 0, \quad (2.2)$$

$$u_v := \sum_{\eta=1}^s u'_{(v-1)s+\eta} e_\eta, \quad u'_{(v-1)s+\eta} \in \mathcal{K}, \quad v = 1, \dots, N, \quad (2.3)$$

$$z_k := \sum_{\mu=1}^s z'_{ks+\mu} e_\mu, \quad z'_{ks+\mu} \in \mathcal{K}, \quad k = 0, \dots, N-1. \quad (2.4)$$

Now, we can rewrite (1.2) in the form

$$u = \sum_{k=0}^{N-1} \sum_{\mu=1}^s z'_{ks+\mu} W^k e_\mu. \quad (2.5)$$

It follows

$$F_i V^{k_{ij}} u = \sum_{k=0}^{N-1} \sum_{\mu=1}^s z'_{ks+\mu} F_i V^{k_{ij}} W^k e_\mu = \sum_{k=k_{ij}}^{N-1} \sum_{\mu=1}^s z'_{ks+\mu} F_i V W^{k-k_{ij}+1} e_\mu.$$

Since $F_i \in \mathcal{F}_V$ and $F_i X = \ker V$, we get

$$F_i V W^{k-k_{ij}+1} e_\mu = \sum_{\eta=1}^s \beta_{i,(k-k_{ij}),\mu,\eta} e_\eta; \quad \beta_{i,(k-k_{ij}),\mu,\eta} \in \mathcal{K}. \quad (2.6)$$

These equalities imply that

$$\sum_{k=k_{ij}}^{N-1} \sum_{\mu=1}^s z'_{ks+\mu} \beta_{i,(k-k_{ij}),\mu,\eta} = u'_{(r_0 + \dots + r_{i-1} + j - 1)s + \eta}. \quad (2.7)$$

Write

$$\beta_{i,(k-k_{ij}),\mu,\eta} = \begin{cases} \beta'_{(r_0 + \dots + r_{i-1} + j - 1)s + \eta, ks + \mu} & \text{if } k \geq k_{ij}, \\ 0 & \text{if } 0 \leq k < k_{ij} \end{cases} \quad (2.8)$$

$$i = 1, \dots, n; \quad k = 0, \dots, N-1; \quad j = 1, \dots, r_i; \quad \mu, \eta = 1, \dots, s.$$

Rewrite (2.8) in the form

$$\sum_{q=k_{ij}s+1}^{Ns} z'_q \beta'_{p,q} = u'_p, \quad p = (r_0 + r_1 + \dots + r_{i-1} + j - 1)s + \eta. \quad (2.9)$$

Write

$$d_p = G_i^{k_{ij}n} := (\underbrace{0, \dots, 0}_{k_{ij}s \text{ zero}}, \beta_{p, k_{ij}s+1}^*, \dots, \beta_{p, Ns}^*), \quad (2.11)$$

$$G_i^{(k_{ij})} := (G_i^{k_{ij}1}, \dots, G_i^{k_{ij}s})^T, \quad (2.12)$$

$$G_i := (G_i^{(k_{i1})}, \dots, G_i^{(k_{ir_i})})^T, \quad (2.13)$$

$$G := (G_1, \dots, G_n)^T, \quad (2.14)$$

$$F_{ik_{ij}} := F_i V^{k_{ij}}, \quad (2.15)$$

$$\widehat{F}_i^{(k_{ij})} := (F_{ik_{ij}}, F_{ik_{ij}} W, \dots, F_{ik_{ij}} W^{N-1}), \quad (2.16)$$

where A^T is the transposed matrix of A .

Lemma 2.1. *The system of operators $\{F_{ik_{ij}}; i = 1, \dots, n; j = 1, \dots, r_i\}$ is linearly independent on every $P_{N_m}(W)$ ($m = 1, \dots, s$) if and only if the system of vector-operators $\{\widehat{F}_i^{(k_{ij})}; i = 1, \dots, n; j = 1, \dots, r_i\}$ is linearly independent on every Z_m ($m = 1, \dots, s$), where $P_{N_m}(W)$, $F_{ik_{ij}}$, $\widehat{F}_i^{(k_{ij})}$ are defined by (1.2), (2.15), (2.16), respectively.*

Proof. The system $\{\widehat{F}_i^{(k_{ij})}, i = 1, \dots, n; j = 1, \dots, r_i\}$ is linearly independent on Z_m ($m = 1, \dots, s$), i.e, the equality

$$\sum_{i=1}^n \sum_{j=1}^{r_i} \alpha_{ij} \widehat{F}_i^{(k_{ij})} u_m = 0, \quad \forall u_m \in Z_m, \quad \alpha_{ij} \in \mathcal{K}, \quad m = 1, \dots, s,$$

implies $\alpha_{ij} = 0$ for $i = 1, \dots, n; j = 1, \dots, r_i$. It means that

$$\sum_{i=1}^n \sum_{j=1}^{r_i} \alpha_{ij} F_{ik_{ij}} W^k u_m = 0; \quad k = 0, \dots, N-1.$$

i.e,

$$\sum_{k=0}^{N-1} \beta_k \sum_{i=1}^n \sum_{j=1}^{r_i} \alpha_{ij} F_{ik_{ij}} W^k e_m = 0, \quad \forall \beta_k \in \mathcal{K}, \quad Z_m = \text{lin}\{e_m\},$$

if and only if

$$\sum_{i=1}^n \sum_{j=1}^{r_i} \alpha_{ij} F_{ik_{ij}} \left(\sum_{k=0}^{N-1} \beta_k W^k e_m \right) = 0, \quad \forall \beta_k \in \mathcal{K}.$$

Therefore

$$\sum_{i=1}^n \sum_{j=1}^{r_i} \alpha_{ij} F_{ik_{ij}} x_m = 0, \quad \forall x_m \in P_{N_m}(W).$$

The proof is complete. \triangle

Lemma 2.2. *The system $\{\widehat{F}_i^{(k_{ij})}; i = 1, \dots, n; j = 1, \dots, r_i\}$ is linearly independent on every Z_m ($m = 1, \dots, s$) if and only if the system $\{d_p; p = 1, \dots, Ns\}$ is linearly independent, where d_p is defined by formula (2.11).*

Proof. Let the system $\{d_p; p = 1, \dots, Ns\}$ be linearly independent and

$$\sum_{i=1}^n \sum_{j=1}^{r_i} \alpha_{ijm} \widehat{F}_i^{(k_{ij})} u_m = 0, \quad \forall u_m \in Z_m, \quad \alpha_{ijm} \in \mathcal{K}, \quad m = 1, \dots, s.$$

Then

$$\sum_{i=1}^n \sum_{j=1}^{r_i} \sum_{m=1}^s \alpha_{ijm} F_i V^{k_{ij}} W^k u_m = 0, \quad k = 0, \dots, N-1.$$

Hence,

$$\sum_{k=0}^{N-1} \gamma_k \sum_{i=1}^n \sum_{j=1}^{r_i} \sum_{m=1}^s \alpha_{ijm} F_i V^{k_{ij}} W^k u_m = 0, \quad \forall \gamma_k \in \mathcal{K},$$

i.e.,

$$\sum_{i=1}^n \sum_{j=1}^{r_i} \sum_{m=1}^s \sum_{k=k_{ij}}^{N-1} \alpha_{ijm} \gamma_k F_i V W^{k-k_{ij}+1} e_m = 0, \quad \forall \gamma_k \in \mathcal{K}.$$

By the choosing $\gamma_k \in \mathcal{K}$ and by formula (2.7) we have

$$\sum_{i=1}^n \sum_{j=1}^{r_i} \sum_{m=1}^s \alpha_{ijm} \sum_{\mu=1}^s \beta_{i, (k-k_{ij}), m, \mu} e_\mu = 0.$$

Since $\{e_1, \dots, e_s\}$ is a basis of $\ker V$ then

$$\sum_{i=1}^n \sum_{j=1}^{r_i} \sum_{m=1}^s \alpha_{ijm} \beta_{i, (k-k_{ij}), m, \mu} = 0, \quad k = 0, \dots, N-1; \quad \mu = 1, \dots, s.$$

The formulas (2.8) and (2.9) together imply

$$\sum_{p=1}^{Ns} \alpha_p d_p = 0.$$

Now, our assumption implies that $\alpha_p = 0$, i.e., $\alpha_{ijm} = 0$. So the system $\{\widehat{F}_i^{(k_{ij})}; i = 1, \dots, n, j = 1, \dots, r_i\}$ is linearly independent on Z_m ($m = 1, \dots, s$).

Conversely, suppose that the system $\{\widehat{F}_i^{(k_{ij})}\}$ is linearly independent on every Z_m ($m = 1, \dots, s$) i.e., the equality

$$\sum_{i=1}^n \sum_{j=1}^{r_i} \sum_{m=1}^s \alpha_{ijm} \widehat{F}_i^{(k_{ij})} u_m = 0, \quad \forall u_m \in Z_m, \quad \alpha_{ijm} \in \mathcal{K}.$$

implies $\alpha_{ijm} = 0$ for $i = 1, \dots, n; j = 1, \dots, r_i$.

On the other hand, if $\sum_{p=1}^{Ns} \alpha_p d_p = 0$, $\alpha_p \in \mathcal{K}$ then $\alpha_{ijm} = \alpha'_{(r_0 + \dots + r_{i-1} + j)s + m}$. So $\alpha_p = 0$ i.e. the system $\{d_p; p = 1, \dots, Ns\}$ is linearly independent.

The proof is complete. \triangle

By combining Lemmas 2.1 and 2.2, it follows:

Theorem 2.1. A necessary and sufficient condition for $\det \hat{G} \neq 0$ is that the system $\{F_{ik_{ij}}; i = 1, \dots, n; j = 1, \dots, r_i\}$ is linearly independent on every $P_{N_m}(W)$ ($m = 1, \dots, s$), where G and $P_{N_m}(W)$ are defined by (2.11) – (2.14) and (1.1).

Theorem 2.2. The GIP has a unique solution for every $u_{ik} \in \ker V$ ($i = 1, \dots, n; j = 1, \dots, r_i$) if and only if the system $\{F_{ik_{ij}}; i = 1, \dots, n; j = 1, \dots, r_i\}$ is linearly independent on every $P_{N_m}(W)$ ($m = 1, \dots, s$), where $r_1 + \dots + r_n = N$. If this condition is satisfied then the unique solution of the GIP is of the form

$$u = \sum_{k=0}^{N-1} \sum_{\mu=1}^s z'_{ks+\mu} W^k e_{\mu}, \tag{2.17}$$

where (z'_1, \dots, z'_{N_s}) is a unique solution of the system

$$G(z'_1, \dots, z'_{N_s})^T = (u'_1, \dots, u'_{N_s})^T, \tag{2.18}$$

in which G is defined by (2.11) – (2.14) and the elements u'_1, \dots, u'_{N_s} are defined by (2.3) – (2.4).

Proof. Note that every solution of the GIP is of the form

$$u = \sum_{k=0}^{N-1} W^k z_k = \sum_{k=0}^{N-1} \sum_{\mu=1}^s W^k z'_{ks+\mu} e_{\mu} = \sum_{k=0}^{N-1} \sum_{\mu=1}^s z'_{ks+\mu} W^k e_{\mu},$$

where $z'_{ks+\mu}$ is defined by (2.8), (2.9), (2.10).

The assumption and Theorem 2.1 imply that $\det G \neq 0$ and we have the proof of the theorem. \triangle

As an application of theorems 2.1, 2.2 we shall give a solution of Hermite classical interpolation problems for generalized right invertible operators.

Let $I_i = \{0, \dots, r_i - 1\}$, $i = 1, \dots, n$, then we obtain the following Hermite interpolation problem: Find a V -polynomial u of degree $N - 1$ satisfying N conditions

$$F_i V^j u = u_{ij}; \quad i = 1, \dots, n; \quad j = 0, \dots, r_i - 1,$$

where $r_1 + \dots + r_n = N$, $u_{ij} \in \ker V$ are given.

Theorem 2.3. The Hermite interpolation problem has a unique solution for every $u_{ik} \in \ker V$ ($i = 1, \dots, n; j = 0, \dots, r_i - 1$) if and only if the system $\{F_i V^j; i = 1, \dots, n; j = 0, \dots, r_i - 1\}$ is linearly independent on every $P_{N_m}(W)$ ($m = 1, \dots, s$). If this condition is satisfied, then the unique solution of this problem is of the form

$$u = \sum_{k=0}^{N-1} \sum_{\mu=1}^s z'_{ks+\mu} W^k e_{\mu},$$

where (z'_1, \dots, z'_{N_s}) is a unique solution of the system

$$G(z'_1, \dots, z'_{N_s})^T = (u'_1, \dots, u'_{N_s})^T,$$

in which G is defined by (2.11) – (2.14) with $k_{ij} = j$, $j = 0, \dots, r_i - 1$ and the element u'_1, \dots, u'_{N_s} are defined as follows

$$u_{ij} := u_{r_0 + \dots + r_{i-1} + j + 1},$$

$$u_v := \sum_{\eta=1}^s u'_{(v-1)s+\eta} e_\eta, \quad v = 1, \dots, N.$$

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TẠP CHÍ KHOA HỌC ĐHQGHN, KHTN, t.XVI, n^o3 - 2000

BÀI TOÁN NỘI SUY TỔNG QUÁT ĐỐI VỚI TOÁN TỬ KHẢ NGHỊCH PHẢI SUY RỘNG

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Bài toán nội suy tổng quát trong lớp toán tử khả nghịch phải D với $\dim \ker D = s$ ($0 < s < +\infty$) đã được Przeworska-Rolewicz, Nguyễn Văn Mậu và Phạm Quang Hưng nghiên cứu trong [1], [2], [4]. Sau đó Nguyễn Văn Mậu và Nguyễn Minh Tuấn đã xây dựng khái niệm toán tử khả nghịch phải suy rộng (xem [3]).

Trong bài này, chúng tôi giải quyết bài toán nội suy tổng quát trong lớp toán tử khả nghịch phải suy rộng V với $\dim \ker V = s$ ($0 < s < +\infty$).