



Original Article

# Opting for Local Region in *SHOX2* Promoter as a DNA Methylation Biomarker for Lung Cancer Diagnosis

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**Abstract:** Epigenetic alterations play a main role in the initiation and progression of lung cancer. CpG methylation in the promoter of the *Short Stature Homeobox 2 (SHOX2)* gene has been evaluated and validated at different stages of this malignant disease using quantitative methylation-specific PCR (qMSP) method. This is a simple, fast, and cost-effective technique that can be easily applied to clinical practice. In this study, formalin-fixed, paraffin-embedded (FFPE) tissue samples were collected from 30 lung cancer patients and 30 patients suffering from non-cancerous pulmonary diseases. The methylation level of *SHOX2* was evaluated in two CpG-riched regions of the promoter by using qMSP. The *SHOX2* methylation level of both regions in lung cancer was significantly higher than that in non-cancerous lung diseases (23.62% versus 0.23%, and 8.52% versus 0.65%, respectively), indicating that *SHOX2* methylation could be conferred as a potential biomarker to lung cancer.

**Keywords:** DNA methylation, *SHOX2*, lung cancer, quantitative methylation-specific PCR (qMSP).

## 1. Introduction

DNA methylation occurring at CpG dinucleotides that frequently locate in promoter regions is well known as an epigenetic regulation mechanism for transcriptionally silencing gene expression [1]. Hypermethylation usually occurs at the promoter region which can drive the silencing of key tumor suppressors [2, 3]. Aberrant DNA

methylation is the earliest molecular alteration occurring during carcinogenesis and is specific for the malignant state; therefore, for a long time, it has been considered a powerful potential biomarker for diagnosis, prognosis, and prediction of cancer diseases [4, 5]. Currently, commercially available IVD tests by the type of methylation-based biomarker have been applied for diagnosis, prognosis, and predictive of various types of cancers such as lung, breast, cervical, colorectal, prostate, and even cancers of the unknown primary site [6].

Lung cancer is the leading cause of cancer-related mortality worldwide [7]. The

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most biomarkers widely used for lung cancer diagnosis is serum biomarker and low-dose CT screening, which have the high false-positive rates [8]. Therefore, investigating DNA methylation as a biomarker for lung cancer detection has been extensively investigated. Currently, several valuable DNA methylation markers have been evaluated and validated at different stages of lung cancer and across ethnicities [9, 10]. At present, the Epi proLung BL Reflex Assay® (Epigenomics AG, Berlin, Germany), a CE-IVD test for quantifying methylation level of the *Short Stature Homeobox 2 (SHOX2)* using methyl-specific PCR showed a sensitivity 78% and specificity 96% in lung cancer detection using tissue specimens [11].

In Vietnam, the burden of cancer has been rising rapidly in recent years. Lung cancer is also the leading cause of cancer-related mortality and can reach double incidence in 2025 [12]. Therefore, investigating and evaluating DNA methylation as a powerfully auxiliary biomarker for cancer in general and lung cancer, in particular, is urgently needed. Preliminary research on qualitative DNA methylation of genes involved in breast, colorectal cancer has been previously described to Vietnamese patients; however quantitative analysis of specific methylation levels through real-time PCR has not been performed yet [13]. The most important ensuring the clinic value of DNA methylation marker is primer sets using for quantitatively specific methylation real-time PCR (qMSP-PCR) reaction must be designed based on CpG riched sequences whose methylation level should be significantly altered in cancer as comparison with noncancerous or healthy subjects [14].

In this study, using the qMSP-PCR method we investigated the quantitative methylation level at the *SHOX2* promoter gene in Vietnamese patients who suffered from cancer and non-cancerous lung diseases. The *SHOX2* gene consisted of three promoters and three CpG islands, one of which overlaps with the first exon and whose methylation level has

been extensively investigated in order to developing a biomarker for lung cancer detection [9, 10, 15]. This study aims at opting for CpG riched sequences in the *SHOX2* promoter region and evaluating their methylation levels in these tissues. Furthermore, the comparison of the *SHOX2* methylation profiles in lung cancer and non-cancerous lung tissues will highlight the potential value of epigenetic biomarkers to contribute to the effective lung cancer diagnosis in our country.

## 2. Methodology

### 2.1. Sample Collection

Formalin fixed paraffin embedded (FFPE) tissue samples were collected from 30 lung cancer patients and 30 patients suffering from non-cancerous pulmonary diseases (whose classification was examined by pathologists) at the 175 Hospital (Ho Chi Minh City) during 2019 - 2020. Out of 30 lung cancer, 24 were derived from early stage of lung cancer (stage I/II). Informed consent was obtained from healthy participants and patients in written form and the study was approved by the Ethics Committee of Vietnam Academy of Science and Technology (03-2020/NCHG-HDDD).

### 2.2. Genomic DNA Isolation and DNA Bisulfite Conversion

Genomic DNAs were extracted from FFPE lung tissues using the QIAamp DNA FFPE Tissue Kit (Qiagen). Subsequently, genomic DNAs were subjected to bisulfite conversion using the EZ DNA Methylation-Gold kit (Zymo Research). This chemical specifically converts unmethylated cytosine, but not methylated cytosine, to uracil residues [16].

### 2.3. Primer Design

Primer sets for methylation specific PCR method were designed for measuring the methylation level of the *SHOX2* promoter region (NG-047079 positions 7750-7730). This

region overlaps with the first exon and contains the CpG island whose methylation was altered in lung cancer [9, 10, 15]. Specific primers that are complementary to the sense strand of the bisulfite converted *SHOX2* were designed using the Methyl Primer Express Software v1.0. The methylation specific PCR primers used for profiling *SHOX2* methylation derived from the CpGs-containing sequence to ensure their specific annealing to the bisulfite treated target. Two reverse primers were derived from two consecutive sequences on the *SHOX2* promoter. One forward primer was separately combined with two reverse primers in the qPCR reactions to amplify the methylated *SHOX2.1* and *SHOX2.2* sequences, respectively. In addition, the classical  $\Delta\Delta CT$  approach using a calibrator reference was used for relative calculation of methylation level; thus, the CpG free sequence from the *actin beta* (*ACTB*) gene was chosen as reference [17]. Primer sequences, amplicon lengths, and qPCR conditions are shown in Table 1.

Table 1. Primer sets and quantitative real time PCR conditions for measurement of *SHOX2* methylation

Primers	Sequences (5'-3')	Size (bp)	qPCR conditions
SHOX-Me-F	agacgttttctggtt ttgggttcg	93	95 °C 5 min, 40 cycles of (95 °C 10 sec, 63 °C 30 sec, 72 °C 30 sec), 72 °C 5 min.
SHOX-Me-R1	acgaccccgatcga acaacgaaacg		
SHOX-Me-F	agacgttttctggtt ttgggttcg	102	
SHOX-Me-R2	cgaccaacataacgt aaacgcctactcg		
ACTB-F	aggaggttttagtaag tttctggattg	104	
ACTB-R	cccttaaaaattacaa aaaccacaaccta		

#### 2.4. Cloning the Bisulfite Converted *ACTB* and Methylated *SHOX2* Sequences

The bisulfite converted *ACTB* and methylated *SHOX2* sequences were amplified from bisulfite converted DNA extracted from lung cancer sample, purified by GeneJET PCR

Purification Kit (Thermo Scientific) and then cloned using InsTAclone PCR Cloning Kit (Thermo Scientific). The inserts in recombinant plasmids were sequenced (3500 Genetic Analyzer).

#### 2.5. Quantitative Real Time PCR Assay

The real-time PCR was carried out in 20  $\mu$ l per reaction using bisulfite converted DNA as template and SsoAdvanced Universal SYBR Green Supermix (Biorad). Real-time PCR assays were duplexed for each sample. Water with no DNA template was included in each PCR reaction as a control for contamination. All qPCR reactions were performed using the 7500 Real-time PCR instrument (Applied Biosystems, CA).

#### 2.6. Methylation Calculation

The *SHOX2* methylation level was calculated by using the  $\Delta\Delta CT$  method that requires a calibrator sample with a defined methylation level. In this study, the defined methylation level of 10% was obtained by mixing linearized pACTB and pMe-SHOX plasmids. A serial dilution of the linearized recombinant plasmids pACTB and pMe-SHOX containing bisulfite converted *ACTB* and methylated *SHOX2* sequences, respectively were used for determination of cut-off value for the measurement of *SHOX2* methylation level.

#### 2.7. Statistical Analysis

Data was processed by using Microsoft Office 365 software (Microsoft), then analyzed by GraphPad Prism 9.0.0 software package (GraphPad Software LLC). In all boxplots, methylated *SHOX2* level was expressed as medians with interquartile values. Simple linear regression fits a straight line through Ct values of a serial concentration of plasmids to find the best-fit value of the slope and intercept. Comparisons between two groups on the methylation level were assessed by using the Mann-Whitney U test and were graphed in the box-and-whisker plot format. A P-value < 0.05 was considered statistically significant.

### 3. Results and Discussion

#### 3.1. Specificity of the Designed Primers

In order to confirm the accuracy of the methylated specific primer derived from the *SHOX2* promoter sequences, the PCR product amplified by the SHOX-Me-F/SHOX-Me-R2 primer pair and successfully cloned into

plasmids pMe-SHOX was sequenced. The nucleotide sequence presenting in Figure 1 showed that all cytosines in the CpG sites remained to be cytosines and the cytosines alone were converted to thymines. This result confirmed that the designed primer sets were specific to the methylated *SHOX2* sequence.

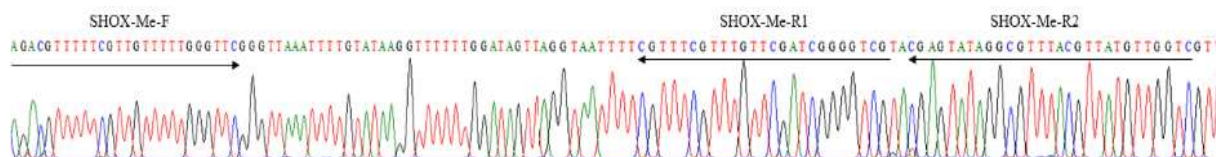


Figure 1. Nucleotide sequence of the insert in pMe-SHOX plasmid. Nucleotides present in the primers were arrowed. All cytosines in the CpG sites remain cytosines while the cytosines alone were converted to thymines.

#### 3.2. qPCR Amplification Efficiency

In order to quantify the amplification efficiency, a serial dilution of two linearized pACTB, pMe-SHOX2 plasmids were used as templates in qPCR reactions. In Figure 2, the CT value is plotted according to the serial

plasmid concentrations (from  $10^1$  to  $10^4$  copies/reaction), showing high amplification efficiency of qPCR, thus meaning that  $\Delta\Delta CT$  calculation was suitable to analyze the methylated *SHOX2* level of two *SHOX2.1* and *SHOX2.2* regions.

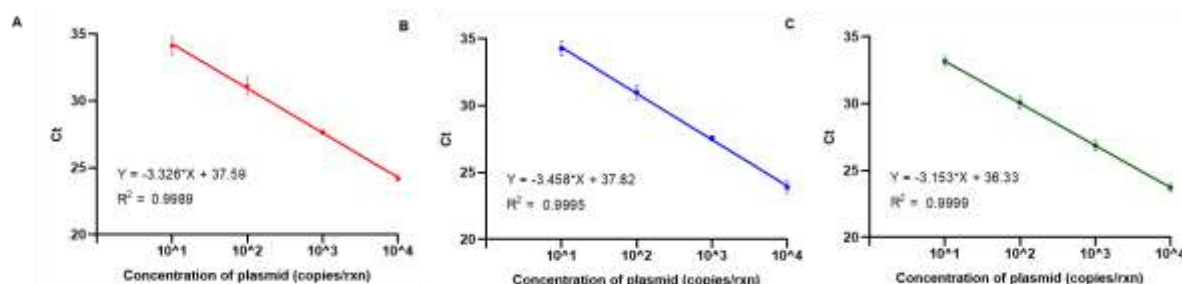


Figure 2. Analytical performance of the *SHOX2* qPCR assay. A serial concentrations of the plasmids pACTB and pMe-SHOX were used as templates for qPCR reactions amplified with primer pair ACTB-F/ACTB-R (A), SHOX-Me-F/SHOX-Me-R1 (B) and SHOX-Me-F/SHOX-Me-R2 (C), respectively. The standard curves of these plasmids were built based on the CT values, using simple linear regression analysis. Each point of curves was replicates 4 times and the standard deviation is indicated by error bars.

#### 3.3. Analysis of *SHOX2.1* and *SHOX2.2* Methylation Levels in Patients with Lung Cancer and Non-cancerous Lung Diseases

In order to investigate the methylation profile of *SHOX2*, two regions enriched CpGs on the promoter (positions 7750 - 7730, NG-047079), *SHOX2.1* and *SHOX2.2*, was chosen to analysis on 30 FFPE samples (30 patients with lung cancer versus 30 patients with non-cancerous lung diseases). Descriptive

statistics of *SHOX2.1* and *SHOX2.2* methylation were shown in Table 2. The methylation level of both regions was low in non-cancerous lung diseases (0.23 and 0.65) (Figure 3A) but significantly increased in lung cancer, and the methylation level of *SHOX2.1* was significantly higher than that of *SHOX2.2* (23.62 versus 8.52, respectively) (Figure 3B). Both regions were dramatically hypermethylated in lung cancer (Figure 3C, D).

Table 2. Descriptive statistics of *SHOX2.1* and *SHOX2.2*. NC: non-cancerous lung diseases, LC: lung cancer

Descriptive statistics	<i>SHOX2.1</i>		<i>SHOX2.2</i>	
	NC	LC	NC	LC
Total number of values	30	30	30	30
Minimum (%)	0.02	8.99	0.02	3.51
25% Percentile (%)	0.03	13.937	0.02	4.56
Median (%)	0.23	23.62	0.65	8.52
75% Percentile (%)	1.27	39.99	1.08	33.66
Maximum (%)	4.77	59.95	7.17	67.68
Mean (%)	0.82	26.91	1.27	20.33
Std. Deviation (%)	1.20	15.30	1.89	20.39
Std. Error of Mean (%)	0.22	2.80	0.35	3.722
Lower 95% CI of mean (%)	0.38	21.20	0.563	12.71
Upper 95% CI of mean (%)	1.27	32.62	1.975	27.93

Different variance in *SHOX2.1* and *SHOX2.2* methylation could be explained by potential transcription factor binding sites for *SHOX2* promoter [15] and epigenetic heterogeneity in cancer [18]. Indeed, interaction of transcription factor Tbx4 with three binding sites on the *SHOX2* promoter in limb development has been described previously [19]. It has been reported that not all CpG sites within a single promoter region are functionally equivalent in transcriptional regulation; thus, the precise location of clinically relevant methylated CpGs plays an important role in the development of a DNA methylation-based biomarker [20]. Moreover, epigenetic variation between cancer cells within a tumor of the same patient (intratumor heterogeneity) is a remarkable tumor stages [21]. Our result was in line with previous reports on *SHOX2* hypermethylation in lung cancer. Moreover, *SHOX2.1* was higher methylated than *SHOX2.2* in lung cancer, thus allowing better discrimination of lung cancer from non-cancerous lung diseases.

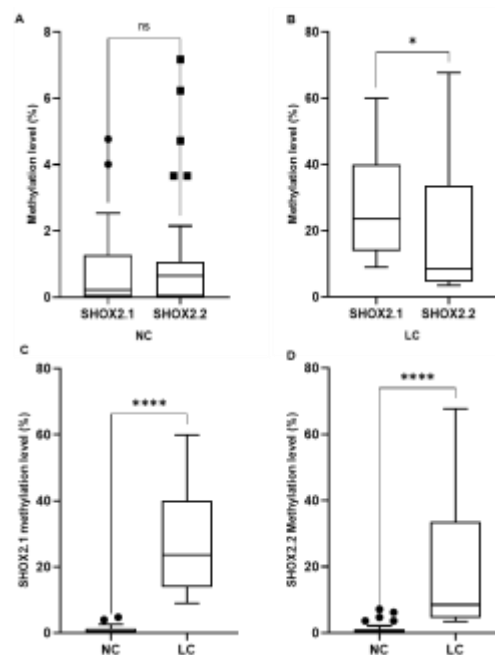


Figure 3. *SHOX2* methylation. Methylation level between *SHOX2.1* and *SHOX2.2* in non-cancerous lung diseases (NC) (A) and in lung cancer (LC) (B). Hypermethylation was observed in *SHOX2.1* (C) and *SHOX2.2* (D) in NC and LC. Mann Whitney test was used to compare the difference for two groups (\*)  $p < 0.05$ , (\*\*\*\*)  $p < 0.0001$ , ns - nonsignificant.

#### 4. Conclusion

To summarize, this study has chosen the quantitative qMSP-PCR method for the preliminary analysis of *SHOX2* methylation. We have showed that hypermethylation in *SHOX2.1* sequence could be conferred as a potential biomarker to lung cancer. These encouraging results prompt us to extend the *SHOX2.1* methylation analysis in noninvasive liquid biopsy samples, in the common effort to foster the use of DNA methylation analysis in biomarker development and clinical applications.

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