

# THE ABSORPTION OF A WEAK ELECTROMAGNETIC WAVE BY FREE ELECTRONS IN SEMICONDUCTOR SUPERLATTICES IN THE PRESENCE OF A QUANTIZING MAGNETIC FIELD

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## 1. INTRODUCTION

In ordinary semiconductors, the influence of quantizing magnetic field on the absorption of a wave has been investigated in detail by many authors [1-6].

In semiconductor superlattices, the quantum theory of absorption of EMW by free electrons in the presence of a quantizing magnetic field has been investigated in [7,8]. In [7], the Boltzmann transport equation is used to obtain the absorption coefficient only in the quantum frequency region ( $\hbar\omega \gg k_0T$ ,  $\omega$  is the frequency of the EMW;  $k_0$  - the Boltzmann constant;  $T$  - the crystal temperature). In [8], the Kubo-Mori method is used to obtain the absorption coefficient in the whole frequency region from classical frequency ( $\hbar\omega \ll k_0T$ ) to quantum frequency ( $\hbar\omega \gg k_0T$ ).

To make a further step on the problem, in this work, using Kubo-Mori method we study the influence of a quantizing magnetic field on the absorption coefficient of a semiconductor superlattice in the case when electrons scatter on nonpolar optical phonons. We shall calculate both transverse and longitudinal components of the conductivity tensor and the absorption coefficient. We proceed from Kubo's formula for the conductivity tensor [9, 10]

$$\sigma_{\mu\nu}(\omega) = \lim_{\delta \rightarrow +0} \int_0^{\infty} dt e^{i\omega t - \delta t} \langle J_{\mu}(t) J_{\nu}(0) \rangle, \quad (1)$$

$J_{\mu}$  - the  $\mu$ -component of current density operator ( $\mu = x, y, z$ ) and  $J_{\mu}(t)$  - Heisenberg representation of  $J_{\mu}$

$$J_{\mu}(t) = e^{iHt/\hbar} J_{\mu} e^{-iHt/\hbar}, \quad (2)$$

$H$  - Hamiltonian of the system.

The Hamiltonian of the electron-nonpolar optical phonon system takes the form:

$$H = H_0 + U, \quad (3)$$

$$H_0 = \sum_{\alpha} \varepsilon_{\alpha} a_{\alpha}^{\dagger} a_{\alpha} + \sum_{\vec{q}} \hbar\omega_{\vec{q}} b_{\vec{q}}^{\dagger} b_{\vec{q}}, \quad (4)$$

$$U = \sum_{\alpha\alpha'\vec{q}} U_{\alpha\alpha'\vec{q}} a_{\alpha}^{\dagger} a_{\alpha} (b_{\vec{q}} + b_{-\vec{q}}^{\dagger}), \quad (5)$$

where  $\alpha = (k_x, k_z, n, s)$  (with  $n$  - the number of the Landau subband,  $s$  - the number of miniband,  $k_x$  and  $k_z$  are the  $x$ - and  $z$ -component of electron's wave vector) is the set of quantum number characterizing electron's status in  $SL$ ;  $\hbar\omega_{\vec{q}}$  is the energy of phonon with wave vector  $\vec{q}$ ;  $\epsilon_{\alpha}$  is the energy spectrum of electron;  $a_{\alpha}^{\dagger}$  and  $a_{\alpha}$  ( $b_{\vec{q}}^{\dagger}$  and  $b_{\vec{q}}$ ) are the creation and annihilation operators of electron (phonon) respectively;  $U_{\alpha\alpha'\vec{q}}$  is the matrix element of electron - nonpolar optical phonon interaction.

The time correlation function used in (1) is defined by the following formula

$$(A, B) = \int_0^{\beta} d\lambda \langle e^{\lambda H} A e^{-\lambda H} B \rangle,$$

here  $\beta = \frac{1}{k_0 T}$ . The symbol  $\langle \dots \rangle$  means the averaging of operators with hamiltonian  $H$  of the system.

In [11] Mori pointed out that the Laplace's transformation of the time correlation function can be represented in the form of infinite continued fraction. One of advantages of this representation is the the function will converge faster than that represented in a power series [11].

Using Mori's method, in the second order approximation of interaction, we get the following formula for the components of the conductivity tensor

$$\sigma_{\mu\nu}(\omega) = \lim_{\delta \rightarrow +0} (J_{\mu}, J_{\nu}) \left[ \delta - i(\omega + \eta) + \left(\frac{i}{\hbar}\right)^2 (J_{\mu}, J_{\nu})^{-1} \int_0^{\infty} dt e^{i\omega t - \delta t} ([U, J_{\mu}], [U, J_{\nu}]_{\text{int}}) \right]^{-1}$$

with

$$\eta = \langle [J_{\mu}, J_{\nu}] \rangle (J_{\mu}, J_{\nu})^{-1}.$$

Here  $G_{\text{int}}$  is the interacting representation of the operator  $G$ ;  $[A, B] = AB - BA$ .

In the calculating process, we assumed that phonons are in equilibrium state and electrons are present in the lowest  $SL$ -miniband. The EMW is assumed polarized perpendicularly to the magnetic field  $\vec{E} \perp \vec{H}$  ( $\vec{E}$  is the electric vector of EMW and  $\vec{H}$  the magnetic field vector). In the case  $\vec{H} \parallel Oz$ , we choose the potential vector  $\vec{A} = \vec{A}(-Hy, 0, 0)$ . With these assumptions, in the strong coupling approximation, the energy spectrum of electrons takes the form [12]

$$\epsilon_{\alpha} = \left(n + \frac{1}{2}\right) \hbar\Omega - \Delta \cos k_x d = \epsilon_{\perp} + \epsilon_{\parallel},$$

here  $\Omega = eH/m_e c$  is the cyclotron frequency;  $e$  and  $m_e$  are the charge and the mass of electron respectively;  $2\Delta$  - the width of the lowest energy miniband and  $d$  - the  $SL$ -period.

Our results of calculation are as follows.

## 2. THE CONDUCTIVITY TENSOR

$$\begin{aligned} \sigma_{xx}(\omega) &= \sigma_{yy}(\omega) = \frac{1}{4} C_{\perp} \{ [i(\omega - \Omega) + \Gamma_{\perp}(\Omega)]^{-1} + [i(\omega + \Omega) + \Gamma_{\perp}(\Omega)]^{-1} \}, \\ \sigma_{xy}(\omega) &= \sigma_{yx}(\omega) = \frac{1}{4i} C_{\perp} \{ [i(\omega - \Omega) + \Gamma_{\perp}(\Omega)]^{-1} - [i(\omega + \Omega) + \Gamma_{\perp}(\Omega)]^{-1} \}, \\ \sigma_{zx}(\omega) &= C_{\parallel} [i\omega + \Gamma_{\parallel}(\Omega)]^{-1}. \end{aligned}$$

$$C_{\perp} = \frac{n_e e^2}{d} \left( \frac{2\pi\beta}{m_e^3} \right)^{1/2} \Omega, \quad (13)$$

$$C_{\parallel} = \frac{m_2 e^2 \Delta^2 d \beta e^{\beta\mu}}{8\pi\hbar^4} \frac{\Omega}{Sh(\beta\hbar\Omega/2)}, \quad (14)$$

$$\Gamma_{\perp(\parallel)} = (i/\hbar)^2 C_{\perp(\parallel)}^{-1} [\Gamma_{\perp(\parallel)}^+(\Omega) + \Gamma_{\perp(\parallel)}^-(\Omega)], \quad (15)$$

$$\Gamma_{\perp}^{\pm} = \frac{2E_{op}^2 e^2 n_e \hbar \omega_0}{c\rho u^2 \chi^{1/2} \Delta d^2} \left( \frac{2}{m_e \pi \beta} \right)^{1/2} \frac{\Omega^2 (1 - e^{\beta\hbar\omega})}{\omega^2} Sh\left(\frac{\beta\hbar\Omega}{2}\right) N_0 \sum_{n,n'} (n + n' + 1) \times e^{-\beta\hbar\Omega(n + \frac{1}{2})} \left[ e^{\beta\Delta} \sum_{m=1}^{\infty} \frac{(2\beta\Delta)^{2m}}{(2m)! 2m} + A^{\pm} \right], \quad (16)$$

$$\Gamma_{\parallel}^{\pm} = \frac{E_{op}^2 e^2 n_e \Delta \omega_0}{c\rho u^2} \left( \frac{2m_e}{\pi \chi \beta} \right)^{1/2} \frac{1 - e^{\beta\hbar\omega}}{\hbar^2 \omega^2} N_0 \left\{ \frac{2}{\beta\Delta} + \frac{1 - e^{\beta\hbar\Omega}}{2} e^{\beta\hbar(\omega \mp \omega_0)} \Omega^3 \right. \\ \times \sum_{n,n'} e^{-n'\beta\hbar\Omega} \left\{ \pi M_{\pm}^2 I_0(\beta\Delta) + \pi \left( M_{\pm} \beta\Delta + \frac{2}{\beta\Delta} \right) I_1(\beta\Delta) \right. \\ \left. - 2M_{\pm} \sum_{m=1}^{\infty} \frac{(2m-1)!! (m+2)}{(2m)!!} \beta\Delta {}_1F_2\left(\frac{2m+3}{2}; \frac{3}{2}, m+2; \frac{(\beta\Delta)^2}{4}\right) \right. \\ \left. - 2 \sum_{m=1}^{\infty} \frac{(2m-1)!!}{(2m)!!} {}_1F_2\left(\frac{2m+3}{2}; \frac{1}{2}, m+2; \frac{(\beta\Delta)^2}{4}\right) \right. \\ \left. + \sum_{m=1}^{\infty} \sum_{l=0}^{l < 2m} \frac{[(2m-1)!!]^2}{(2m-l)!!} M_{\pm}^{2m-l} \left[ 2 - M_{\pm}^2 - \frac{2(2m+1)l M_{\pm}^2}{(2m+1-l)(2m+2-l)} \right] A^{\pm} \right\} \left. \right\}, \quad (17)$$

$$A = e^{-\beta\Delta M_{\pm}} \left\{ \pi I_0(\beta\Delta) + \frac{1}{2} \sum_{m=1}^{\infty} \sum_{l=0}^{l < 2m} \frac{[(2m-1)!!]^2}{(2m-l)!!} M_{\pm}^{2m-l} \right. \\ \times [(-1)^l + 1] B\left(\frac{l+1}{2}, \frac{1}{2}\right) {}_1F_2\left(\frac{l+1}{2}; \frac{1}{2}, \frac{l+2}{2}; \frac{\beta^2 \Delta^2}{4}\right) \\ \left. + \frac{\beta\Delta}{2} \sum_{m=1}^{\infty} \sum_{l=0}^{l < 2m} \frac{[(2m-1)!!]^2}{(2m-l)!!} M_{\pm}^{2m-l} \right. \\ \left. \times [(-1)^l + 1] B\left(\frac{l+2}{2}, \frac{1}{2}\right) {}_1F_2\left(\frac{l+2}{2}; \frac{3}{2}, \frac{l+3}{2}; \frac{\beta^2 \Delta^2}{4}\right) \right\}, \quad (18)$$

$$M_{\pm} = [(n' - n)\hbar\Omega - \hbar\omega \pm \hbar\omega_0]/\Delta, \quad (19)$$

is the Bessel function of complex variable;  $B(r, s)$  - the Beta function;  ${}_1F_2(\alpha_1, \dots, \alpha_p; \beta_1, \dots, \beta_q; z)$  - the generalized hypergeometric function;  $n_e$  - the electron concentration;  $\chi$  - the electric constant;  $\omega_0$  - the limit frequency of optical phonon;  $N_0$  - the phonon concentration;  $E_{op}$  - the deformation potential for nonpolar optical phonon scattering;  $u$  - the sound velocity;  $\rho$  - the crystal density;  $\mu$  - the chemical potential and  $c$  - the light velocity.

The + sign goes with phonon emission and the - sign goes with phonon absorption in the process of absorption of the EMW.

### 3. THE ABSORPTION COEFFICIENT

Knowing complex conductivity tensor, the absorption coefficient of EMW in semiconductor superlattices can be found by the common formula

$$\alpha(\omega) = \text{Re}\sigma(\omega).$$

So we get:

$$\begin{aligned}\alpha_{xx}(\omega) &= \alpha_{yy}(\omega) = \frac{1}{2\hbar^2} \frac{\omega^2 + \Omega^2}{(\omega^2 - \Omega^2)^2} [\Gamma_{\perp}^+(\Omega) + \Gamma_{\perp}^-(\Omega)], \\ \alpha_{yx}(\omega) &= \frac{n_e e^2}{d} \frac{\pi \beta^{1/2}}{m_e^3} \frac{\Omega^2}{\Omega^2 - \omega^2} \\ \alpha_{zz}(\omega) &= \frac{1}{\hbar^2 \omega^2} [\Gamma_{\parallel}^+(\Omega) + \Gamma_{\parallel}^-(\Omega)].\end{aligned}$$

Expressions for  $\Gamma_{\perp}^{\pm}(\Omega)$  and  $\Gamma_{\parallel}^{\pm}(\Omega)$  are (16) and (17).

In our calculation, the law of conservation of energy imposes a constrain on the energy spectrum of electron

$$\left| M_{\pm} - \frac{\varepsilon_{\parallel}}{\Delta} \right| < 1.$$

This constrain leads to some new points in the process of absorption of a EMW in semiconductor superlattices:

- Only electrons which satisfy the inequality

$$(n' - n)\hbar\Omega - \hbar\omega \pm \hbar\omega_0 - \Delta < \varepsilon_{\parallel} < (n' - n)\hbar\Omega - \hbar\omega \pm \hbar\omega_0 + \Delta$$

can take part in the process of absorption.

- The number of the Landau subband  $n'$  which electron can move to after the absorption in the interval

$$n'_{\max} > n' > n'_{\min}$$

with

$$n'_{\max} = n + \frac{\varepsilon_{\parallel} + \hbar(\omega \mp \omega_0)}{\hbar\Omega} + \frac{\Delta}{\hbar\Omega} \quad \text{and} \quad n'_{\min} = n + \frac{\varepsilon_{\parallel} + \hbar(\omega \mp \omega_0)}{\hbar\Omega} - \frac{\Delta}{\hbar\Omega}.$$

The width of this interval is  $\Delta n' = n'_{\max} - n'_{\min} = 2\Delta/\hbar\Omega$ .

### 4. CONCLUSION

Analytic expressions (10-12), (21-23) for the conductivity tensor and the absorption coefficient of EMW in semiconductor superlattices, in the case when the electron-nonpolar optical phonon scattering is dominant, are obtained for the first time. They show complicate dependencies on the temperature ( $T$ ), the frequency ( $\omega$ ) of the EMW,  $SL$ -parameters ( $\Delta$ ,  $d$ ) and on magnetic field ( $H$ ).

The law of conservation of energy leads to the condition (25) for the electron energy spectrum. It also limits the number of Landau subband, which electron can move to after the absorption in the interval (26).

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### SỰ HẤP THỤ SÓNG ĐIỆN TỪ YẾU BỞI ĐIỆN TỬ TỰ DO TRONG BÁN DẪN SIÊU MẠNG KHI CÓ MẶT TỪ TRƯỜNG

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Phương pháp Kubo-Mori được sử dụng để tính toán tenxơ độ dẫn cao tần về hệ số hấp thụ điện từ yếu (EMW) bởi điện tử tự do trong bán dẫn siêu mạng không suy biến khi có mặt từ trường lượng tử hướng dọc theo trục siêu mạng. Cơ chế tán xạ điện tử-phonon quang học được coi là chủ yếu. Các tác giả xem xét sự phụ thuộc của tenxơ độ dẫn và hệ số hấp thụ vào hiện độ, vào các tham số của siêu mạng và vào cường độ từ trường. Việc xem xét cho thấy số mức phân vùng từ Landau mà điện tử có thể nhảy tới sau khi hấp thụ bị giới hạn bởi năng lượng từ trường và độ rộng của các mini vùng của siêu mạng.