

ON THE CONDITIONS UNDER WHICH $\text{Sub}(L)$ DETERMINES L UP TO ISOMORPHISM

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1. INTRODUCTION

"Find conditions on a lattice L under which $\text{Sub}(L)$ determines L up to isomorphism" is one of the basic and important topics in studying the lattice $\text{Sub}(L)$ (Grätzer's problem [1]).

In [2] Hoang Minh Chuong has given some conditions under which $\text{Sub}(L)$ determines L up to isomorphism or dual isomorphism.

Studying the problem, in [3, 4] we have proposed the concept of contractible sublattice and proved "Let L be a lattice having no contractible sublattices. Then $\text{Sub}(L)$ determines L up to isomorphism or dual isomorphism".

In this paper, by contractible sublattice method and the above mentioned result we will study some classes of lattices satisfying Grätzer's problem, that is, class of Boolean lattices and class of free lattices.

2. RESULTS

First, we recall some concepts and known results.

We say that $\text{Sub}(L)$ determines L up to isomorphism if: for an arbitrary lattice L' , $\text{Sub}(L) \cong \text{Sub}(L')$ implies $L \cong L'$. In connection with this in [5] there has already been proved:

Theorem (I). *Let L, L' be arbitrary lattices. Then $\text{Sub}(L) \cong \text{Sub}(L')$ if and only if there exists a square preserving bijection $\varphi : L \rightarrow L'$.*

In [3, 4] we also have the following.

Definition (II). A proper sublattice A of a lattice L with $|A| > 1$ is called a contractible sublattice if A satisfies the following conditions:

- (a) A is a convex sublattice.
- (b) If $\langle a, b; c, d \rangle$ is a square in L then $c \in A \Leftrightarrow d \in A$.

Theorem (III). *Let L be a lattice having no contractible sublattices. Then $\text{Sub}(L)$ determines L up to isomorphism or dual isomorphism.*

Here we will study a concept, which will be needed for our problem.

Definition 2.1. A lattice L is called totally symmetric if L is isomorphic to its dual lattice L^* .

theorem 2.2. *If $\text{Sub}(L)$ determines L up to isomorphism then L is totally symmetric.*

of. Consider the map: $j : L \rightarrow L^*$, $j(a) = a$ and $a \leq b \Leftrightarrow j(a) \geq j(b) \forall a, b \in L$. Clearly j is a square preserving bijection. By Theorem (I) we have $\text{Sub}(L) \cong \text{Sub}(L^*)$ and by the assumptions we have $L \cong L^*$, which was to be proved.

re. The converse statement to (2.2) is not true, or in other words, the total symmetry is not sufficient for L to be determined by $\text{Sub}(L)$ up to isomorphism. There exist lattices L which are totally symmetric but they are not determined by $\text{Sub}(L)$ up to an isomorphism. For such a purpose, let us consider the lattice L in Fig. 1.

This lattice is totally symmetric, but it is not isomorphic to L' . However, it is easy to point out a square preserving bijection $\varphi : L \rightarrow L'$ and thus, $\text{Sub}(L) \cong \text{Sub}(L')$ (Theorem (I)).

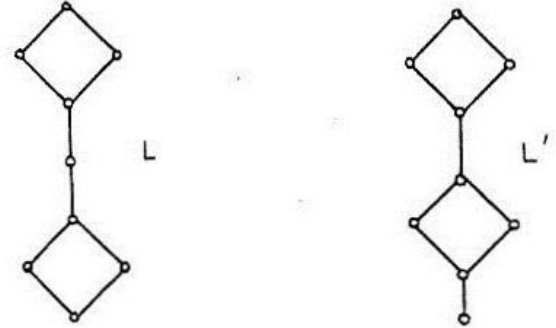


Fig. 1

Now, we consider the lattices which have no contractible sublattices and are totally symmetric. Applying Theorem (III) we have the following.

Proposition 2.3. *Let L be a totally symmetric lattice having no contractible sublattices. Then $\text{Sub}(L)$ determines L up to isomorphism.*

In the sequel, by Proposition 2.3 we will show some types of lattices satisfying Grätzer's theorem.

First, we consider the *Boolean lattices*. A distributive lattice B containing 0 and 1 is called *Boolean* if $\forall a \in B, \exists c \in B$ (which is called a complement of a) such that $a \wedge c = 0, a \vee c = 1$.

Proposition 2.4. *If B is a Boolean lattice then $\text{Sub}(B)$ determines B up to isomorphism.*

of. (i) We prove that B has no contractible sublattices. By contradiction assume that A is a contractible sublattice of B . Clearly $0, 1 \notin A$. Since $|A| > 1$, there exist $a, b \in A$ such that $a < b$. Let $c \in B$ as a complement of a , i.e. $a \wedge c = 0, a \vee c = 1$.

Consider the element $x = b \wedge c$. Since $a \vee x = a \vee (b \wedge c) = (a \vee b) \wedge (a \vee c) = b$ we have $a \parallel x$ (a comparable with x). Applying Definition (II) to the square $\langle a, x; a \wedge x, b \rangle$ we have $0 = a \wedge x \in A$, this is desired contradiction.

(ii) Now, we have only to prove that B is totally symmetric. We will use the fact that B is distributive and the complements in B are unique.

We define a map $\varphi : B \rightarrow B$ putting $\varphi(a) = a' \forall a \in B$, where a' is the complement of a . Obviously φ is a bijection. We show that $a \leq b \Leftrightarrow \varphi(a) \geq \varphi(b)$.

Indeed, assume $a \leq b$ we have $a = a \wedge b$ and $b' = b' \wedge 1 = b' \wedge (a' \vee a) = (b' \wedge a') \vee (b' \wedge a) = (b' \wedge a') \vee (b' \wedge b \wedge a) = (b' \wedge a') \vee 0 = b' \wedge a'$, i.e. $b' \leq a'$.

Similarly, if $b' \leq a'$ we also have $a \leq b$.

In short, φ is a dual isomorphism.

Consider the map $j : B \rightarrow B^*$, $j(a) = a$ and $a \leq b \Leftrightarrow j(a) \geq j(b) \forall a, b \in B$; It is a dual isomorphism. Thus, the composition of φ and j gives us a lattice isomorphism $j \circ \varphi : B \rightarrow B^*$.

The proof is completed.

Now we turn to the free lattices [6].

The free lattice $F = FL(X)$ with the set of the generators $X = \{x_i, i \in I\}$ is constructed as follows:

- (i) F consists of the "terms" which are defined by induction on their length:
 - a) $x_i, i \in I$ are the terms the length $d(x_i) = 0$.
 - b) If u, v are two terms such that $d(u) + d(v) = n - 1$ then $u \wedge v, u \vee v$ are terms and $d(u \wedge v) = d(u \vee v) = n$.
- (ii) The order " \leq " in F is defined basing on the following four principles:
 - (1) $p \vee q \leq a$ if $p \leq a$ and $q \leq a$,
 - (2) $p \vee q \leq a$ if $b \leq p$ and $b \leq q$,
 - (3) $p \wedge q \leq a$ if $p \leq a$ or $q \leq a$,
 - (4) $b \leq p \vee q$ if $b \leq p$ or $b \leq q$.
- (iii) Put $\inf(u, v) = u \wedge v$ and $\sup(u, v) = u \vee v$. By the principles (1) \rightarrow (4) in (ii) we define F as a lattice.
- (iv) Given an arbitrary lattice L and a map $f : X \rightarrow L$ we can always establish a lattice homomorphism $\varphi : F \rightarrow L$ such that $\varphi(x_i) = f(x_i), i \in I$.

For later use in the demonstration of the following proposition 2.6, first we prove:

Lemma 2.5. *Let A be a contractible sublattice in L and $k \in L \setminus A$. If $\exists a \in A$ such that $k > a$ then $k > x, \forall x \in A$.*

Proof. Assume that $k > a$ for some $a \in A$. Consider an arbitrary $x \in A$.

If $x > k$ then $k \in A$ due to convexity of A . It contradicts the fact that $k \notin A$.

If $x \parallel k$ then $x > x \wedge k > x \wedge a$. By Definition (II) we have $x \wedge k \in A$ and $x \vee k \in A$. From $x \vee k > k > a$ it follows that $k \in A$, but it is impossible.

Thus necessarily $k > x$, and the lemma is proved.

Proposition 2.6. *Let $F = FL(X)$ be a free lattice. Then $\text{Sub}(F)$ determines F up to isomorphism.*

Proof. (i) we prove that F has no contractible sublattices. The cases, where $|X| \leq 2$ are trivial. Consider F with $|X| > 2$. We argue by contradiction, supposing that F has a contractible sublattice A .

1) Consider the element $u \in A$. By induction on the length $d(u)$ we prove that: if the generator x is contained in u then $x \in A$.

If $d(u) = 0$, i.e. $u = x$ then it is obviously $x \in A$.

Now, assume $d(u) = n > 0$. We always have $u = p \wedge q$ or $u = p \vee q$ where $d(p), d(q) < n$. From the square $\langle p, q; p \wedge q, p \vee q \rangle$ we deduce immediately $p, q \in A$ (see Definition (II)). Thus the conclusion of part 1) now is followed directly from the induction hypothesis.

2) Using the above part 1) and since $|A| > 1$, we observe that there exist no less than two terms with null-length $x, y \in A$.

Consider a generator $z \in X, z \neq x, y$ and the square $\langle x, z; x \wedge z, x \vee z \rangle$. If $x \vee z \notin A$ then $y < x \vee z$ (see Lemma 2.5). By Principle (4) in (ii) we have $y \leq x$ or $y \leq z$. But it does not

$x \neq y$ and $y \neq z$. Thus $x \vee z \in A$ and therefore we have $z \in A$ (see Definition (II)). Because $z \in A$ contrary we have $X \subseteq A$, i.e. $A = F$. This contradicts the assumption that A is contractible.

i) To demonstrate that F is totally symmetric ($F \cong F^*$) we shall use the universal property of a free lattice (see Part (iv)).

For the lattice F^* we take the embedding $f : X \rightarrow F^*$, $f(x_i) = x_i$, $i \in I$. Thus, there exists a homomorphism $\varphi : F \rightarrow F^*$ such that $\varphi(x_i) = f(x_i)$, $i \in I$. Evidently φ is an isomorphism.

The proposition is proved.

Examples. We represent some lattices L , which are determined by $\text{Sub}(L)$ up to isomorphism as the lattices mentioned in (2.4) and 2.6).

For the lattice in Fig. 2, all the conditions of (2.3) are satisfied but it is not Boolean nor free.

On the other hand, the lattices in Fig. 3 show that: there exist the lattices which do not have contractible sublattices and do not satisfy the condition: "Sub(L) determines L up to isomorphism".

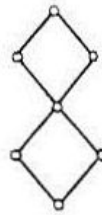


Fig. 2

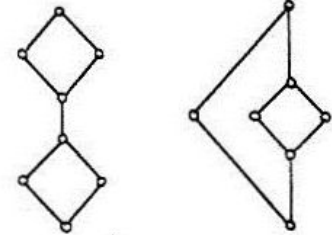


Fig. 3

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VỀ CÁC ĐIỀU KIỆN ĐỂ DÀN $\text{Sub}(L)$ XÁC ĐỊNH DÀN L SAI KHÁC NHAU MỘT ĐẲNG CẤU

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Bài báo này nghiên cứu bài toán Grätzer [1]: tìm điều kiện trên dàn L sao cho $\text{Sub}(L)$ xác định L sai khác nhau một đẳng cấu.

Chúng tôi đã chỉ ra được một số lớp các dàn L thỏa mãn điều kiện $\text{Sub}(L)$ xác định L sai khác nhau một đẳng cấu. Đó là lớp các dàn Boole và lớp các dàn tự do.