

## CALCULATION OF THERMAL-VIBRATION PARAMETERS IN XAFS THEORY

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**Abstract:** *This work presents a new quantum-thermodynamic procedure for calculation of thermal-vibration parameters in X-ray Absorption Fine Structure (XAFS) theory such as spring constant, Einstein frequency and Einstein temperature using anharmonic-correlated Einstein model. These parameters have been used for calculation of 1st cumulant, 2nd cumulant or Debye- Waller factors, 3rd cumulant and thermal expansion coefficient. Numerical results for cumulants of Cu agree very well with experimental values, and the agreement is better than the other methods at present time. A computer program has been coded for calculation of these parameters and linked with the computer program FEFF of the University of Washington as a subroutine for evaluation of XAFS spectra at any temperature which provide correct structural information of substances.*

### Introduction

It is known that the XAFS spectra, providing structural information, is described by the oscillation function [1]

$$\chi(k) = A(k)[e^{i\phi(k)}\langle e^{2ikr} \rangle], \quad (1)$$

where  $A(k)$  is real amplitude,  $r$  is instantaneous bond length between absorbing and backscattering atoms,  $\phi(k)$  is the total phase shift, and the thermal average is often realized by cumulant expansion approach [1]

$$\langle e^{2ikr} \rangle = \exp[2ikr_0 + \sum_n \frac{(2ik)^n}{n!} \sigma^{(n)}]. \quad (2)$$

Here  $r_0$  is the distance at the equilibrium or potential minimum and  $\sigma^{(n)}$  are the cumulants. The most important quantity in the thermal effect is atomic vibration determined by the potential.

$$V_E(x) = \frac{1}{2}k_{eff}x^2 + k_3x^3 + \dots, \quad x = r - r_0. \quad (3)$$

In this work based on the anharmonic-correlated Einstein model [2] we present a new quantum thermodynamic procedure to calculate effective spring constant  $k_{eff}$  and cubic factor  $c_3$ , describing anharmonic effect, as well as Einstein frequency and Einstein

temperature. Numerical calculation is realized for several fcc crystals. Comparison experiment is done for Cu and the agreement is very good. These thermal vibrational parameters are applied to calculation of cumulants in the XAFS theory.

## II. Theory

The correlated model is used in this work because it was discovered that the correlation part of atomic-position displacement occupied about 40% of mean-square displacement at high temperatures by Einstein model [3] as by Debye model [4].

In the anharmonic-correlated Einstein model the interaction between absorbing backscattering atoms is characterized by an effective potential

$$V_E = V(x) + \sum_{i \neq j} V \left( \frac{\mu}{M_i} x \hat{R}_{i2} \cdot \hat{R}_{ij} \right),$$

where  $\mu = M_1 M_2 / (M_1 + M_2)$  with mass of absorber  $M_1$  and mass of backscatterer  $M_2$ ,  $\hat{R}$  is bond unit vector; the sum  $i$  is over absorber ( $i = 1$ ) and backscatterer ( $i = 2$ ) and the sum  $j$  is over all their near neighbors, excluding the absorber and backscatterer themselves. The latter contributions are described by the first term in the left side of equation. The interactions between the two atoms alone are described in this work by Morse potential, expanded in the form

$$V(x) = D(\epsilon^{-2ax} - 2\epsilon^{-ax}) \cong D(-1 + \alpha^2 x^2 - \alpha^3 x^3 + \dots),$$

where  $D$  is dissociation energy and  $1/\alpha$  corresponds to the width of potential. It is sufficient to consider weak anharmonicity (i. e., first order perturbation theory) so only the cubic term in this equation must be kept. Using eqs.(3-5) the effective spring constant and cubic term are derived

$$k_{eff} = 5D\alpha^2 \left( 1 - \frac{3}{2}\alpha a \right); k_3 = -\frac{5}{4}D\alpha^3; \quad a = \langle x \rangle.$$

The other parameters obtained from spring constant are Einstein frequency

$$\omega_E^2 = \frac{k_{eff}}{\mu} = \frac{5}{\mu} D\alpha^2 \left( 1 - \frac{3}{2}\alpha a \right),$$

and Einstein temperature

$$\theta_E = \frac{\hbar}{k_B} \sqrt{\frac{k_{eff}}{\mu}} = \frac{\hbar}{k_B} \left[ \frac{5}{\mu} D\alpha^2 \left( 1 - \frac{3}{2}\alpha a \right) \right]^{1/2},$$

where  $k_B$  is Boltzmann's constant. Present calculations were based on the quasi-harmonic approximation in which the Hamiltonian of the system is written as a harmonic

with respect to the equilibrium position at a given temperature, plus an anharmonic perturbation

$$H = \frac{P^2}{2\mu} + V_E(x) = \frac{P^2}{2\mu} + \frac{1}{2}k_{eff}y^2 + V_E(a) + \delta V_E(y), \quad (9)$$

$$\delta V_E(y) \cong 5D\alpha^2 \left( ay - \frac{1}{4}\alpha y^3 \right); \quad y = x - a. \quad (10)$$

We now use first-order thermodynamic perturbation [5] to derive the formulas for cumulants using the expression

$$\sigma^{(n)} = \langle y^n \rangle = \frac{1}{z} \text{Tr} \rho y^n; \quad n = 1, 2, 3, 4, \dots; \quad Z = \text{Tr} \rho; \quad \rho = e^{-\beta H}. \quad (11)$$

From all the above considerations we obtain the first  $\sigma^{(1)}$ , second  $\sigma^{(2)}$ , and third  $\sigma^{(3)}$  cumulant as well as thermal expansion coefficient  $\alpha_T$  in the following forms

$$\sigma^{(1)} = a = \frac{3\hbar\omega_E}{40D\alpha} \frac{1+z}{1-z}, \quad (12)$$

$$\sigma^{(2)} = \frac{\hbar\omega_E}{10D\alpha^2} \frac{1+z}{1-z}, \quad (13)$$

$$\sigma^{(3)} = \frac{(\hbar\omega_E)^2}{200D^2\alpha^3} \frac{1+10z+z^2}{(1-z)^2}, \quad (14)$$

$$\alpha_T = \frac{3k_B}{20D\alpha r} \frac{z(\ln z)^2}{(1-z)^2} \quad (15)$$

where the temperature parameter  $z = e^{-\theta_E/T}$  with Einstein temperature  $\theta_E$  is included in every of the eqs.(12-15), and the source of  $\theta_E$  is spring constant. So we can see the variation behaviors of the cumulant formulation.

## Numerical Results and discussion

The formulas for thermal-vibration parameters presented in previous section have been applied to coding a computer program which is linked with the code FEFF of the University of Washington and used for numerical calculation of several fcc crystals. The results are presented in Table I. The Morse potential parameters  $\alpha$  and  $D$  were obtained using experimental values for the energy of sublimation, the compressibility and the lattice constant [6]. They can be used for calculation of the first, second, third cumulant and thermal expansion coefficient in the XAFS technique [7]. In Table II we present the results of Debye-Waller factors  $\sigma^2$  and third cumulant  $\sigma^{(3)}$  of Cu. They agree very well with experimental values and the agreement is better than the other methods.



Metal	$\alpha$ ( $\text{\AA}^{-1}$ )	D(eV)	$k_{eff}$ (N/m)	$\omega_E$ ( $\cdot 10^{13}$ Hz)	$\theta_E$ (K)
Pb	1.1836	0.2348	26.3507	1.2341	94
Ag	1.3690	0.3323	49.8910	2.3533	180
Ni	1.4199	0.4205	67.9150	3.7217	280
Cu	1.3588	0.3429	50.7478	3.0922	230
Al	1.1646	0.2703	29.3686	3.6101	270
Ca	0.8054	0.1623	8.4328	1.5872	110
Sr	0.7377	0.1513	6.5971	0.9495	70

Table I: The values of  $k_{eff}$ ,  $\omega_E$  and  $\theta_E$  calculated by present procedure

T(K)	$\sigma^2$ ( $\cdot 10^{-2}$ $\text{\AA}^2$ )				$\sigma^{(3)}$ ( $\cdot 10^{-3}$ $\text{\AA}^3$ )		
	present	Expt.[8]	Expt.[9]	Other[10]	Present	Expt.[9]	Other[10]
77	0.333	0.325			0.010		
295	0.803	0.774	0.876	0.520	0.131	0.130	
300	0.817				0.136		0.120
683	1.858	1.823					

Table II: Comparison of the values  $\sigma^2$  and  $\sigma^{(3)}$  of Cu calculated by present procedure with experimental and by other methods calculated respective results.

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## TÍNH CÁC THAM SỐ DAO ĐỘNG NHIỆT TRONG LÝ THUYẾT XAFS

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Bài này trình bày một phương pháp nhiệt động lượng tử mới để tính các tham số dao động nhiệt trong lý thuyết về cấu trúc tinh thể của hấp thụ tia X (XAFS) như hệ số Debye, tần số dao động Einstein, nhiệt độ Einstein và hệ số dẫn nở nhiệt trên cơ sở mô hình Einstein tương quan phi điều hòa. Các đại lượng này đã được tính số cho một số kim loại có cấu trúc dạng fcc. Các tham số dao động nhiệt được sử dụng để tính các cumulant bậc nhất, bậc hai hay hệ số Debye-Waller, cumulant bậc ba và hệ số dẫn nở nhiệt. Các kết quả tính số cho các cumulant của Cu trùng tốt với thực nghiệm và trùng tốt hơn các phương pháp hiện hành. Một chương trình máy tính đã được xây dựng để tính các tham số trên và liên kết với bộ chương trình FEFF của Đại học Washington để đánh giá các phổ XAFS ở mọi nhiệt độ và từ đó nhận các thông tin chính xác về cấu trúc của vật thể.